

# Lecture 1: What is Secure Compilation?

Secure Compilation Seminar

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# What is a Compiler

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- takes programs written in a **source language S**
- output programs written in a **target language T**

# What is a Compiler

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In this course:

- only care about the code generation phase
- takes programs written in a source language  $S$
- output programs written in a target language  $T$
- it is a function from  $S$  to  $T$ :  $[\cdot]_T^S$

# Example #1: Insecure Compilation

```
1 public class Account
2     private int balance = 0;
3
4     public void deposit( int amount )
5         this.balance += amount;
```

**Java**  
source



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No access to balance from outside  
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No access to balance from outside  
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enforced by the language

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1 public class Account
2     private int balance = 0;
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```

Java  
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```
1 typedef struct account_t {
2     int balance = 0;
3     void ( *deposit ) ( struct Account*, int ) =
4         deposit_f;
5 } Account;
6 void deposit_f( Account* a, int amount ) {
7     a->balance += amount;
8     return;
9 }
```

C  
target

# Example #1: Insecure Compilation

Pointer arithmetic in C leads to **security violation**: undesired access to balance  
Security is not **preserved**.

```
1 public
2 private
3 public
4 this
```

```
1 typedef struct account_t {
2     int balance = 0;
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4         deposit_f;
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- **Q:** what does it mean to **preserve** security properties across compilation?
- long standing question
- many answers have been given, we focus on the **formal** ones
- conceptually:
  - “take what was secure in the source and preserve it in the target”



# Secure Compilation

- **Q:** what does it mean to **preserve** security properties across compilation?

Even more questions!

- how do we **identify** (or **specify**) what is secure in the source?
- how do we **preserve** the meaning of a security property?

# Secure Compilation

- **Q:** what does it mean to **preserve** security properties across compilation?

Even more questions!

- how do we **identify** (or **specify**) what is secure in the source?
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answers provided in this seminar

## Example #2: Confidentiality

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*spoken, written, acted on, etc., in strict privacy or secrecy; secret:*

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**Java**  
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# Example #2: Confidentiality

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*spoken, written, acted on, etc., in strict privacy or secrecy; secret:*

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1 private secret : Int = 0;  
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3 public setSecret( ) : Int {  
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**Java**  
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- **Q:** how do we **know** that secret is **confidential**?

# Program Equivalence

- a possible answer to the questions before

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- a possible answer to the questions before
- and to many more questions posed about **programming languages**

# Quiz #1: Are these Equivalent Programs?

```
1 public Bool getTrue( x : Bool )  
2   return true;
```

• P1

```
1 public Bool getTrue( x : Bool )  
2   return x or true;
```

• P2

```
1 public Bool getTrue( x : Bool )  
2   return x and false;
```

• P3

```
1 public Bool getTrue( x : Bool )  
2   return false;
```

• P4

```
1 public Bool getFalse( x : Bool )  
2   return x and true;
```

• P5



# Quiz #1: Are these Equivalent Programs?

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```
1 public Bool getTrue( x : Bool )  
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```
1 public Bool getTrue( x : Bool )  
2   return false;
```

```
1 public Bool getFalse( x : Bool )  
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```

• P1

• P2

$\approx_{ctx}$

• P3

• P4

$\approx_{ctx}$

• P5

# Quiz #1: Are these Equivalent Programs?

```
1 public Bool getTrue( x : Bool )
2   return x;
```

P1

Program equivalences (generally) are:

- reflexive
- transitive
- symmetric

aka: relations

```
1 public
2   return
```

```
1 public
2   return
```

```
1 public Bool getTrue( x : Bool )
2   return false;
```

P4

```
1 public Bool getFalse( x : Bool )
2   return x and true;
```

P5

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- **Semantics** (behaviour) VS **Syntax** (outlook)

# Program Equivalence

- **Q:** When are two programs equivalent?
- When they **behave** the same even if they are **different**
- **Semantics** (behaviour) VS **Syntax** (outlook)
- we care about the former, not the latter!

# Program Equivalence

- Defining a security property using program equivalence:
- *to find two programs that, albeit syntactically different, both behave in a way that respects the property, no matter how they are used.*



## Example #4: Confidentiality as P.Eq.

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```
1 private secret : Int = 0;  
2  
3 public setSecret( ) : Int {  
4   secret = 0;  
5   return 0;  
6 }
```

```
1 private secret : Int = 0;  
2  
3 public setSecret( ) : Int {  
4   secret = 1;  
5   return 0;  
6 }
```

## Example #4: Confidentiality as P.Eq.

```
1 private secret : Int = 0;  
2  
3 public  
4   secret  
5   return  
6 }
```

With a Java-like semantics, secret is never accessed from outside.  
With a C-like semantics, secret can be accessed from outside.

