

Complexity of Multiprocessor Blocking Analysis with Nested Critical Sections

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Planck
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for
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RTSS 2014

20141203



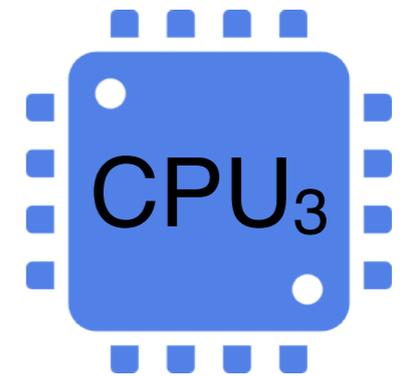
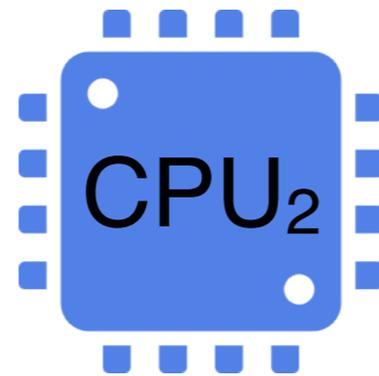
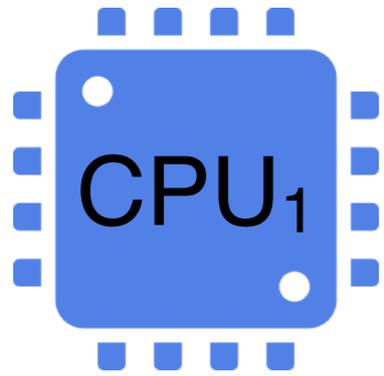
MAX-PLANCK-GESELLSCHAFT

This talk

Complexity of tight blocking analysis for:

- **multiprocessor systems**
- locks with **strong ordering guarantees**
- **nested** critical sections

Nested Locks on Multiprocessors



T₁

```
lock(RED);  
.  
unlock(RED);
```

T₂

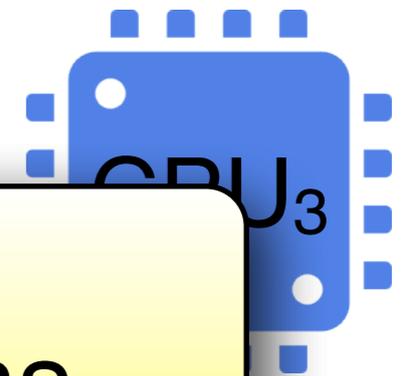
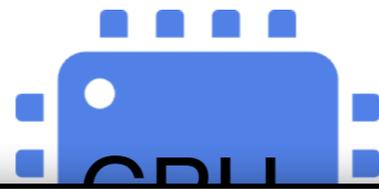
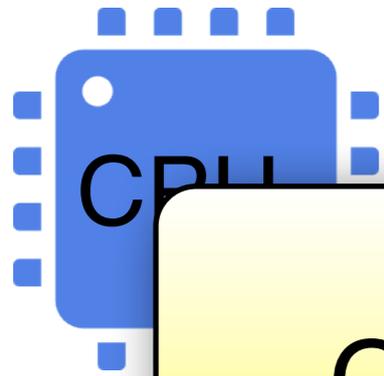
```
lock(RED);  
.  
.  
.  
.  
.  
.  
.  
unlock(RED);
```

```
lock(RED);  
lock(GREEN);  
.  
unlock(GREEN);  
unlock(RED);
```

T₃

```
lock(GREEN);  
.  
.  
.  
.  
unlock(GREEN);
```

Nested Locks on Multiprocessors



Critical section for **RED** contains
nested critical section for **GREEN**.

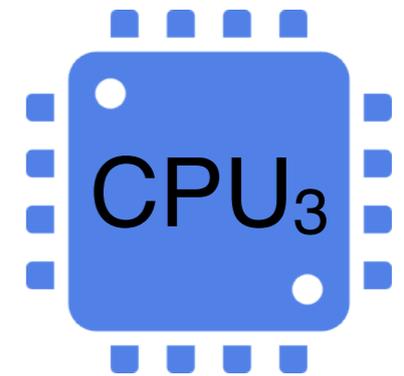
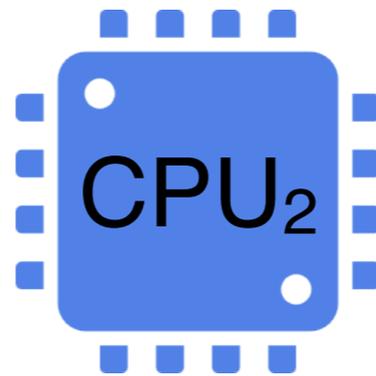
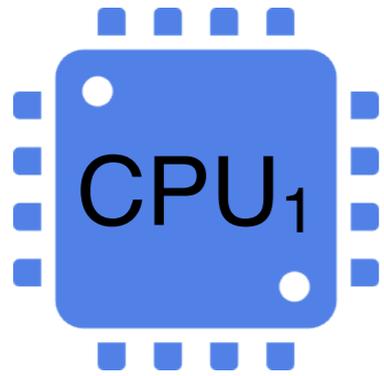
```
lock(RED);  
.  
unlock(RED);
```

```
lock(RED);  
.  
.  
.  
.  
.  
.  
unlock(RED);
```

```
lock(RED);  
  lock(GREEN);  
  .  
  unlock(GREEN);  
unlock(RED);
```

```
lock(GREEN);  
.  
.  
.  
.  
unlock(GREEN);
```

Nested Locks on Multiprocessors



T₁

T₂

T₃

```
lock(RED);  
.  
unlock(RED);
```

```
lock(RED);  
.  
.  
.  
.
```

```
lock(RED);  
lock(GREEN);
```

```
lock(GREEN);  
.  
.  
.  
.  
unlock(GREEN);
```

Worst-case blocking duration?

The Blocking Analysis Problem

```
lock(RED);  
.  
unlock(RED);
```

Worst-case
blocking duration?

The Blocking Analysis Problem

```
lock(RED);  
.  
unlock(RED);
```

Worst-case
blocking duration?

**Blocking Analysis
Problem:**

Bound the blocking
duration that a task can
incur in the worst case.

The Blocking Analysis Problem

```
lock(RED);  
.  
unlock(RED);
```

Worst-case
blocking duration?

**Blocking Analysis
Problem:**

Bound the blocking duration that a task can incur in the worst case.

**Tight
Blocking Bounds:**

There is a schedule in which the blocking bound is reached.

Main Result

multiprocessor system

locks with **strong**

ordering guarantees

nested critical sections

Main Result

**FIFO/priority
ordering**

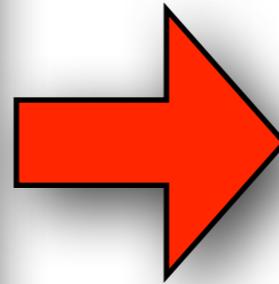
multiprocessor system

**locks with strong
ordering guarantees**

nested critical sections

Main Result

multiprocessor system
locks with **strong**
ordering guarantees
nested critical sections



Blocking analysis
NP-hard!

Context: Mutex Locks

protect shared resources such as

- shared bus
- shared data structures
- peripheral devices

Context: Mutex Locks

ensure
mutual
exclusion



protect shared resources such as

- shared bus
- shared data structures
- peripheral devices

Context: Mutex Locks

ensure
mutual
exclusion

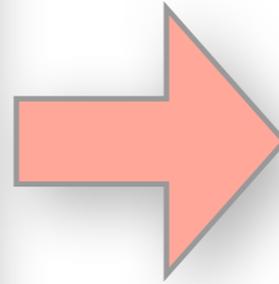


protect shared resources such as

- shared bus
- shared data structures
- peripheral devices

mandated by AUTOSAR, ...

multiprocessor system
locks with **strong**
ordering guarantees
nested critical sections



Blocking analysis
NP-hard!

So what?
Formally, most interesting
scheduling problems are hard!

Complexity of Scheduling-Related Problems

- **Response-Time Analysis** (Eisenbrand and Rothvoß, 2008)
- **Deciding Periodic Task Set Feasibility** (Leung and Whitehead, 1982)
- **Scheduling Task Sets with Self-Suspensions** (Ridouard et al., 2006)
- [...]

Complexity of Scheduling-Related Problems

- Response-Time Analysis (Eisenbrand and Rohvoß, 2008)
- Deciding Periodic Task Set Feasibility (Leung and Whitehead, 1982)
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NP-HARD

Complexity of Scheduling-Related Problems

- Response-Time Analysis (Eisenbrand and Rohvoß, 2008)
- Deciding Periodic Task Set Feasibility (Leung and Whitehead, 1982)
- Scheduling Task Sets with Self-Suspensions (Ridouard et al., 2006)
- [...]

NP-HARD

**Blocking analysis
is a seemingly
much easier problem!**

Blocking Analysis Complexity

for commonly used protocols

Architecture

		Architecture	
		Uniprocessor	Multiprocessor
Nested Critical Sections	no		
	yes		

Blocking Analysis Complexity

for commonly used protocols

Architecture

		Uniprocessor	Multiprocessor
Nested Critical Sections	no	polynomial	
	yes	polynomial	

PCP: find longest
critical section

Blocking Analysis Complexity

for commonly used protocols

**LP-Based
Analysis takes
polynomial time**

Architecture

		Uniprocessor	Multiprocessor
Nested Critical Sections	no	polynomial	polynomial
	yes	polynomial	

Blocking Analysis Complexity

for commonly used protocols

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Blocking Analysis Complexity

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Blocking Analysis Complexity

for commonly used protocols

Architecture

		Uniprocessor	Multiprocessor
Nested Critical Sections	no	polynomial	polynomial
	yes	polynomial	NP-hard

What makes it difficult on multiprocessors with nesting?

Outline

- Introduction ✓
- Intuition: Why does nesting make the analysis difficult?
- Reduction: From Multiple Choice Matching to Blocking Analysis
- Summary and Conclusion

What makes the blocking analysis difficult?

Architecture

		Uniprocessor	Multiprocessor
Nested Critical Sections	no	polynomial	polynomial
	yes	polynomial	NP-hard

FIFO-ordered locks:

processor-local problems:
greedy approach to determine worst case

What makes the blocking analysis difficult?

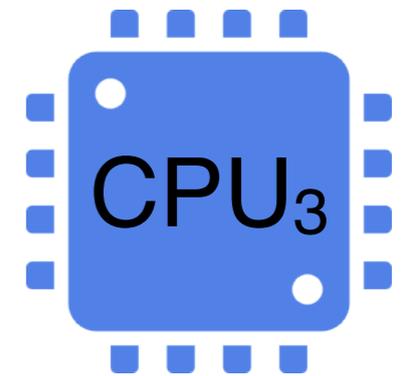
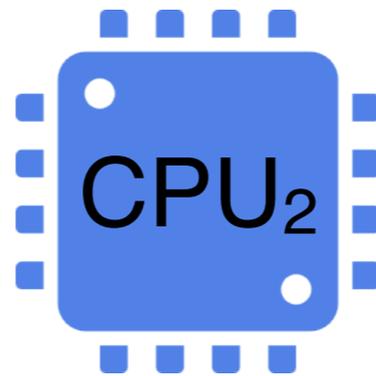
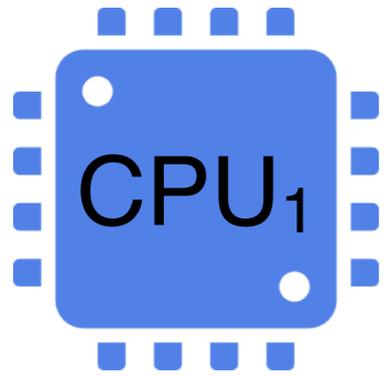
Architecture

		Uniprocessor	Multiprocessor
Nested Critical Sections	no	polynomial	polynomial
	yes	polynomial	NP-hard

FIFO-ordered locks:

**not a processor-local problem:
Consider all critical sections from all
processors at once!**

Non-locality in analysis of nested locks



T₁

```
lock(RED);  
.  
unlock(RED);
```

T₂

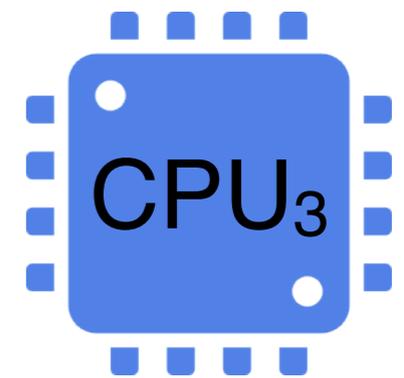
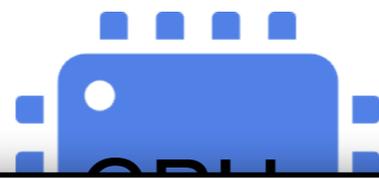
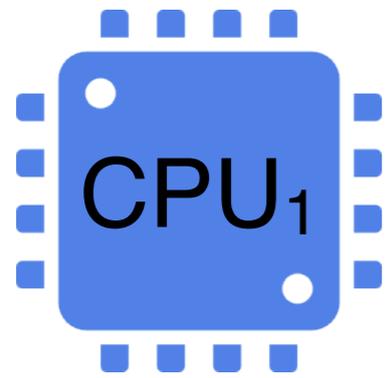
```
lock(RED);  
.  
.  
.  
.  
.  
.  
.  
unlock(RED);
```

```
lock(RED);  
lock(GREEN);  
.  
unlock(GREEN);  
unlock(RED);
```

T₃

```
lock(GREEN);  
.  
.  
.  
.  
unlock(GREEN);
```

Non-locality in analysis of nested locks



Worst-case
blocking duration?

