## Lecture 6: Proofs

## Secure Compilation Seminar

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## Why Proofs?

- large systems
- require a lot of time
- building and planning focus on two different aspects
- proofs ensure that the building is doable


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- large systems
- require a lot of time
- building and planning focus on two different aspects
- proofs ensure that the building is doable (also why we have design patterns for coding)


## How to Prove?

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P \Rightarrow Q
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- IF we can assume something ( $P$ )


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$$
P \Rightarrow Q
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- IF we can assume something ( $P$ )
- THEN some other thing holds ( $Q$ )


## Reduction ad Absurdum (or contradiction)

$$
P \Rightarrow Q
$$

- assume $P$
- assume $\neg Q$
- derive $\perp$ i.e., any contradiction ( $R$ and $\neg R$ )


## Induction

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## Induction

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- assume $P$, prove $Q(0)$ (base case)
- assume $P$ and $Q(n)$, prove $Q(n+1)$
- generally $Q$ has an infinite universal quantification


## Structural Induction

$$
P \rightarrow Q
$$

- generally done when $Q$ has a (finite) structure
- e.g., reduction cases, typing cases, syntax


## Contrapositive

$$
P \Rightarrow Q
$$

becomes

$$
\neg Q \Rightarrow \neg P
$$

and becomes oftentimes easier

## What do we Prove?

- What are $P$ and $Q$ ?


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- What are $P$ and $Q$ ?

$$
\begin{aligned}
\llbracket \cdot \rrbracket_{\mathrm{T}}^{\mathrm{S}} \text { is } \mathrm{FAC} & \stackrel{\text { def }}{=} \forall \mathrm{P}_{1}, \mathrm{P}_{2} \\
& \mathrm{P}_{1} \simeq_{c t x} \mathrm{P}_{2} \Longleftrightarrow \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq_{c t x} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}}
\end{aligned}
$$

## Fully Abstract Compilation

- break the $\Longleftrightarrow$ :

$$
\begin{aligned}
& \text { 1. } \Rightarrow: \forall \mathrm{P}_{1}, \mathrm{P}_{2} \cdot \mathrm{P}_{1} \simeq c t x \mathrm{P}_{2} \Rightarrow \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \sim_{c t x} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \\
& \text { 2. } \Leftrightarrow: \forall \mathrm{P}_{1}, \mathrm{P}_{2} \cdot \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq c t x\left[\mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \Rightarrow \mathrm{P}_{1} \simeq c t x\right.
\end{aligned}
$$

- point 2 (should) follow from compiler correctness


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& \text { 2. } \Leftrightarrow: \forall \mathrm{P}_{1}, \mathrm{P}_{2} \cdot \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq c t x\left[\mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \Rightarrow \mathrm{P}_{1} \simeq c t x\right.
\end{aligned}
$$

- point 2 (should) follow from compiler correctness
- point 1 is tricky, because of $\simeq_{c t x}$ and its $\forall \mathfrak{C}$


## Trace Semantics

- we replace $\simeq_{c t x}$ with something equivalent


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- a semantics that abstracts from the context (observer)
- and still describes the behaviour of a program precisely
- a trace semantics


## Traces for PMA

$0 x 0001$ call func. at $0 x b 52$ $0 x 0002$ write $r_{0}$ at $0 x 0 b 55$

| $0 x 0 b 52$ | write $r_{0}$ at 0x0b55 |
| :--- | :--- |
| $0 x 0 b 53$ | write $r_{0}$ at 0x0001 |
| $0 x 0 b 54$ | call $0 x 0002$ |
| $0 x 0 b 55$ | $\cdots$ |

- interest in the behaviour of the module


## Traces for PMA

$0 \times 0001$ call func. at $0 \times b 52$
$0 x 0002$ write $r_{0}$ at 0x0b55

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- interest in the behaviour of the module
- need to consider the rest


