# Lecture 2: Proving Full Abstraction (+ Question) 

CS350

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## Some Answers: CEQ with Randomisation

- Assume the language has rand


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$\frac{(\text { Rand }}{\mathrm{n} \in \mathbb{N}} \mathrm{rand} \rightarrow \mathrm{n}$


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(Rand)
- $\frac{\mathrm{n} \in \mathbb{N}}{\text { rand } \rightarrow \mathrm{n}}$
public Int random()\{return rand;\} // P1
public Int random()\{rand; return rand;\} // P2
public Int random() \{return < rand; ,rand;> \} // P3
public Int random()\{x=rand; return <x,x> ;\} // P4


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Intuitively $P_{1} \simeq{ }_{c t x} P_{2}$ and $P_{3} \nsim c t x P_{3}$

## Some Answers: CEQ with Randomisation

- Assume the language has rand
$\frac{(\text { Rand })}{n \in \mathbb{N}}$ rand $\rightarrow n$

| publi | Q: are $P 1$ and $P 2$ equivalent? |
| :---: | :---: |
| publi |  |

public Int random()\{x=rand; return < x,x> ;\} // P4
Intuitively $P_{1} \simeq_{c t x} P_{2}$ and $P_{3} \not 千_{c t x} P_{3}$

## Some Answers: CEQ with Randomisation

- Assume the language has rand

$\frac{$|  (Rand)  |
| :---: |
| $n \in \mathbb{N}$ |}{rand$\rightarrow n$}

Q: are $P 1$ and $P 2$ equivalent?
Should they be?
public Int random()\{x=rand; return < x,x> ;\} // P4

Intuitively $P_{1} \simeq{ }_{c t x} P_{2}$ and $P_{3} \nsim c t x P_{3}$

## Some Answers: CEQ with Randomisation

- Assume the language has rand

$$
\frac{(\text { Rand })}{\mathrm{n} \in \mathbb{N}} O ; n \triangleright \operatorname{rand} \rightarrow O \triangleright \mathrm{n}
$$

- $\quad n \in \mathbb{N}$
- Oracles: infinite lists of random numbers

```
public Int random(){return rand;} // P1
```

public Int random()\{rand; return rand;\} // P2
public Int random()\{return < rand;,rand;> \} // P3
public Int random()\{x=rand; return < x,x> ;\} // P4

Intuitively $P_{1} \simeq{ }_{c t x} P_{2}$ and $P_{3} \not 千 c t x P_{3}$

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$$
\begin{gathered}
\text { CEQ: } \\
P_{1} \simeq c t x P_{2} \stackrel{\text { def }}{=} \forall \mathfrak{C} \cdot \mathfrak{C}\left[P_{1}\right] \downarrow \Longleftrightarrow \mathfrak{C}\left[P_{2}\right] \downarrow \\
\text { CEQ-with-rand, try 1: } \\
P_{1} \simeq c t x ? P_{2} \stackrel{\text { def }}{=} \forall \mathfrak{C}, \forall O \cdot O \triangleright \mathfrak{C}\left[P_{1}\right] \downarrow \Longleftrightarrow \\
O \triangleright \mathfrak{C}\left[P_{2}\right] \downarrow \\
\text { No! }
\end{gathered}
$$

$P_{1}$ and $P_{2}$ are not equivalent with this definition (but they should be)

## Some Answers: CEQ with Randomisation

- Assume the language has rand

Contextual Preorder:
(not an eq, not symmetric)

$$
\begin{aligned}
P_{1} \sqsubseteq P_{2} & \stackrel{\text { def }}{=} \forall \mathfrak{C}, \forall O_{1} \cdot O_{1} \triangleright \mathfrak{C}\left[P_{1}\right] \downarrow \Rightarrow \\
& \exists O_{2} \cdot O_{2} \triangleright \mathfrak{C}\left[P_{2}\right] \downarrow
\end{aligned}
$$





Intuitively $P_{1} \simeq c t x P_{2}$ and $P_{3} \not{ }_{\text {q }}{ }_{c x} P_{3}$