T₁

T₂

T₃

```
lock(RED);  
.  
unlock(RED);
```

```
lock(RED);  
.  
.  
.  
.  
.  
.  
unlock(RED);
```

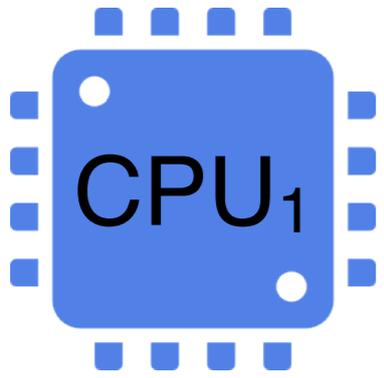
```
lock(RED);  
lock(GREEN);  
.  
unlock(GREEN);  
unlock(RED);
```

```
lock(GREEN);  
.  
.  
.  
.  
unlock(GREEN);
```

FIFO-ordered spin locks

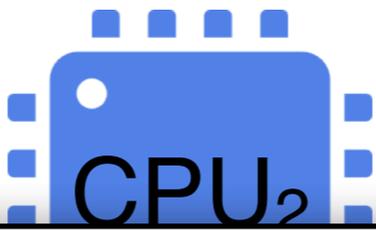
Each critical section can be blocked by **at most one** critical section for the same resource **from each remote processor.**

Non-locality in analysis of nested locks



T₁

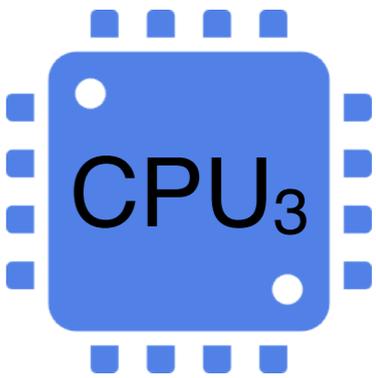
```
lock(RED);  
.  
unlock(RED);
```



One of these critical sections can block.

```
lock(RED);  
.  
.  
.  
.  
.  
.  
unlock(RED);
```

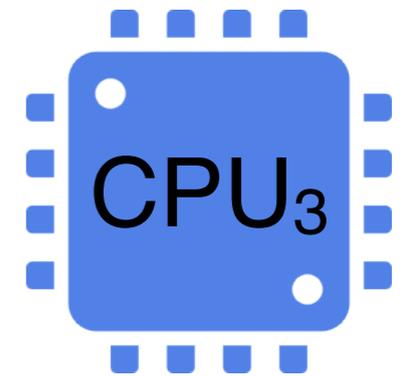
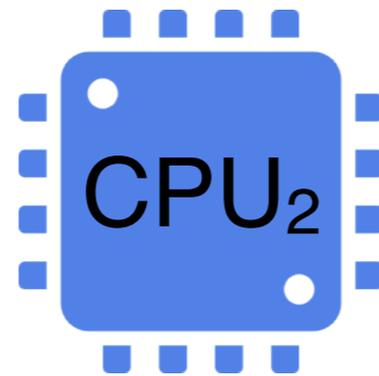
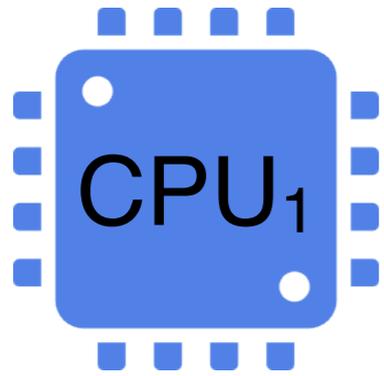
```
lock(RED);  
lock(GREEN);  
.  
unlock(GREEN);  
unlock(RED);
```



T₃

```
lock(GREEN);  
.  
.  
.  
.  
unlock(GREEN);
```

Non-locality in analysis of nested locks



T₁

```
lock(RED);  
.  
unlock(RED);
```

T₂

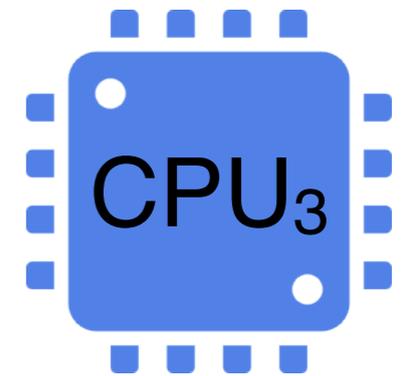
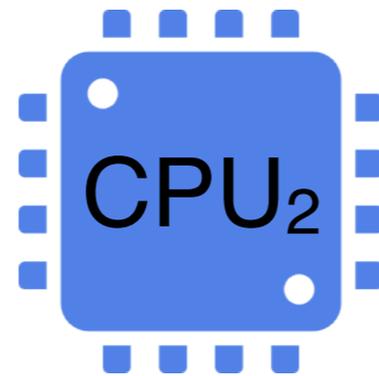
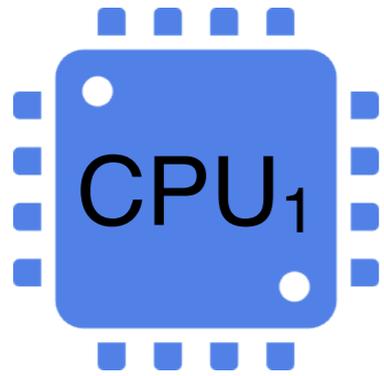
```
lock(RED);  
.  
.  
.  
.  
.  
.  
unlock(RED);
```

```
lock(RED);  
lock(GREEN);  
.  
unlock(GREEN);  
unlock(RED);
```

T₃

```
lock(GREEN);  
.  
.  
.  
.  
unlock(GREEN);
```

Non-locality in analysis of nested locks



T₁

```
lock(RED);  
.  
unlock(RED);
```

T₂

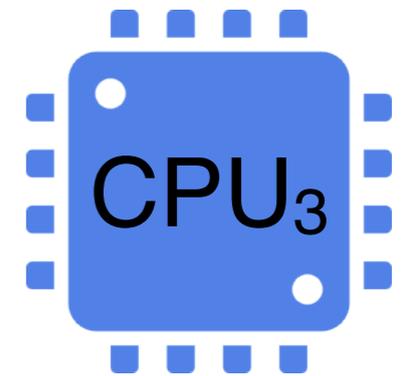
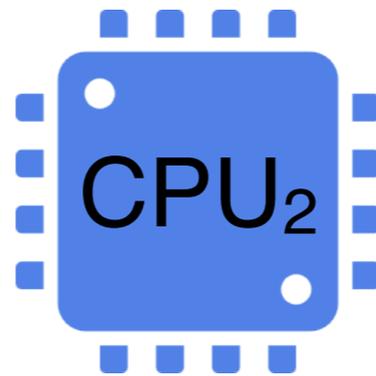
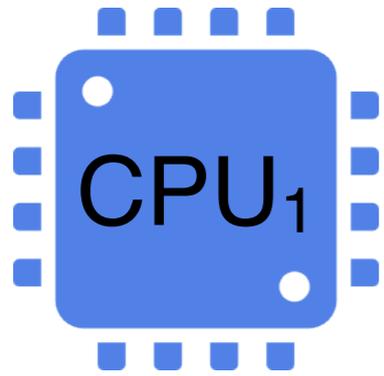
```
lock(RED);  
.  
.  
.  
.  
.  
.  
unlock(RED);
```

```
lock(RED);  
lock(GREEN);  
.  
unlock(GREEN);  
unlock(RED);
```

T₃

```
lock(GREEN);  
.  
.  
.  
.  
unlock(GREEN);
```

Non-locality in analysis of nested locks



T₁

transitive nested blocking

```
lock(RED);  
.  
unlock(RED);
```

```
lock(RED);  
.  
.  
.  
.  
.  
.  
unlock(RED);
```

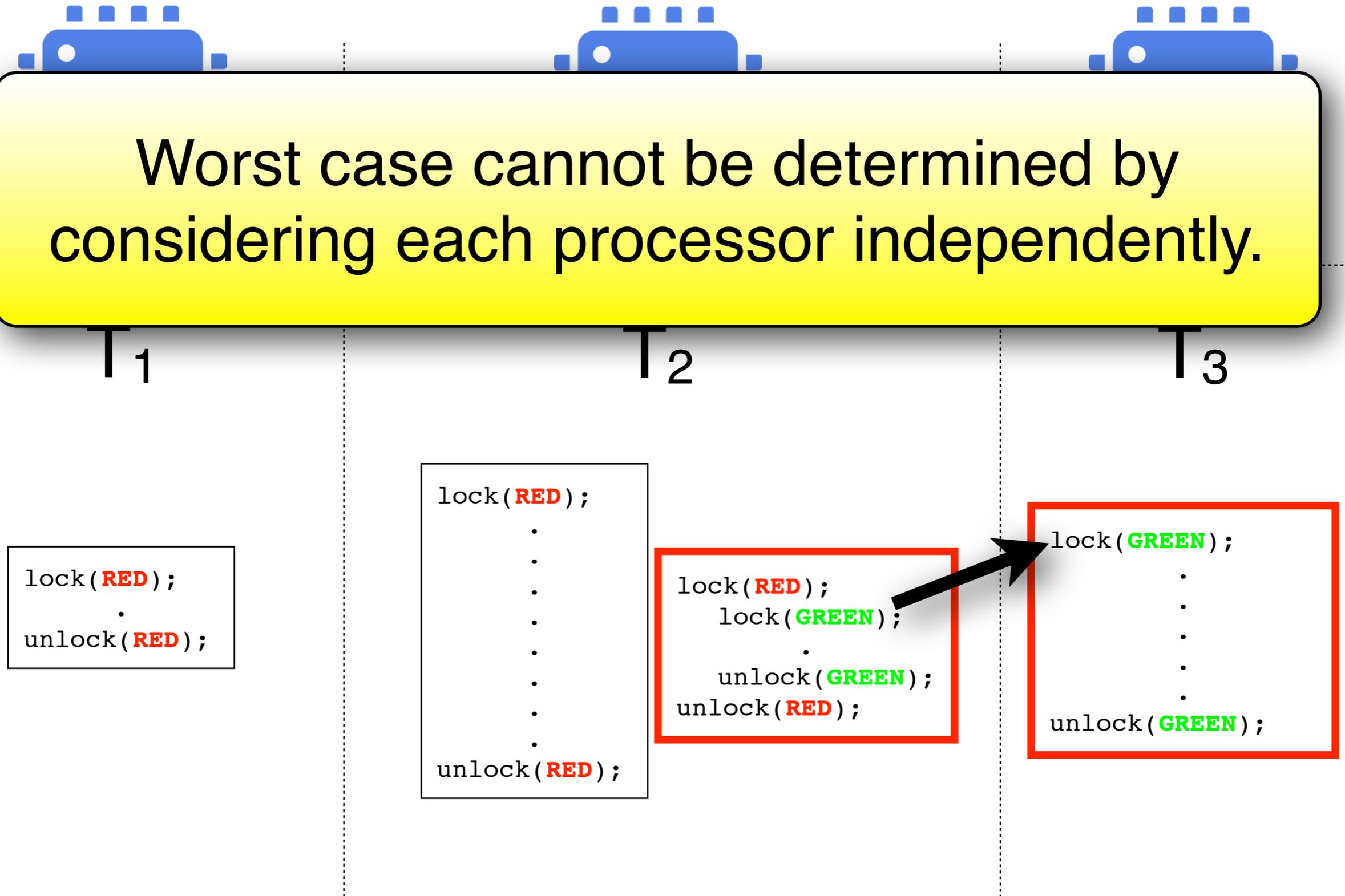
```
lock(RED);  
  lock(GREEN);  
  .  
  unlock(GREEN);  
unlock(RED);
```

```
lock(GREEN);  
.  
.  
.  
.  
unlock(GREEN);
```