```
1 private  
2  
3 public  
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6 }
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With a Java-like semantics, secret is never accessed from outside.  
With a C-like semantics, secret can be accessed from outside.

The Language defines how to reason  
(it's what programmers already do!)

```
1 private  
2  
3 public  
4   secret  
5   return  
6 }
```

## Example #5: Integrity as P.Eq.

```
1 public proxy( callback : Unit → Unit ) : Int {  
2   var secret = 0;  
3   callback();  
4   if ( secret == 0 ) {  
5     return 0;  
6   }  
7   return 0;  
8 }
```

**Integrity:** internal consistency or lack of corruption in data.

```
1 public  
2   var secret = 0;  
3   callback();  
4   return 0;  
5 }
```

## Example #5: Integrity as P.Eq.

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```

**Integrity:** internal consistency or lack of corruption in data.  
Maintenance of invariants

```
1 public proxy( callback : Unit → Unit ) : Int {  
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4   return 0;  
5 }
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## Example #5: Integrity as P.Eq.

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1 public proxy( callback : Unit → Unit ) : Int {  
2   var secret = 0;  
3   callback();  
4   if ( secret == 0 ) {  
5     return 0;  
6   }  
7   return 1;  
8 }
```

```
1 public proxy( callback : Unit → Unit ) : Int {  
2   var secret = 0;  
3   callback();  
4   return 0;  
5 }
```

## Example #6: Memory Allocation as P.Eq.

```
1 public newObjects( ) : Object {  
2     var x = new Object();  
3     var y = new Object();  
4     return x;  
5 }
```

```
1 public newObjects( ) : Object {  
2     var x = new Object();  
3     var y = new Object();  
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```



## Example #6: Memory Allocation as P.Eq.

```
1 public newObjects( ) : Object {  
2     var x = new Object();  
3     var y = new Object();  
4     return x;  
5 }
```

Guessing addresses in memory leads to common exploits: ROP, return to libc, violation of ASLR ...

```
1 public  
2     var  
3     var  
4     return y;  
5 }
```

# Expressing Program Equivalence

This ( $\simeq_{ctx}$ ) is called

Contextual Equivalence

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Contextual Equivalence

(also, observational equivalence)

# Contextual Equivalence (CEQ)

*Two programs are equivalent if no matter what external observer interacts with them that observer cannot distinguish the programs.*

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$$P_1 \simeq_{ctx} P_2 \stackrel{\text{def}}{=} \forall P. P; P_1 \downarrow P; P_2$$

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# Contextual Equivalence (CEQ)

Two programs are equivalent if no matter what external observer interacts with them that observer *cannot distinguish* the programs.

$$P_1 \simeq_{ctx} P_2 \stackrel{\text{def}}{=} \forall P. P; P_1 \downarrow P; P_2$$

- the external observer is generally called **context**
- it is a program, written in the **same language** as  $P_1$  and  $P_2$
- it is **the same** program  $P$  interacting with both  $P_1$  and  $P_2$  in **two different runs**
- so it cannot express **out of language** attacks (e.g., side channels)
- often we write it  $\mathcal{C}$  or  $\mathbb{C}$

- the external observer is generally called **context**
- it is a program, written in the **same language** as  $P_1$  and  $P_2$

- interaction means **link and run together** (like a library)
- often we write it  $\mathcal{C}[P_1]$

- so it cannot express **out of language** attacks (e.g., side channels)
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- distinguishing means: **terminate with different values**
- the observer basically asks the question: *is this program  $P_1$ ?*
- if the observer can find a way to distinguish  $P_1$  from  $P_2$ , it will return true, otherwise false

- distinguishing means: **terminate with different values**
- the observer basically asks the question: *is this program  $P_1$ ?*
- if the observer can find a way to distinguish  $P_1$  from  $P_2$ , it will return true, otherwise false
- often we use *divergence* and *termination* as opposed to this boolean termination

## Example #7: CEQ

```
1 private secret : Int = 0; //P1
2 public setSecret( ) : Int {
3     secret = 0;
4     return 0;
5 }
```

```
1 private secret : Int = 0; //P2
2 public setSecret( ) : Int {
3     secret = 1;
4     return 0;
5 }
```

## Example #7: CEQ

```
1 private secret : Int = 0; //P1
2 public setSecret( ) : Int {
3     secret = 0;
4     return 0;
5 }
```

Java

```
1 private secret : Int = 0; //P2
2 public setSecret( ) : Int {
3     secret = 1;
4     return 0;
5 }
```

Java

```
1 // Observer P in Java
2 public static isItP1( ) : Bool {
3     Secret.getSecret();
4     ...
5 }
```

Java

## Example #8: CEQ