## Some Answers: CEQ with Randomisation

## Contextual Preorder: (not an eq, not symmetric) <br> $$
P_{1} \sqsubseteq P_{2} \stackrel{\text { def }}{=} \forall \mathfrak{C}, \forall O_{1} \cdot O_{1} \triangleright \mathfrak{C}\left[P_{1}\right] \downarrow \Rightarrow
$$ <br> $$
\exists O_{2} . O_{2} \triangleright \mathfrak{C}\left[P_{2}\right] \downarrow
$$

For $P_{1} \simeq c t x ~ P_{2}, O_{2}$ is $O_{1}$ with every other element interleaved with random numbers

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$$

$$
\exists O_{2} . O_{2} \triangleright \mathfrak{C}\left[P_{2}\right] \downarrow
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Must also include $P_{2} \sqsubseteq P_{1}$ otherwise $P_{3}$ and $P_{4}$ are also equivalent (and they should not be)

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P_{1} \sqsubseteq P_{2} \stackrel{\text { def }}{=} \forall \mathfrak{C}, \forall O_{1} . O_{1} \triangleright \mathfrak{C}\left[P_{1}\right] \downarrow \Rightarrow
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\exists O_{2} . O_{2} \triangleright \mathfrak{C}\left[P_{2}\right] \downarrow
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publi
Must also include $P_{2} \sqsubseteq P_{1}$ otherwise $P_{3}$ and $P_{4}$ are also equivalent (and they should not be)

$$
P_{1} \simeq_{c t x} P_{2} \stackrel{\text { def }}{=} P_{1} \sqsubseteq P_{2} \cap P_{2} \sqsubseteq P_{1}
$$

## Other Equivalences

- Contextual equivalence is not the only notion of program equivalence


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- Any notion of equivalence can be used in the statement of fully abstract compilation


## Other Equivalences

- Contextual equivalence is not the only notion of program equivalence
- Any semantics defines its notion of equivalence
- Any notion of equivalence can be used in the statement of fully abstract compilation
- Trace semantics or bisimilarity are widely used


## Fully Abstract Compilation

$$
\begin{aligned}
& \llbracket \cdot \rrbracket_{T}^{S} \text { is } F A C \stackrel{\text { def }}{\stackrel{1}{*}} \forall \mathrm{P}_{1}, \mathrm{P}_{2} \\
& \quad \mathrm{P}_{1} \simeq_{c t x} \mathrm{P}_{2} \Longleftrightarrow \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq c t x\left[\mathrm{P}_{2} \rrbracket_{T}^{S}\right.
\end{aligned}
$$

## Fully Abstract Compilation

$$
\begin{aligned}
\llbracket \cdot \rrbracket_{\mathrm{T}}^{\mathrm{S}} \text { is } \mathrm{FAC} & \stackrel{\text { def }}{=}
\end{aligned} \forall \mathrm{P}_{1}, \mathrm{P}_{2} .
$$

- break the $\Longleftrightarrow$ :

$$
\begin{aligned}
& \text { 1. } \Rightarrow: \forall \mathrm{P}_{1}, \mathrm{P}_{2} \cdot \mathrm{P}_{1} \simeq c t x \mathrm{P}_{2} \Rightarrow \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq_{c t x} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \\
& \text { 2. } \Leftrightarrow: \forall \mathrm{P}_{1}, \mathrm{P}_{2} \cdot \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \sim_{c t x} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \Rightarrow \mathrm{P}_{1} \simeq c t x \mathrm{P}_{2}
\end{aligned}
$$

- point 2 (should) follow from compiler correctness


## Fully Abstract Compilation

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& \text { 1. } \Rightarrow: \forall \mathrm{P}_{1}, \mathrm{P}_{2} \cdot \mathrm{P}_{1} \simeq{ }_{c t x} \mathrm{P}_{2} \Rightarrow \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq{ }_{c t x} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \\
& \text { 2. } \Leftarrow: \forall \mathrm{P}_{1}, \mathrm{P}_{2} \cdot \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq_{c t x} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \Rightarrow \mathrm{P}_{1} \simeq_{c t x} \mathrm{P}_{2}
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- point 2 (should) follow from compiler correctness
- point 1 is tricky, because of $\simeq_{c t x}$ and its $\forall \mathfrak{C}$