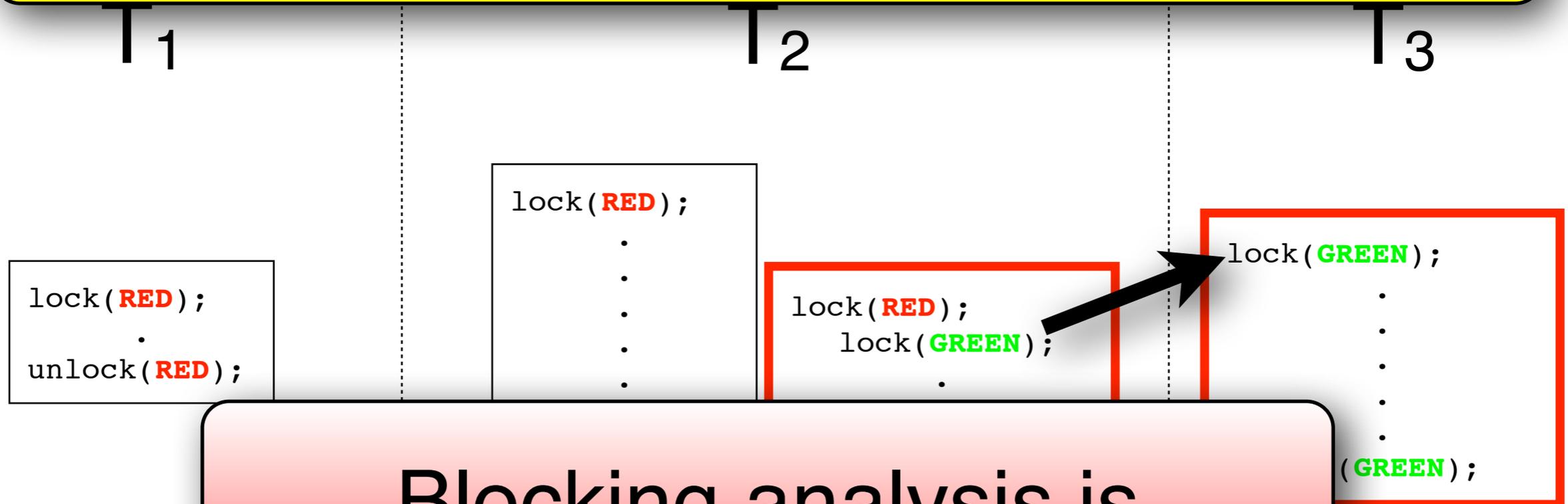
Non-locality in analysis of nested locks

Worst case cannot be determined by considering each processor independently.



Non-locality in analysis of nested locks

Worst case cannot be determined by considering each processor independently.



Blocking analysis is non-local problem!

Similarities to Matching

Blocking analysis is
non-local problem!

Similarities to Matching

Blocking analysis is
non-local problem!

Blocking Analysis at least as hard as
Multiple Choice Matching

The Multiple-Choice Matching Problem

Input:

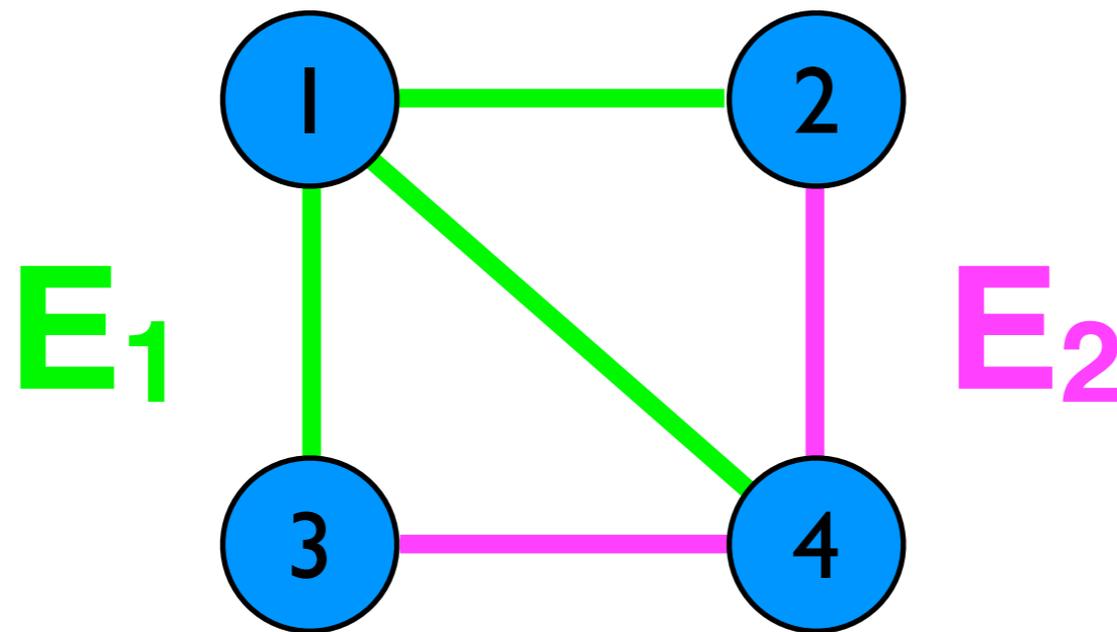
- graph $G=(V,E)$
- edge partitions E_1, \dots, E_t

Problem:

Is there a matching F that contains exactly one edge from each edge partition?

The Multiple-Choice Matching Problem

Input:

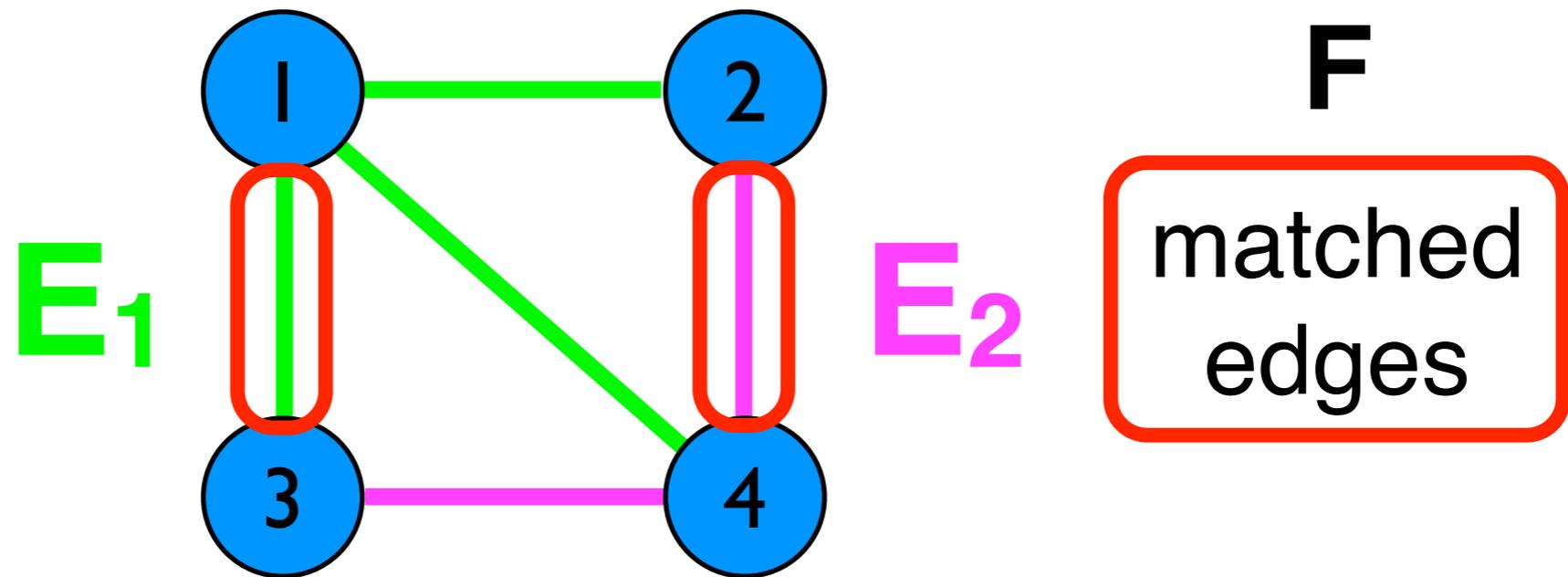


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Is there a matching F that contains exactly one edge from each edge partition?

The Multiple-Choice Matching Problem

Input:

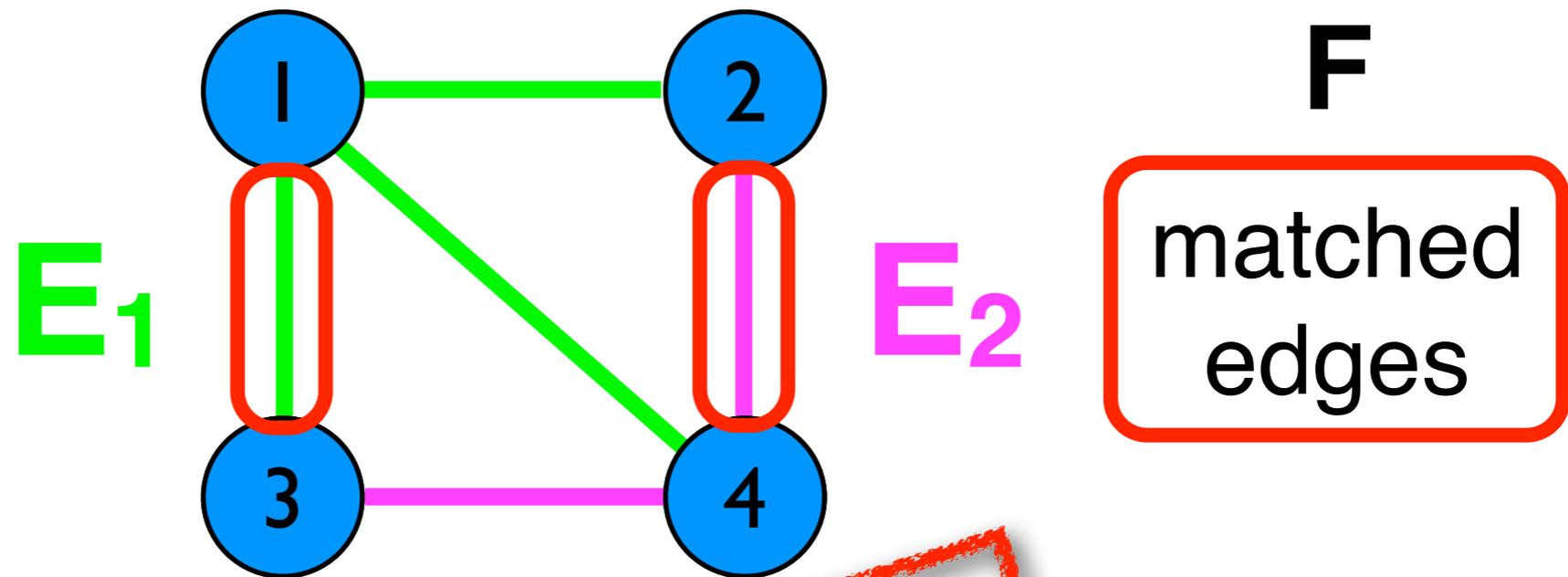


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Is there a matching F that contains exactly one edge from each edge partition?

The Multiple-Choice Matching Problem

Input:



Problem:

Is there a matching F that contains exactly one edge from each edge partition?

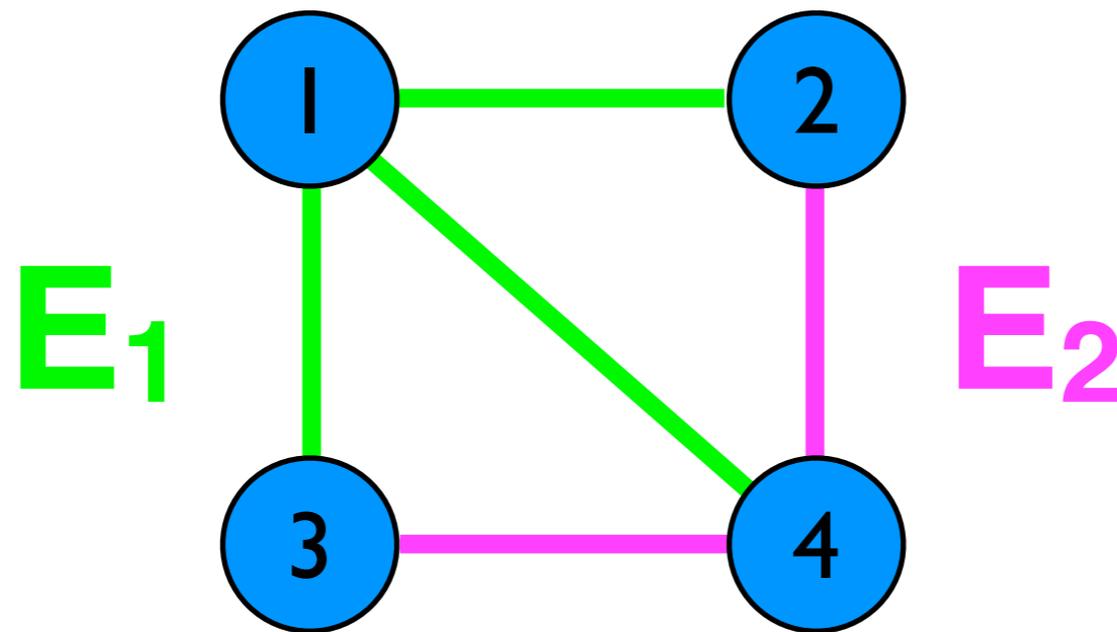
NP-HARD

Outline

- Introduction ✓
- Intuition: Why does nesting make the analysis difficult? ✓
- Reduction: From Multiple Choice Matching to Blocking Analysis
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The Multiple-Choice Matching Problem