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## Trace Semantics for PMA

| $0 x 0001$ | call func. at $0 \times b 52$ |
| :--- | :--- |
| $0 x 0002$ | write $r_{0}$ at $0 x 0 b 55$ |
| $\vdots$ |  |
| $0 x 0 b 52$ write $r_{0}$ at $0 \times 0 b 55$ <br> $0 x 0 b 53$ write $r_{0}$ at $0 x 0001$ <br> $0 x 0 b 54$ call $0 x 0002$ <br> $0 x 0 b 55$ $\cdots$ |  |

$$
\begin{array}{ll}
0 x a b 00 & \text { jump to } 0 x 0001 \\
0 x a b 01 & \text { return to } 0 x 0 b 53
\end{array}
$$

0xab02 ...

- disregard the rest


## Trace Semantics for PMA

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0x0b55
...

$$
\begin{array}{ll}
0 \times a b 00 & \text { jump to } 0 x 0001 \\
0 \times a b 01 & \text { return to } 0 x 0 b 53 \\
0 \times a b 02 & \ldots
\end{array}
$$

## Trace Semantics for PMA

$0 \times 0001$ call func. at $0 \times b 52$ $0 x 0002$ write $r_{0}$ at $0 x 0 b 55$

- disregard the rest
- abstract its behaviour from the module perspective:
$0 x a b 00$ jump to $0 \times 0001$
$0 x a b 01$ return to $0 x 0 b 53$
0xab02 ...


## Trace Semantics for PMA



- disregard the rest
- abstract its behaviour from the module perspective:

1. jump to an entry point ■

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- abstract the module behaviour from the rest perspective:

1. call/return outside

## Trace Semantics for PMA



- disregard the rest
- abstract its behaviour from the module perspective:

1. jump to an entry point ■

- abstract the module behaviour from the rest perspective:

1. call/return outside
2. read/write

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## Trace Semantics

- semantics for partial programs (component)
- relies on the operational semantics
- denotational: describes the behaviour of a component as sets of traces
- a trace is (typically) a sequence of actions that describe how a component interacts with an observer
- without needing to specify the observer
- indicated as $\operatorname{TR}(C)=\{\bar{\alpha} \mid C \stackrel{\bar{\alpha}}{\Longrightarrow}-\}$


## Trace Actions

Labels $L::=a \mid \epsilon$
Observable actions $\alpha::=\sqrt{ } \mid g$ ? $\mid g$ !

$$
\text { Actions } g::=\operatorname{call} p(r) \mid \text { ret } p r\left(\mathrm{r}_{0}\right)
$$

## Traces for PMA

We need to define:

- trace states (almost program states) $\Theta$
- labels that make traces
- rules for generating labels and traces ...
- the traces of a component $\operatorname{TR}(C)=\cdots$


## Trace Equivalence

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$$
C_{1} \xlongequal{\cong} T_{2}
$$

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\operatorname{TR}\left(C_{1}\right)=\operatorname{TR}\left(C_{2}\right)
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the traces of $C_{1}$ are the same of those of $C_{2}$

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\left\{\bar{\alpha} \mid C_{1} \xlongequal{\bar{\alpha}}-\right\}=\left\{\bar{\alpha} \mid C_{2} \xlongequal{\bar{\alpha}}-\right\}
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- any trace semantics won't just work
- they need to be correct and complete


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## Proofs about Trace Semantics

- any trace semantics won't just work
- they need to be correct $(\Leftarrow)$ and complete $(\Rightarrow)$

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C_{1} \simeq_{c t x} C_{2} \Longleftrightarrow C_{1} \xlongequal{\beth} C_{2}
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## Fully Abstract Compilation \& Target Traces

- we have:

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- $\mathrm{C}_{1} \simeq_{c t x} \mathrm{C}_{2} \Longleftrightarrow \operatorname{TR}\left(\mathrm{C}_{1}\right)=\operatorname{TR}\left(\mathrm{C}_{2}\right)$
- we need to prove
- $\mathrm{P}_{1} \simeq_{c t x} \mathrm{P}_{2} \Rightarrow \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq_{c t x} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}}$