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& \mathrm{P}_{1} \simeq^{c_{c t x}} \mathrm{P}_{2} \Longleftrightarrow \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq{ }_{c t x} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}}
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& \text { 1. } \Rightarrow: \forall \mathrm{P}_{1}, \mathrm{P}_{2} \cdot \mathrm{P}_{1} \simeq{ }_{c t x} \mathrm{P}_{2} \Rightarrow \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq c t x \\
& \text { 2. } \Leftarrow: \forall \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \\
& \text { 2. }
\end{aligned}
$$

- point 2 (should) follow from compiler correctness
- point 1 is tricky, because of $\simeq_{c t x}$ and its $\forall \mathfrak{C}$ This structure is called a backtranslation


## Trace Semantics

- we replace $\simeq_{c t x}$ with something equivalent


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- and still describes the behaviour of a program precisely
- a trace semantics


## Traces for PMA

main method
this is code written by
the attacker

```
function definition
    of our code
```

    private data of our program
    other code
written by the attacker (this is the context $\mathfrak{C}!$ )

- interest in the behaviour of our code (component)


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1. jump to an entry point $\quad$ -
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- abstract the component behaviour from the rest perspective:

1. call/return

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- semantics for partial programs (component)
- relies on the operational semantics
- denotational: describes the behaviour of a component as sets of traces
- a trace is (typically) a sequence of actions that describe how a component interacts with an observer
- without needing to specify the observer
- indicated as $\operatorname{TR}(C)=\{\bar{\alpha} \mid C \stackrel{\bar{\alpha}}{\Longrightarrow}-\}$


## Trace Actions

Labels $L::=a \mid \epsilon$
Observable actions $\alpha::=\sqrt{ } \mid g$ ? $\mid g$ !

$$
\text { Actions } g::=\operatorname{call} p(r) \mid \text { ret } p r\left(\mathrm{r}_{0}\right)
$$

## Traces for PMA

We need to define:

- trace states (almost program states)
- labels that make traces
- rules for generating labels and traces ...
- the traces of a component $\operatorname{TR}(C)=\cdots$


## Trace Equivalence

- all semantics yield a notion of equivalence


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C_{1} \simeq{ }_{c t x} C_{2}
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## Trace Equivalence

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- the operational semantics gives us contextual equivalence

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$$
\operatorname{TR}\left(C_{1}\right)=\operatorname{TR}\left(C_{2}\right)
$$

## Trace Equivalence

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$$
C_{1} \simeq_{c t x} C_{2}
$$

- trace semantics gives us trace equivalence

$$
\left\{\bar{\alpha} \mid C_{1} \xlongequal{\bar{\alpha}}-\right\}=\left\{\bar{\alpha} \mid C_{2} \xlongequal{\bar{\alpha}}-\right\}
$$

the traces of $C_{1}$ are the same of those of $C_{2}$

## Proofs about Trace Semantics

- any trace semantics won't just work
- it needs to be correct and complete


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## Proofs about Trace Semantics

- any trace semantics won't just work
- it needs to be correct $(\Leftarrow)$ and complete $(\Rightarrow)$

$$
C_{1} \simeq_{c t x} C_{2} \Longleftrightarrow C_{1} \stackrel{I}{=} C_{2}
$$

## Fully Abstract Compilation \& Target Traces

- we have:

$$
\text { - } \mathrm{C}_{1} \simeq_{c t x} \mathrm{C}_{2} \Longleftrightarrow \operatorname{TR}\left(\mathrm{C}_{1}\right)=\operatorname{TR}\left(\mathrm{C}_{2}\right)
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## Fully Abstract Compilation \& Target Traces

- we have:
- $\mathrm{C}_{1} \simeq_{c t x} \mathrm{C}_{2} \Longleftrightarrow \operatorname{TR}\left(\mathrm{C}_{1}\right)=\operatorname{TR}\left(\mathrm{C}_{2}\right)$
- we need to prove
- $\mathrm{P}_{1} \simeq_{c t x} \mathrm{P}_{2} \Rightarrow \llbracket \mathrm{P}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \simeq_{c t x} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}}$