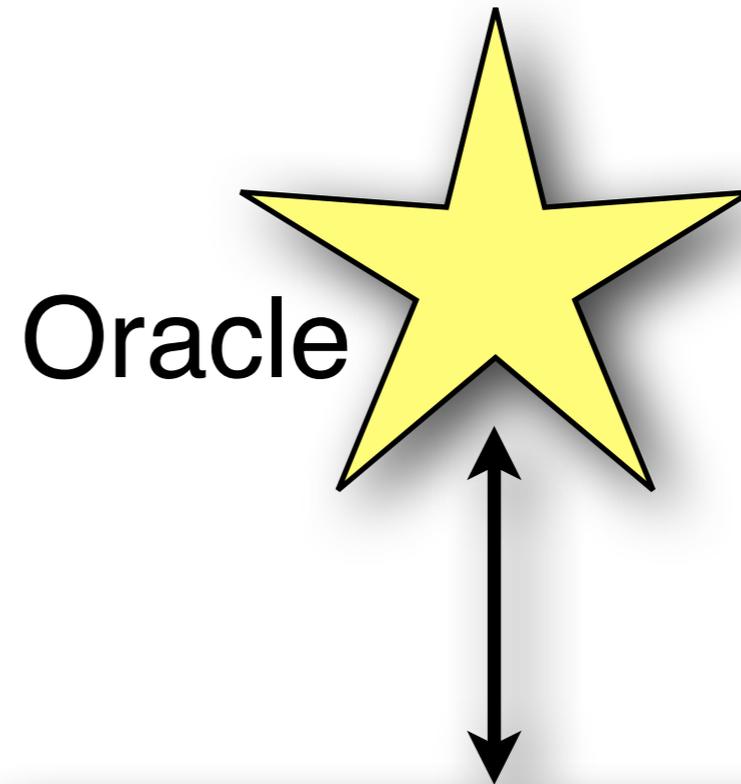
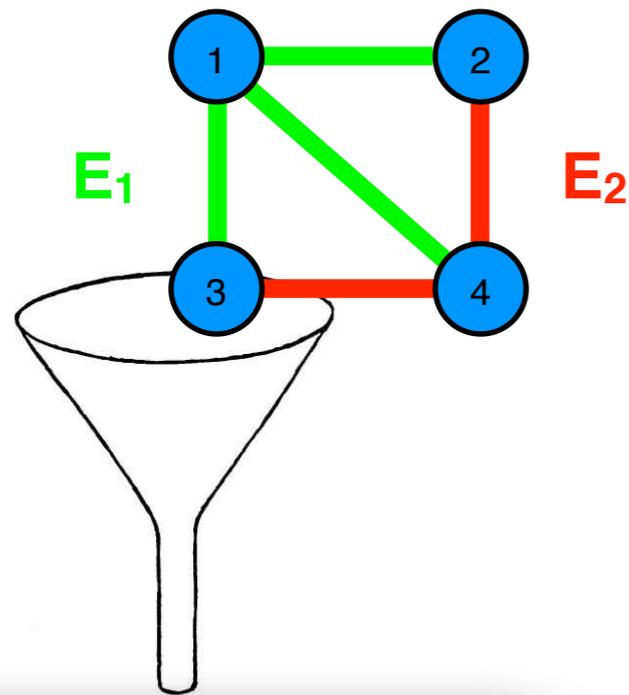
Input:



Problem:

Is there a matching F that contains exactly one edge from each edge partition?

Reduction Overview

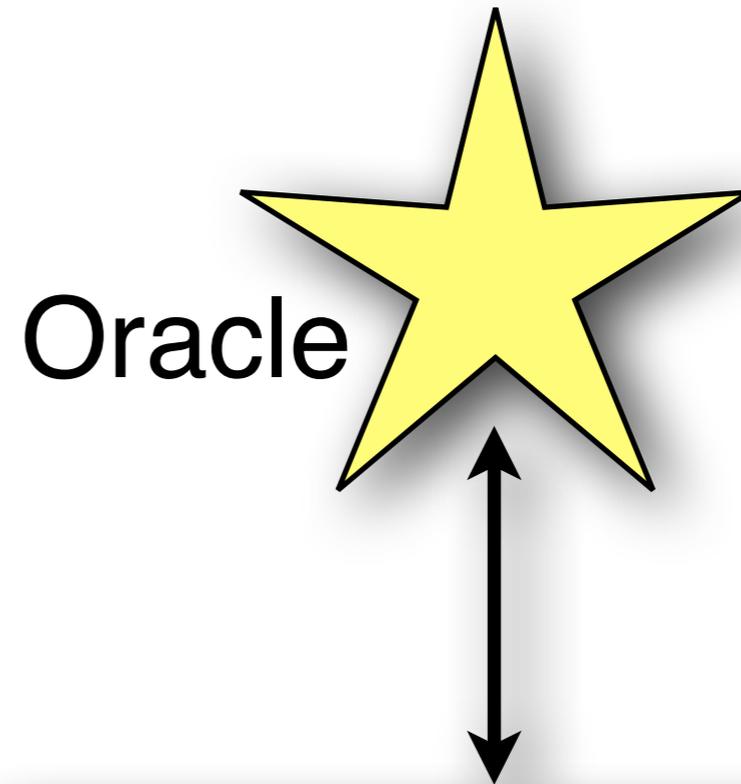
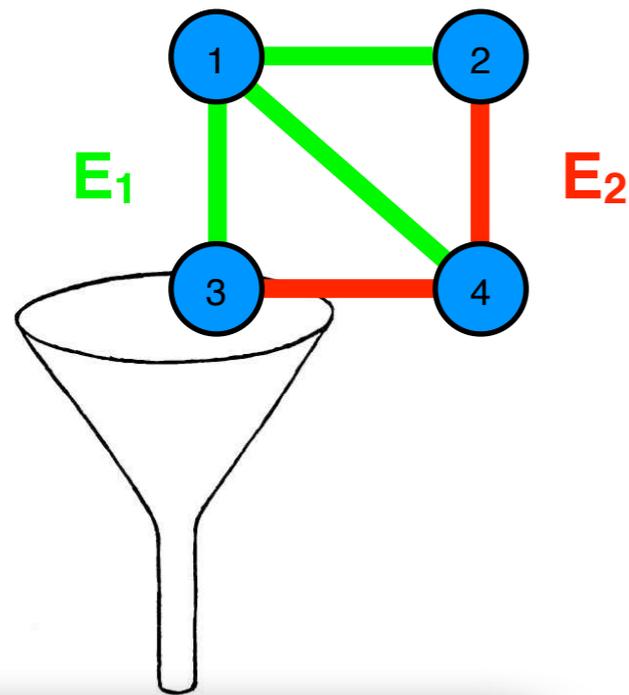