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\text { - } \mathrm{P}_{1} \simeq_{c t x} \mathrm{P}_{2} \Rightarrow \forall \mathrm{C} \cdot \mathrm{C}\left[\llbracket \mathrm{C}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}}\right] \downarrow \mathrm{C}\left[\llbracket \mathrm{C}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}}\right]
$$

- unfold $\simeq_{c t x}$


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- we need to prove
- $\left.\exists \mathrm{C} \cdot \mathrm{C}\left[\llbracket \mathrm{C}_{1}\right]_{\mathrm{T}}^{\mathrm{S}}\right] \nmid \mathrm{C}\left[\llbracket \mathrm{C}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}}\right] \Rightarrow \mathrm{P}_{1} \not{ }_{c t x} \mathrm{P}_{2}$
- unfold $\simeq_{c t x}$
- contrapositive


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$$
\cdot \exists \mathrm{C} \cdot \mathrm{C}\left[\llbracket \mathrm{C}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}}\right] \nVdash \mathrm{C}\left[\llbracket \mathrm{C}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}}\right] \Rightarrow \exists \mathrm{C} \cdot \mathrm{C}\left[\mathrm{C}_{2}\right] \nVdash \mathrm{C}\left[\mathrm{C}_{2}\right]
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- unfold $\simeq_{c t x}$
- contrapositive
- unfold $\simeq_{c t x}$


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$$
\left.\left.\cdot \exists \mathrm{C} \cdot \mathrm{C}\left[\llbracket \mathrm{C}_{1}\right]_{\mathrm{T}}^{\mathrm{S}}\right] \nVdash \mathrm{C}\left[\llbracket \mathrm{C}_{2}\right]_{\mathrm{T}}^{\mathrm{S}}\right] \Rightarrow \exists \mathrm{C} \cdot \mathrm{C}\left[\mathrm{C}_{2}\right] \nVdash \mathrm{C}\left[\mathrm{C}_{2}\right]
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- unfold $\simeq c t x$
- contrapositive
- unfold $\simeq_{c t x}$
- backtranslation!


## Fully Abstract Compilation \& Target Traces

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- generate C based on C


## Fully Abstract Compilation \& Target Traces

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$$
\cdot \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \not \psi_{c t x} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \Rightarrow \exists \mathrm{C} . \mathrm{C}\left[\mathrm{C}_{2}\right] \nVdash \mathrm{C}\left[\mathrm{C}_{2}\right]
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- generate C based on C
- if complex, apply Traces (folding $\simeq c t x$ )


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\cdot \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \mathbb{\#} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \Rightarrow \exists \mathrm{C} \cdot \mathrm{C}\left[\mathrm{C}_{2}\right] \not \Perp \mathrm{C}\left[\mathrm{C}_{2}\right]
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$$

- we need to prove

$$
\text { - } \operatorname{TR}\left(\mathrm{C}_{1}\right) \neq \operatorname{TR}\left(\mathrm{C}_{2}\right) \Rightarrow \exists \mathrm{C} . \mathrm{C}\left[\mathrm{C}_{2}\right] \nLeftarrow \mathrm{C}\left[\mathrm{C}_{2}\right]
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## Fully Abstract Compilation \& Target Traces

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- $\mathrm{C}_{1} \simeq c t x \mathrm{C}_{2} \Longleftrightarrow \operatorname{TR}\left(\mathrm{C}_{1}\right)=\operatorname{TR}\left(\mathrm{C}_{2}\right)$
- we need to prove
- $\exists \alpha \in \operatorname{TR}\left(\mathrm{C}_{1}\right), \alpha \notin \operatorname{TR}\left(\mathrm{C}_{2}\right) \Rightarrow \exists \mathrm{C} . \mathrm{C}\left[\mathrm{C}_{2}\right] \nVdash \mathrm{C}\left[\mathrm{C}_{2}\right]$
- generate C based on C
- if complex, apply Traces (folding $\simeq{ }_{c t x}$ )


## Backtranslation at work

to the board