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- we need to prove
- $\mathrm{P}_{1} \simeq_{c t x} \mathrm{P}_{2} \Rightarrow \forall \mathrm{C} . \mathrm{C}\left[\llbracket \mathrm{C}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}}\right] \downarrow \Longleftrightarrow \mathrm{C}\left[\llbracket \mathrm{C}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}}\right] \downarrow$
- unfold $\simeq_{c t x}$


## Fully Abstract Compilation \& Target Traces

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$$

- we need to prove

$$
\text { - } \exists \mathrm{C} . \mathrm{C}\left[\llbracket \mathrm{C}_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}}\right] \downarrow \Longleftrightarrow \mathrm{C}\left[\llbracket \mathrm{C}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}}\right] \downarrow \Rightarrow \mathrm{P}_{1} \not \psi_{c t x} \mathrm{P}_{2}
$$

- unfold $\simeq_{c t x}$
- contrapositive


## Fully Abstract Compilation \& Target Traces

- we have:

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\text { - } \mathrm{C}_{1} \simeq_{c t x} \mathrm{C}_{2} \Longleftrightarrow \operatorname{TR}\left(\mathrm{C}_{1}\right)=\operatorname{TR}\left(\mathrm{C}_{2}\right)
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- we need to prove

$$
\begin{aligned}
& \left.\left.\cdot \exists \mathrm{C} \cdot \mathrm{C}\left[\llbracket \mathrm{C}_{1}\right]_{\mathrm{T}}^{\mathrm{S}}\right] \downarrow \nLeftarrow \mathrm{C}\left[\llbracket \mathrm{C}_{2}\right]_{\mathrm{T}}^{\mathrm{S}}\right] \downarrow \Rightarrow \\
& \exists \mathrm{C} \cdot \mathrm{C}\left[\mathrm{C}_{2}\right] \downarrow \nLeftarrow \mathrm{C}\left[\mathrm{C}_{2}\right] \downarrow
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- unfold $\simeq_{c t x}$
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- unfold $\simeq_{c t x}$


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\end{aligned}
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- unfold $\simeq_{c t x}$
- contrapositive
- unfold $\simeq_{c t x}$
- backtranslation!


## Fully Abstract Compilation \& Target Traces

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\end{aligned}
$$

- generate C based on C


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- we need to prove

$$
\left.\cdot \llbracket \mathrm{P}_{1}\right]_{\mathrm{T}}^{\mathrm{S}} \nLeftarrow c t x\left[\mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \Rightarrow \exists \mathrm{C} . \mathrm{C}\left[\mathrm{C}_{2}\right] \downarrow \Longleftrightarrow \mathrm{C}\left[\mathrm{C}_{2}\right] \downarrow\right.
$$

- generate C based on C
- if complex, apply Traces (folding $\simeq c t x$ )


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\cdot \llbracket P_{1} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \overline{\#} \llbracket \mathrm{P}_{2} \rrbracket_{\mathrm{T}}^{\mathrm{S}} \Rightarrow \exists \mathrm{C} \cdot \mathrm{C}\left[\mathrm{C}_{2}\right] \downarrow \nLeftarrow \mathrm{C}\left[\mathrm{C}_{2}\right] \downarrow
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$$

- we need to prove

$$
\text { - } \operatorname{TR}\left(\mathrm{C}_{1}\right) \neq \operatorname{TR}\left(\mathrm{C}_{2}\right) \Rightarrow \exists \mathrm{C} . \mathrm{C}\left[\mathrm{C}_{2}\right] \downarrow \Longleftrightarrow \mathrm{C}\left[\mathrm{C}_{2}\right] \downarrow
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$$

- we need to prove

$$
\begin{aligned}
& \cdot \exists \alpha \in \operatorname{TR}\left(\mathrm{C}_{1}\right), \alpha \notin \operatorname{TR}\left(\mathrm{C}_{2}\right) \Rightarrow \\
& \exists \mathrm{C} . \mathrm{C}\left[\mathrm{C}_{2}\right] \downarrow \Longleftrightarrow \mathrm{C}\left[\mathrm{C}_{2}\right] \downarrow
\end{aligned}
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