Multiple-Choice Matching

polynomial-time transformations

Blocking analysis for nested locks

Reduction Overview

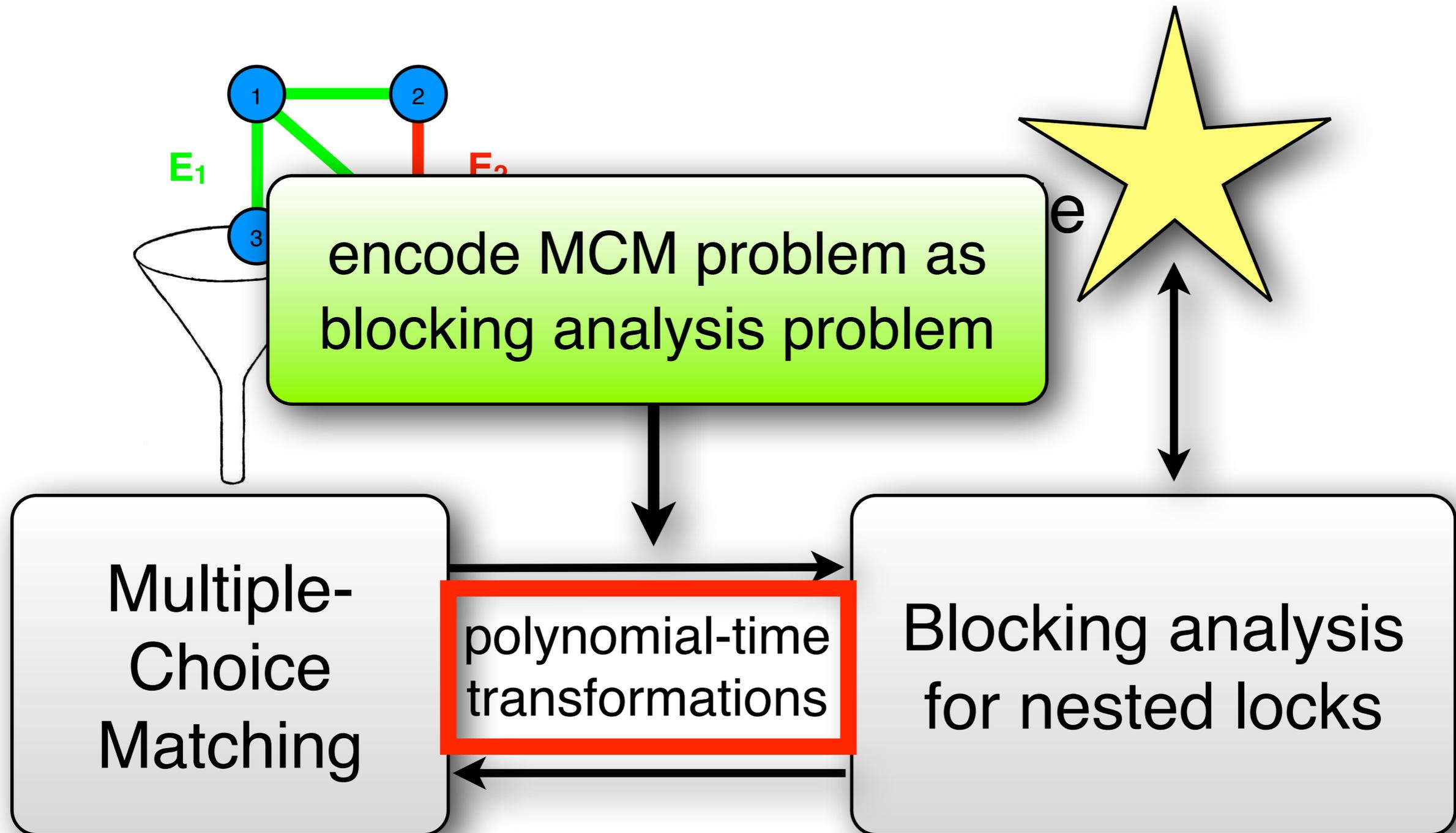


Multiple-Choice Matching

polynomial-time transformations

Blocking analysis for nested locks

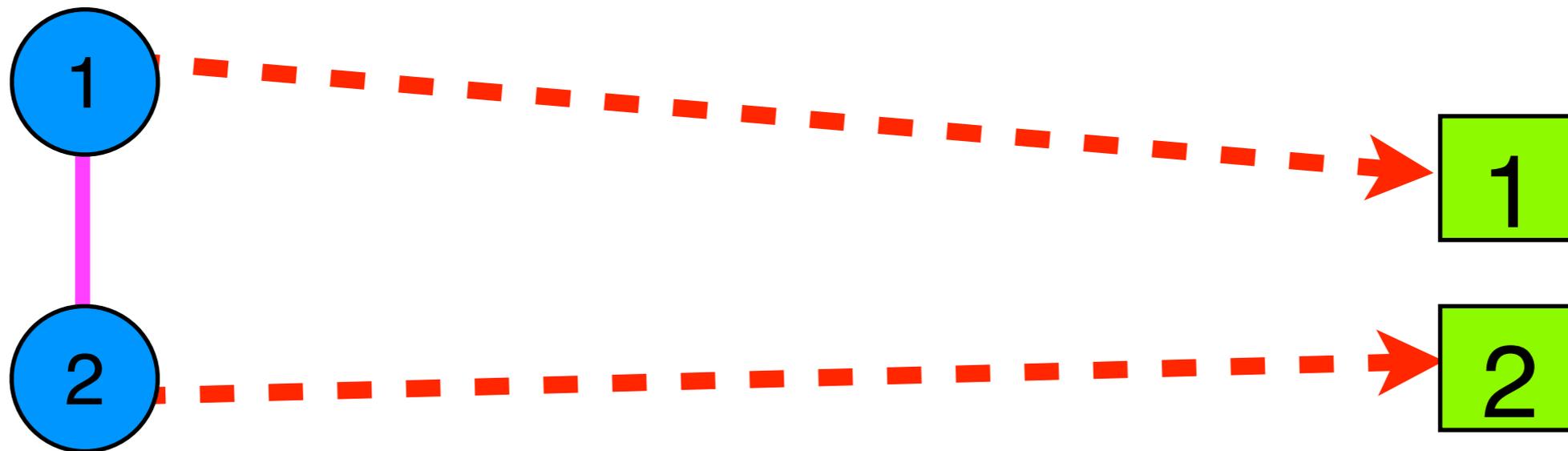
Reduction Overview



Encoding Vertices as Resources

Multiple-Choice
Matching Problem

Blocking Analysis
Problem

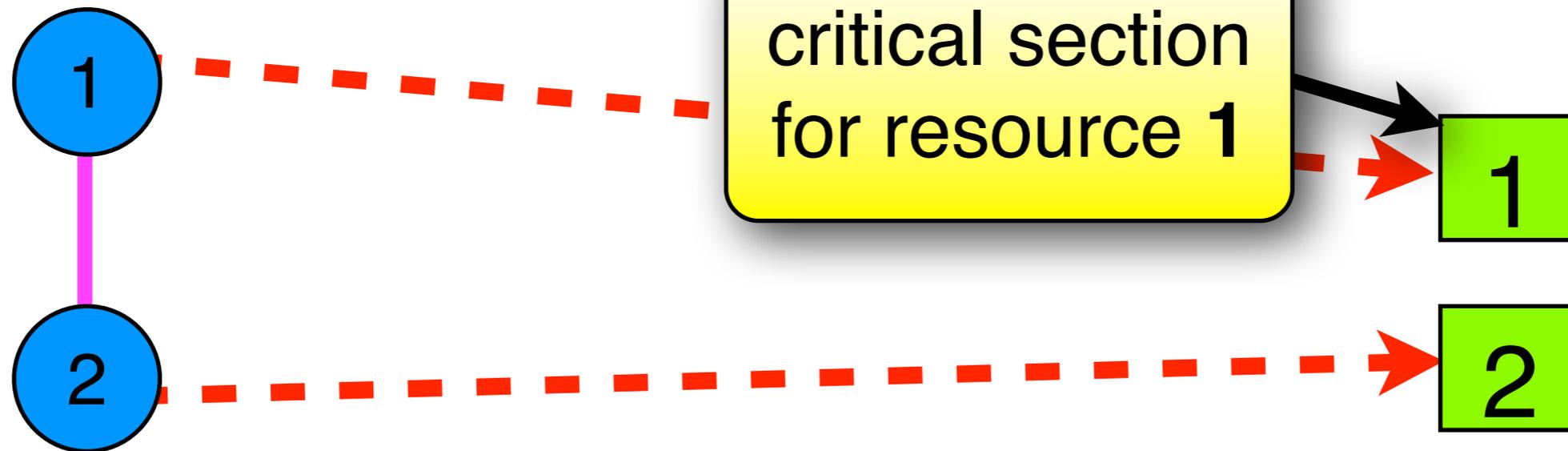


vertices → resources

Encoding Vertices as Resources

Multiple-Choice
Matching Problem

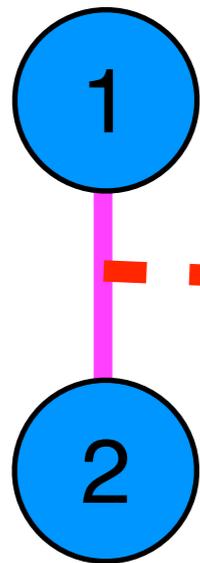
Blocking Analysis
Problem



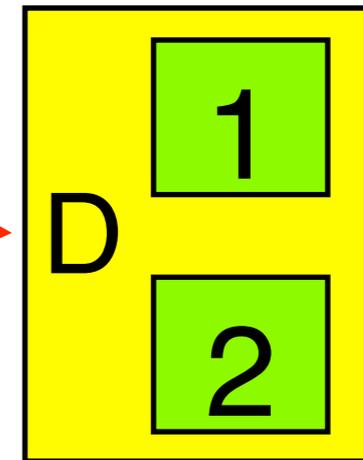
vertices → resources

Encoding Edges as Nested Critical Sections

Multiple-Choice
Matching Problem



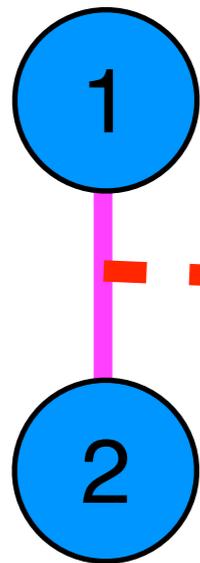
Blocking Analysis
Problem



edges \rightarrow outer critical sections

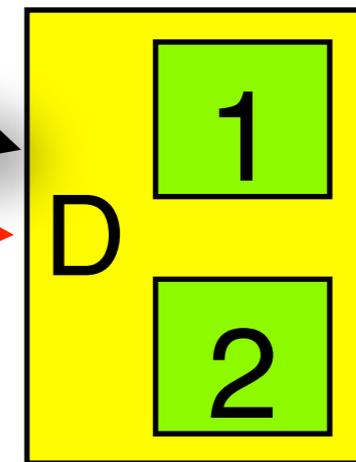
Encoding Edges as Nested Critical Sections

Multiple-Choice
Matching Problem



critical section
for resource **D**
with nested
critical sections
for **1** and **2**

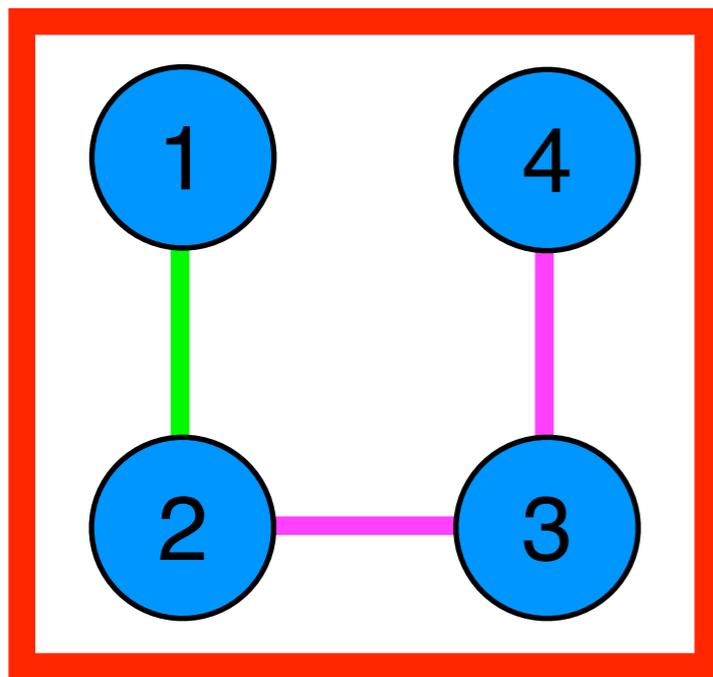
Blocking Analysis
Problem



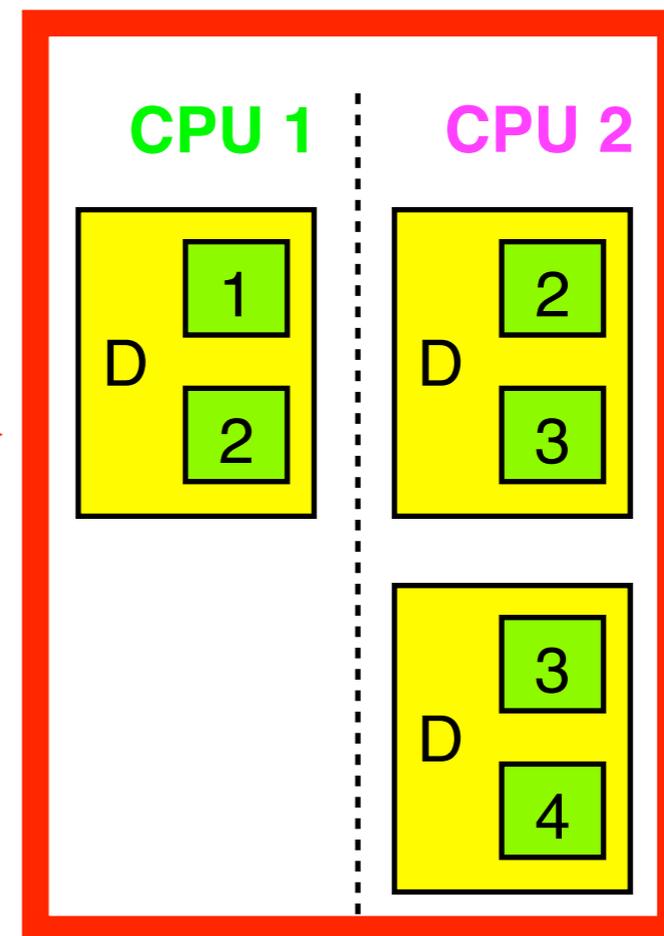
edges → outer critical sections

Encoding MCM as a Blocking Analysis

Multiple-Choice Matching Problem

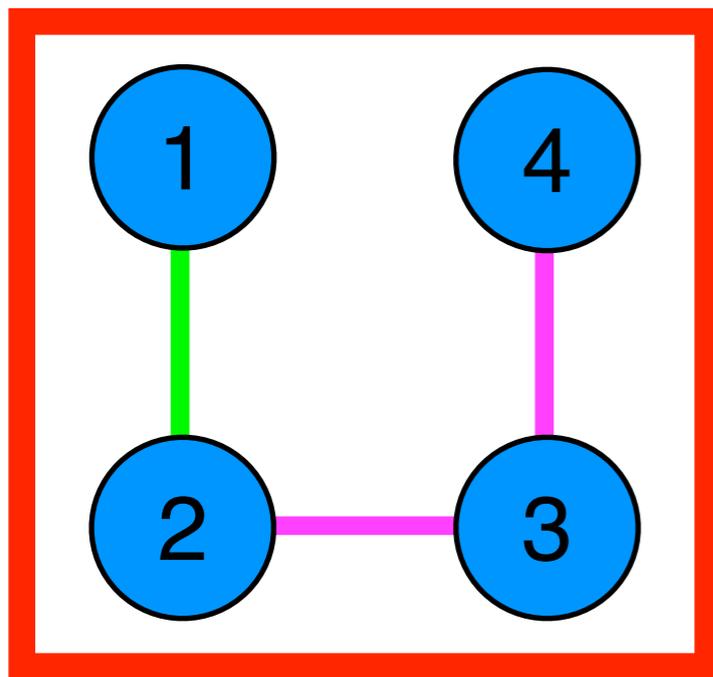


Blocking Analysis Problem



Encoding MCM as a Blocking Analysis

Multiple-Choice Matching Problem

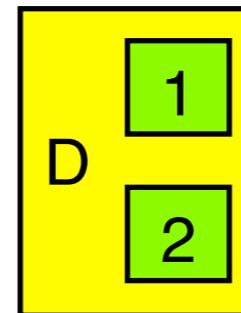


Blocking Analysis Problem

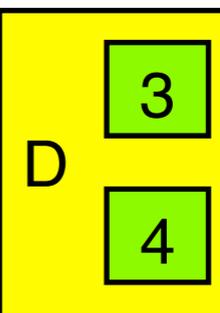
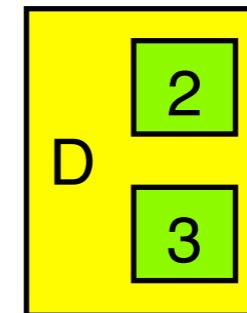
CPU 0