```
1 typedef struct secret { // P1 C
2   int secret = 0;
3   void ( *setSec ) ( struct Secret* ) = setSec;
4 } Secret;
5 void setSec( Secret* s ) { s->secret = 0; return; }
```

```
1 typedef struct secret { // P2 C
2   int secret = 0;
3   void ( *setSec ) ( struct Secret* ) = setSec;
4 } Secret;
5 void setSec( Secret* s ) { s->secret = 1; return; }
```

```
1 // Observer P in C C
2 int isItP1( ){
3   struct Secret x;
4   sec = &x + sizeof(int);
5   if *sec == 0 then return true else return false
6 }
```



# Inequivalences as Security Violations

- if the programs are **not equivalent** ( $\not\sim_{ctx}$ ) then the intended security property is violated

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- if the programs are **not equivalent** ( $\not\sim_{ctx}$ ) then the intended security property is violated
- this hardly happens as *source* languages are **high level**

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When does **inequivalences** escape the programmer's reasoning?

- i
- t
- y
- t
- d

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# Inequivalences as Security Violations

When does **inequivalences** escape the programmer's reasoning?

- i
  - t
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  - t
  - d
1. if languages have complex features
  2. if there are more languages involved (e.g., multiple target languages)

# Preserving Equivalences in Compilation

Back to our question ...

- **Q:** what does it mean to preserve security properties across compilation?

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- **Q:** what does it mean to preserve security properties across compilation?

A possible answer:

- Given source equivalent programs (which have a security property), **compile them into equivalent target programs**

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- Assumption 1: the security property is captured in the source by program equivalence



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- Assumption 1: the security property is captured in the source by program equivalence
- **Crucial:** being equivalent in the target means contextual equivalence **w.r.t. target observers** (i.e., target programs)
- These are the **attackers** in the secure compilation setting

# Fully Abstract Compilation

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*A compiler is secure if, given source equivalent programs, it compiles them into equivalent target programs*

$$\begin{aligned} \llbracket \cdot \rrbracket_{\mathbf{T}}^{\mathbf{S}} \text{ is FAC\#1} &\stackrel{\text{def}}{=} \forall P_1, P_2 \\ &\text{if } P_1 \simeq_{ctx} P_2 \\ &\text{then } \llbracket P_1 \rrbracket_{\mathbf{T}}^{\mathbf{S}} \simeq_{ctx} \llbracket P_2 \rrbracket_{\mathbf{T}}^{\mathbf{S}} \end{aligned}$$

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Right?

# Fully Abstract Compilation

Wrong.

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An **empty** translation would fit FAC#1!

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We need the compiler also to be correct.

*Roughly*, turn  $\Rightarrow$  into a  $\Leftrightarrow$ :<sup>1</sup>

$\llbracket \cdot \rrbracket_{\mathbf{T}}^{\mathbf{S}}$  is FAC  $\stackrel{\text{def}}{=} \forall P_1, P_2$

$$P_1 \simeq_{ctx} P_2 \iff \llbracket P_1 \rrbracket_{\mathbf{T}}^{\mathbf{S}} \simeq_{ctx} \llbracket P_2 \rrbracket_{\mathbf{T}}^{\mathbf{S}}$$

---

<sup>1</sup>Abadi '99

# Fully Abstract Compilation

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An **empty** translation would fit FAC#1!

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*Roughly*, turn  $\Rightarrow$  into a  $\iff$ :<sup>1</sup>

$[[\cdot]]_{\mathbf{T}}^{\mathbf{S}}$  is FAC  $\stackrel{\text{def}}{=} \forall P_1, P_2$

$$P_1 \simeq_{ctx} P_2 \iff [[P_1]]_{\mathbf{T}}^{\mathbf{S}} \simeq_{ctx} [[P_2]]_{\mathbf{T}}^{\mathbf{S}}$$

**Note:**  $\Leftarrow$  does not mean compiler correctness in the general sense, but it's a consequence

<sup>1</sup>Abadi '99

# Remarks on Fully Abstract Compilation

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- only preserves security property expressed as program equivalence

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- widely adopted since 1999
- only preserves security property expressed as program equivalence
- **not the silver bullet**: the papers will present shortcomings of fully abstract compilation



# Conclusion

- program equivalences **can** be used to define security properties
- preserving (and reflecting) equivalences **can** be used to define a secure compiler

# Further Reading

Short(ish) and high-level(ish):

- Martin Abadi. 1999. Protection in programming-language translations. In Secure Internet programming
- Andrew Kennedy. 2006. Securing the .NET Programming Model. Theoretical Computer Science 364 (2006)
- Joachim Parrow. 2014. General conditions for Full Abstraction. Math Struct Comp Science (2014)
- Daniele Gorla and Uwe Nestman. 2014. Full Abstraction for Expressiveness: History, Myths and Facts. Math Struct Comp Science (2014)