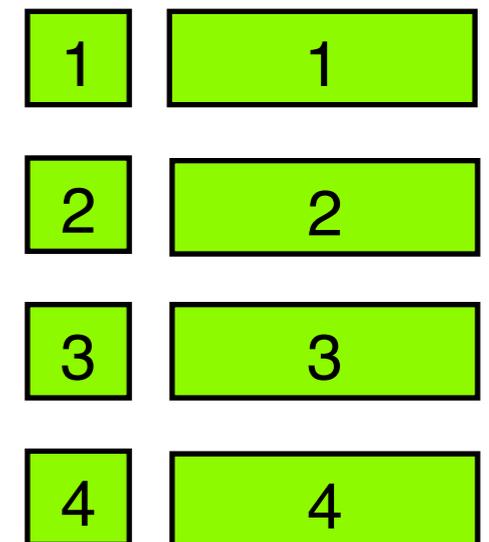
CPU 1



CPU 2

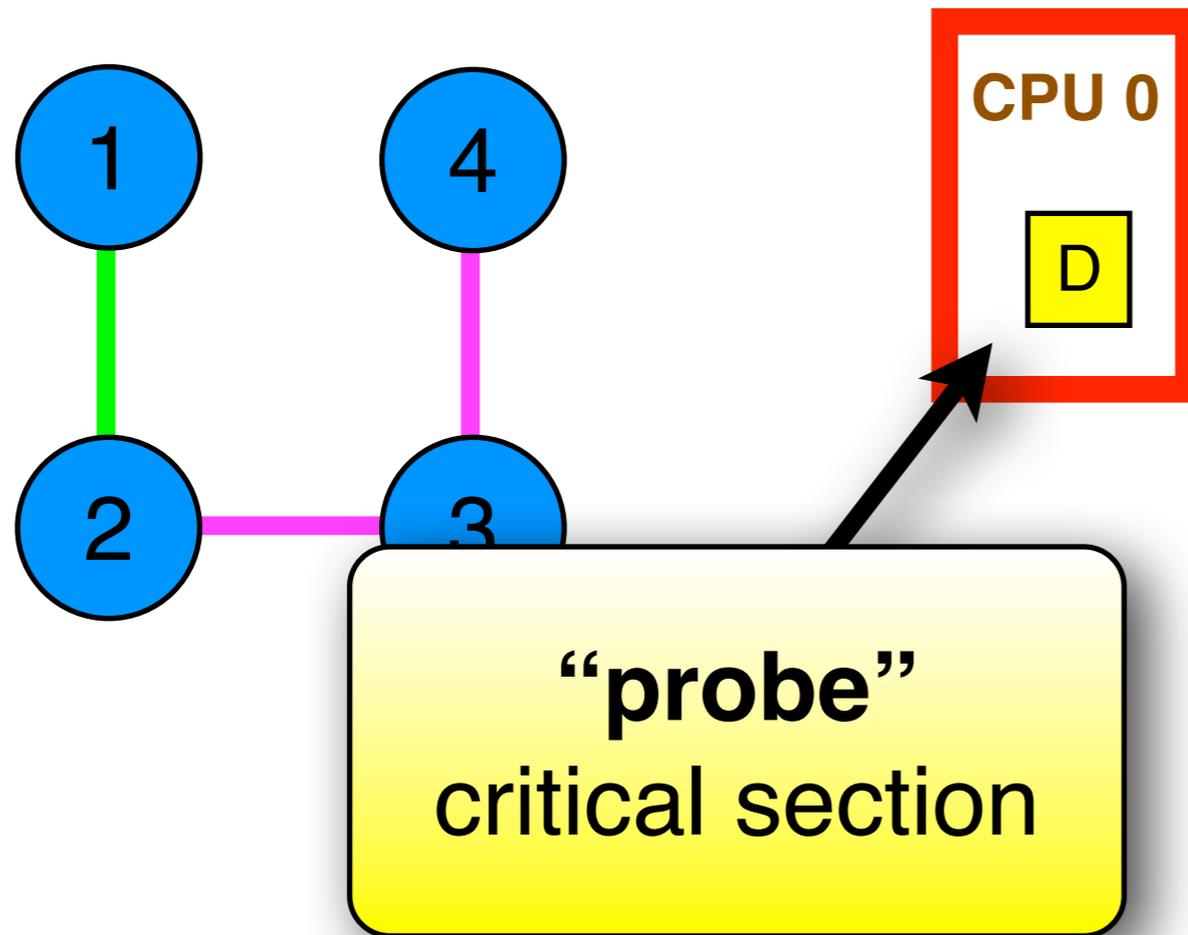


CPU 3

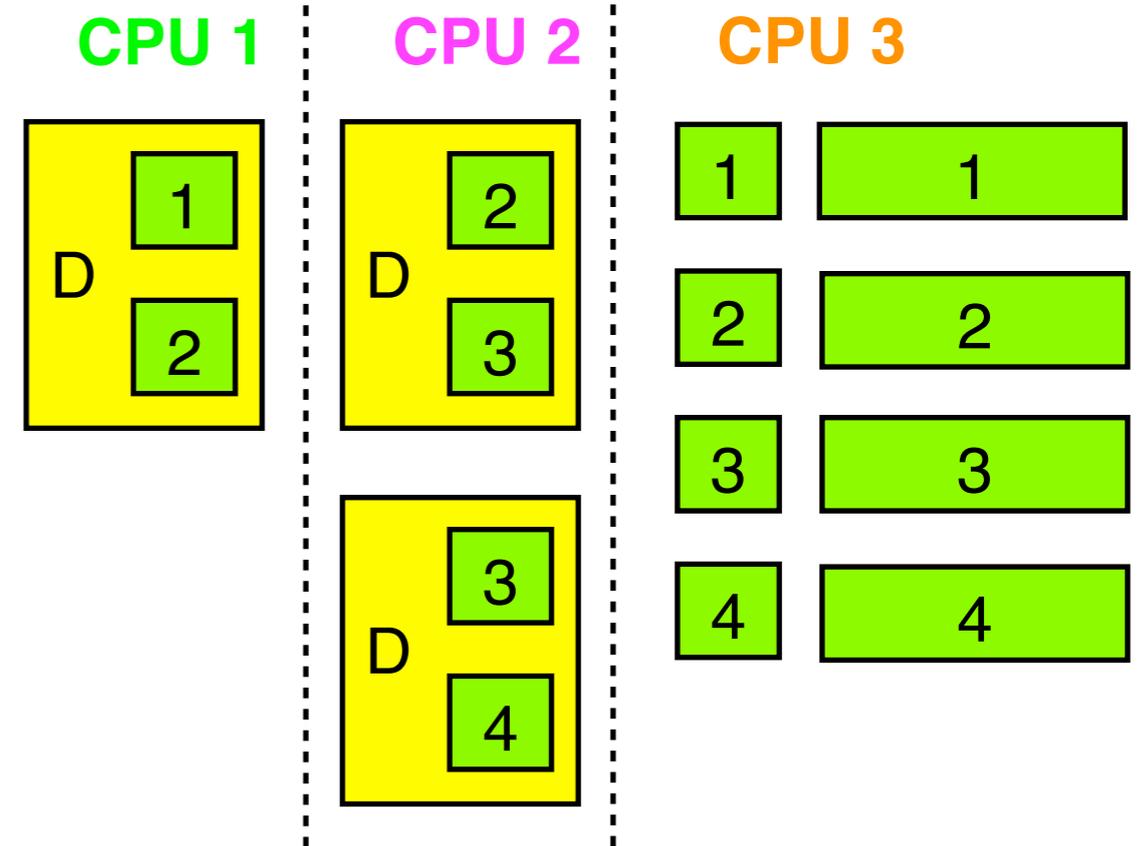


Encoding MCM as a Blocking Analysis

Multiple-Choice Matching Problem

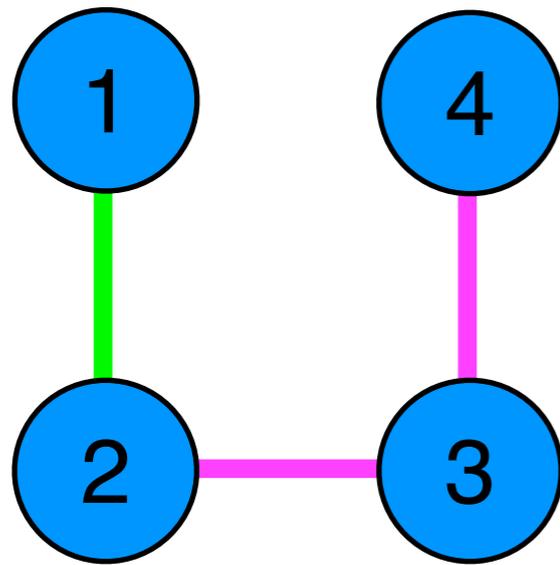


Blocking Analysis Problem

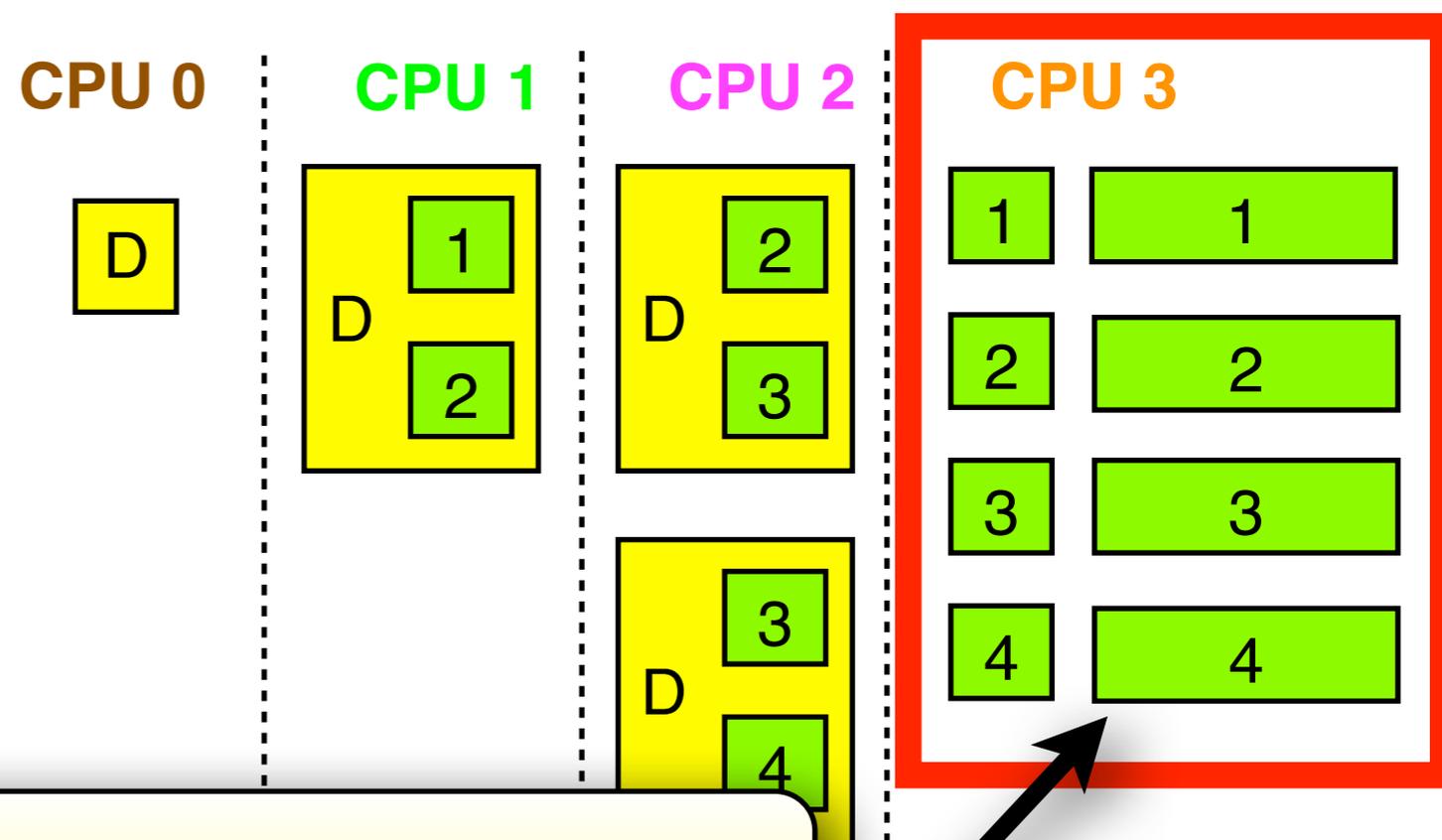


Encoding MCM as a Blocking Analysis

Multiple-Choice Matching Problem



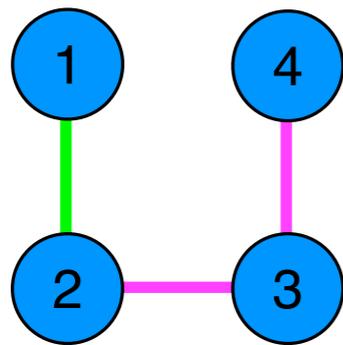
Blocking Analysis Problem



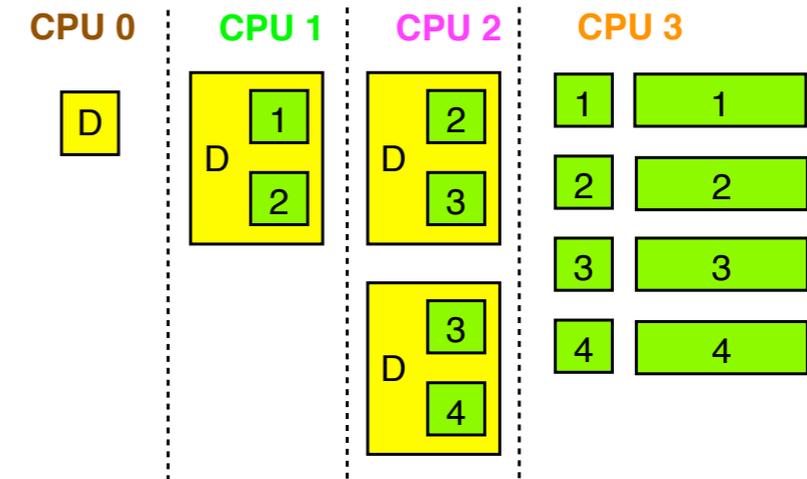
one long and one short
critical section for each
shared resource

Encoding MCM as a Blocking Analysis

Multiple-Choice Matching Problem

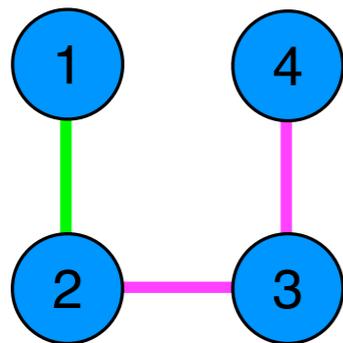


Blocking Analysis Problem



Encoding MCM as a Blocking Analysis

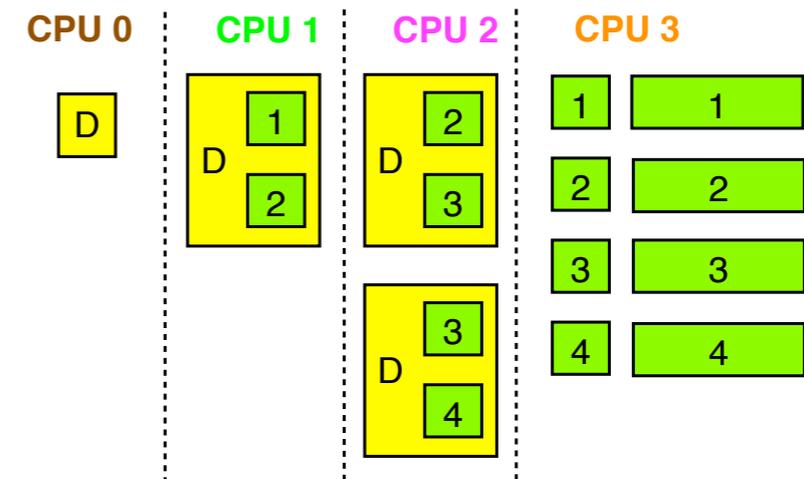
Multiple-Choice Matching Problem



Is there a set of edges \mathbf{F} such that

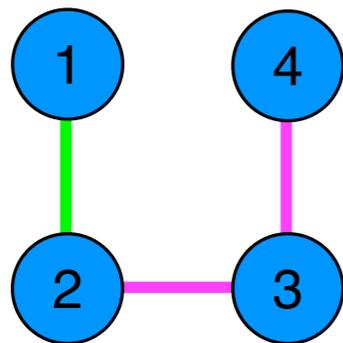
\mathbf{F} contains exactly one green and one purple edge

Blocking Analysis Problem



Encoding MCM as a Blocking Analysis

Multiple-Choice Matching Problem



Is there a set of edges \mathbf{F} such that

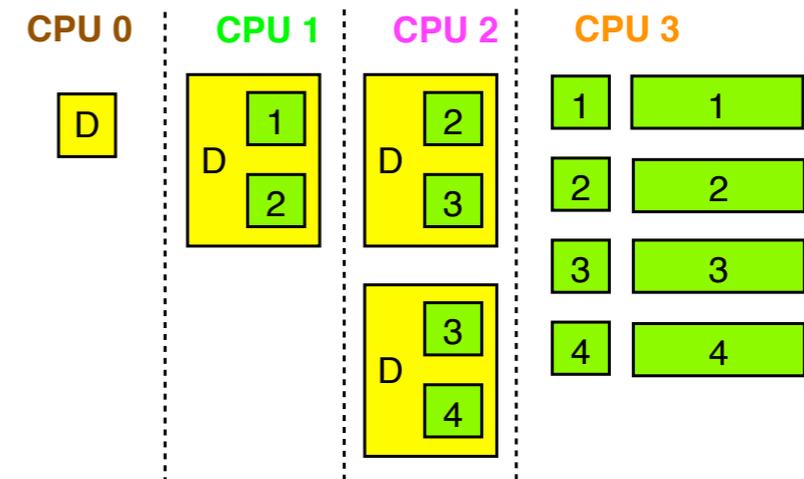
\mathbf{F} contains exactly one green and one purple edge

and

all edges in \mathbf{F} are pairwise disjoint

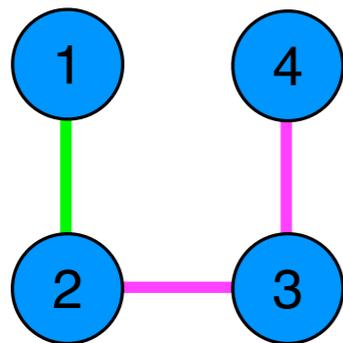
?

Blocking Analysis Problem



Encoding MCM as a Blocking Analysis

Multiple-Choice Matching Problem



Is there a set of edges \mathbf{F} such that

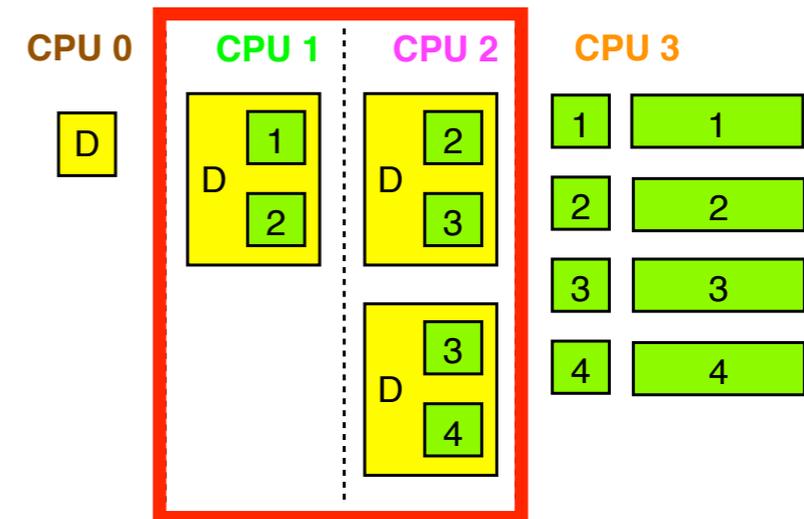
\mathbf{F} contains exactly one green and one purple edge

and

all edges in \mathbf{F} are pairwise disjoint

?

Blocking Analysis Problem

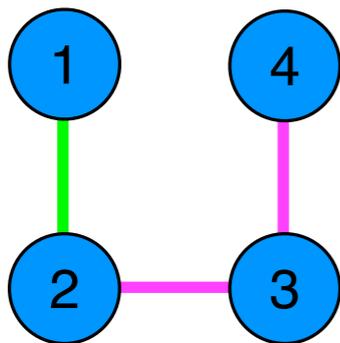


Is there a worst-case schedule such that

exactly one CS for \mathbf{D} from CPU 1 and CPU 2 block

Encoding MCM as a Blocking Analysis

Multiple-Choice Matching Problem



Is there a set of edges \mathbf{F} such that

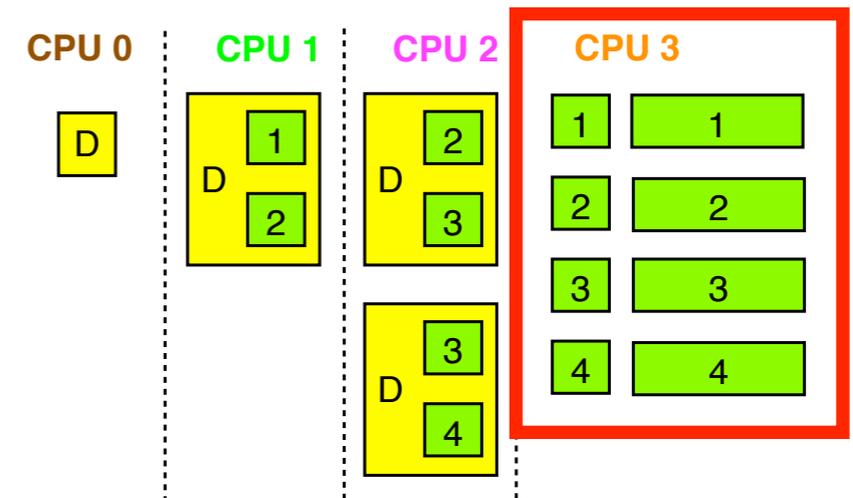
\mathbf{F} contains exactly one green and one purple edge

and

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?

Blocking Analysis Problem



Is there a worst-case schedule such that

exactly one CS for \mathbf{D} from CPU 1 and CPU 2 block

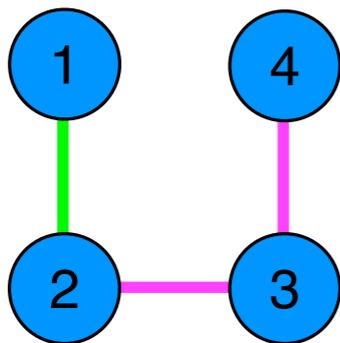
and

no short CS from CPU 3 transitively blocks

?

Encoding MCM as a Blocking Analysis

Multiple-Choice Matching Problem

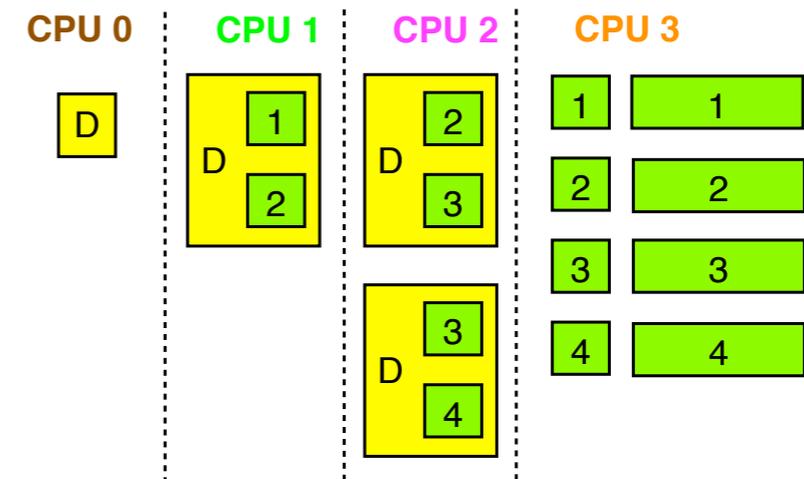


Is there a set of edges \mathbf{F} such that

\mathbf{F} contains exactly one green and one purple edge

all edges in \mathbf{F} are pairwise disjoint

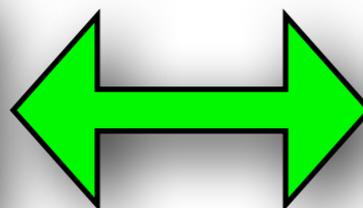
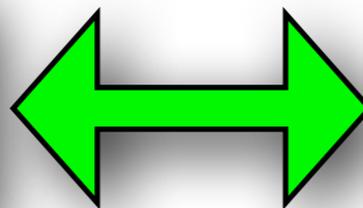
Blocking Analysis Problem



Is there a worst-case schedule such that

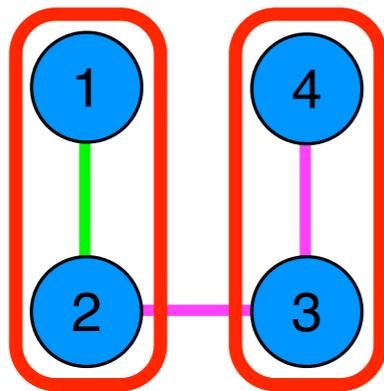
exactly one CS for \mathbf{D} from CPU 1 and CPU 2 block

no short CS from CPU 3 transitively blocks



Example: MCM Solution Does Exist

Multiple-Choice Matching Problem



Is there a set of edges \mathbf{F} such that

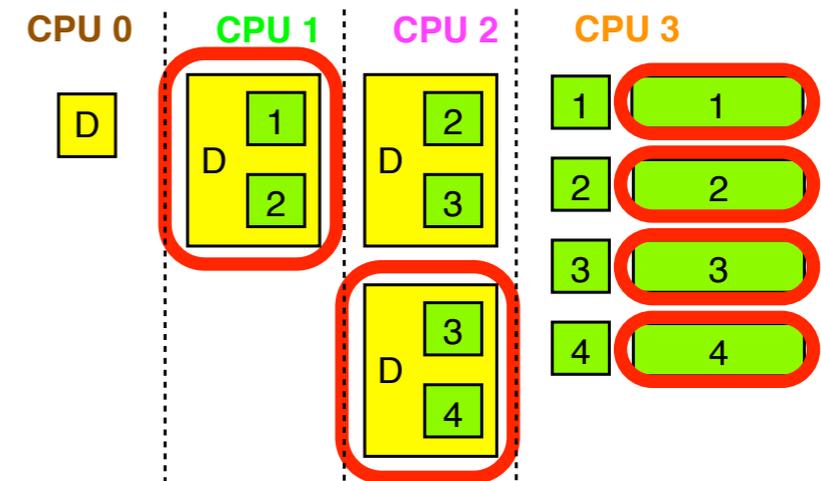
\mathbf{F} contains exactly one green and one purple edge

and

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?

Blocking Analysis Problem



Is there a worst-case schedule such that

exactly one CS for \mathbf{D} from CPU 1 and CPU 2 block

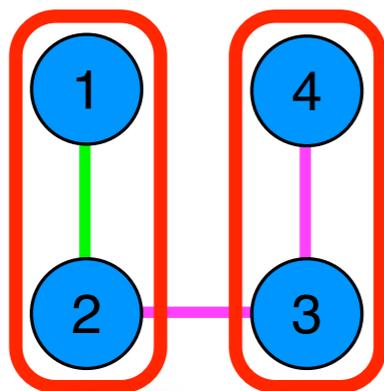
and

no short CS from CPU 3 transitively blocks

?

Example: MCM Solution Does Exist

Multiple-Choice Matching Problem

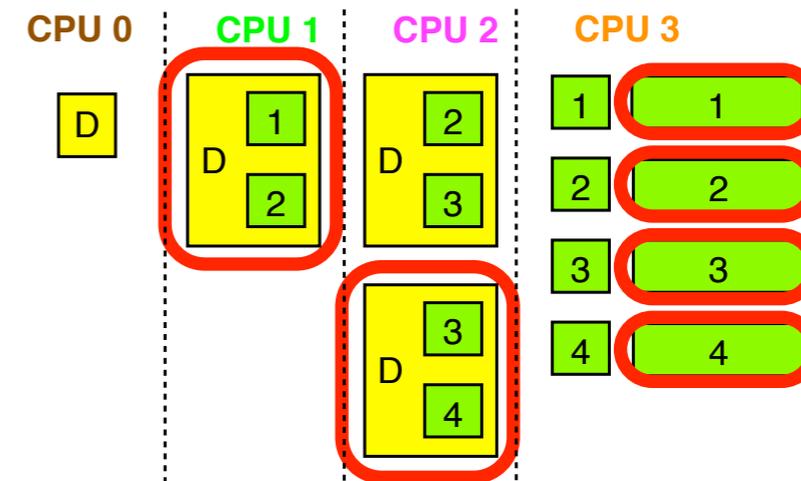


Is there a set of edges F such that

F contains exactly one green and one purple edge ✓

all edges in F are pairwise disjoint

Blocking Analysis Problem



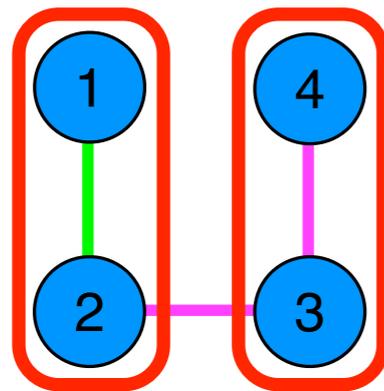
Is there a worst-case schedule such that

exactly one CS for D from CPU 1 and CPU 2 block

no short CS from CPU 3 transitively blocks

Example: MCM Solution Does Exist

Multiple-Choice Matching Problem

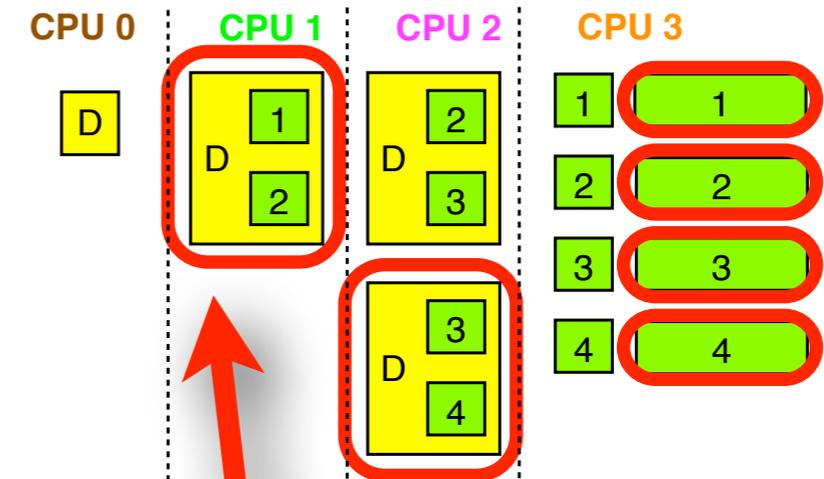


Is there a set of edges F such that

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Blocking Analysis Problem



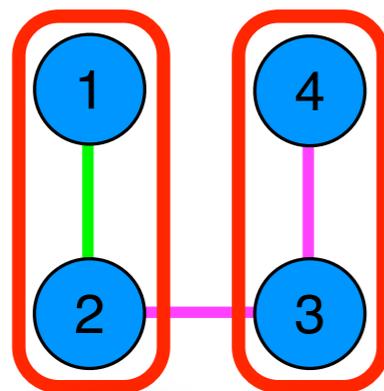
Is there a worst-case schedule such that

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Example: MCM Solution Does Exist

Multiple-Choice Matching Problem

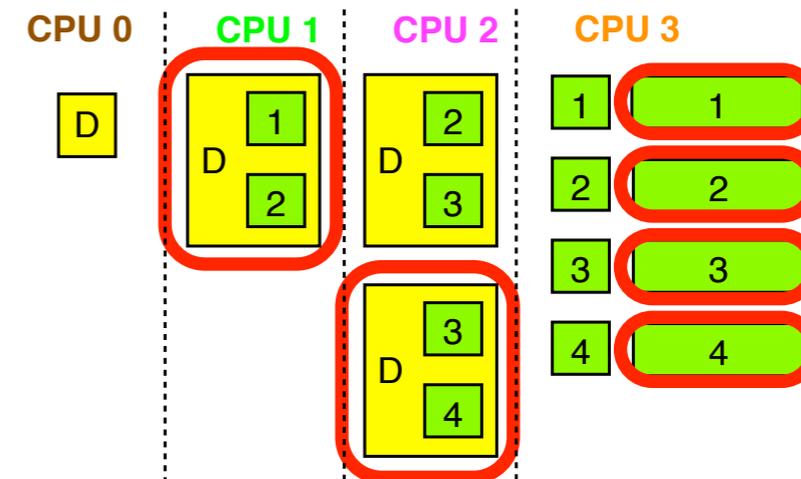


Is there a set of edges F such that

F contains exactly one green and one purple edge ✓

all edges in F are pairwise disjoint ✓

Blocking Analysis Problem



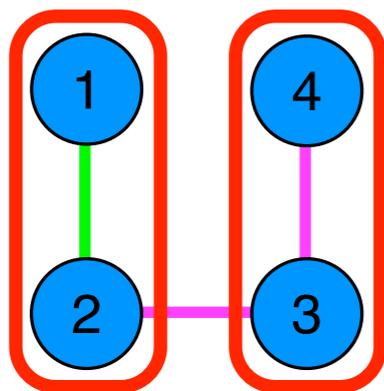
Is there a worst-case schedule such that

exactly one CS for D from CPU 1 and CPU 2 block ✓

no short CS from CPU 3 transitively blocks

Example: MCM Solution Does Exist

Multiple-Choice Matching Problem



Is there a set of edges F such that

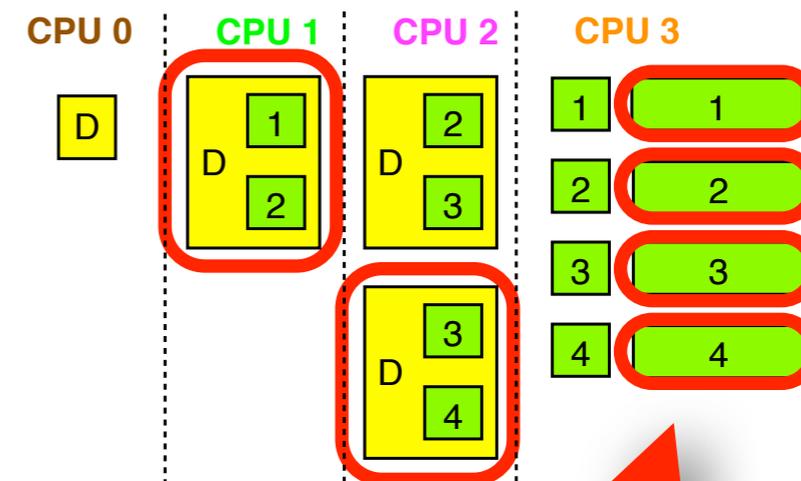
F contains exactly one green and one purple edge



all edges in F are pairwise disjoint



Blocking Analysis Problem



Is there a worst-case schedule such that

exactly one CS for D from CPU 1 and CPU 2 block

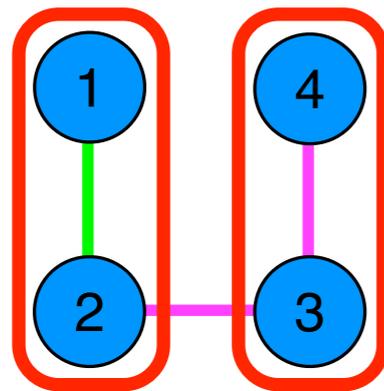


no short CS from CPU 3 transitively blocks



Example: MCM Solution Does Exist

Multiple-Choice Matching Problem

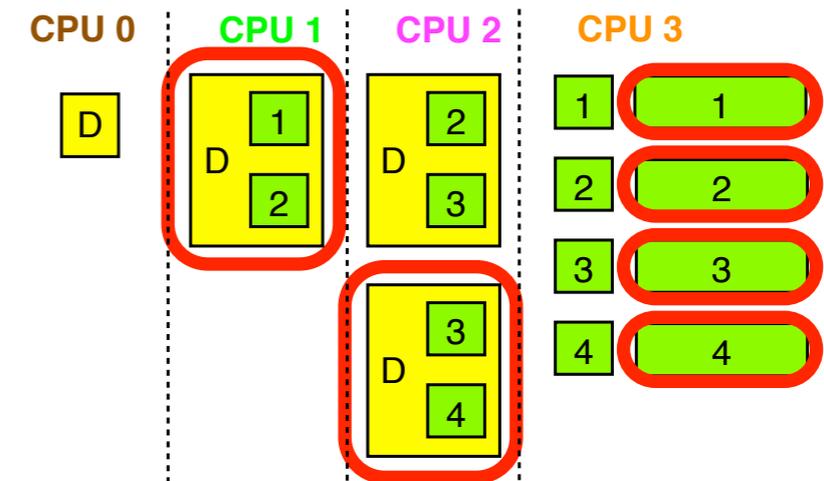


Is there a set of edges F such that

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all edges in F are pairwise disjoint ✓

Blocking Analysis Problem



Is there a worst-case schedule such that

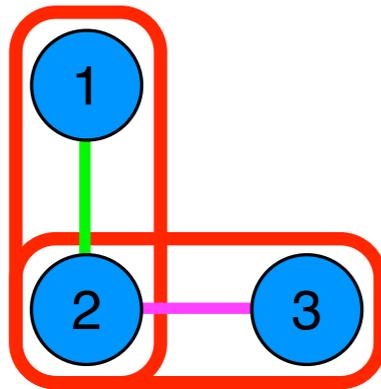
exactly one CS for D from CPU 1 and CPU 2 block ✓

no short CS from CPU 3 transitively blocks ✓

Example: MCM Solution **Does Not Exist**

Example: MCM Solution Does Not Exist

Multiple-Choice Matching Problem

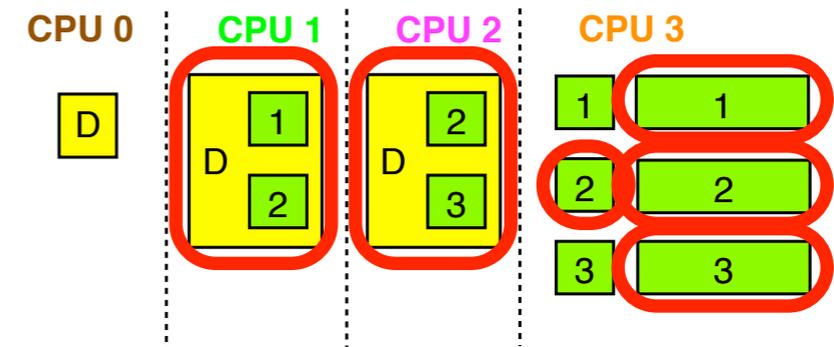


Is there a set of edges F such that

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all edges in F are pairwise disjoint

Blocking Analysis Problem



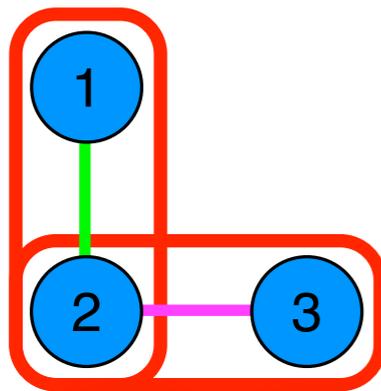
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Example: MCM Solution Does Not Exist

Multiple-Choice Matching Problem

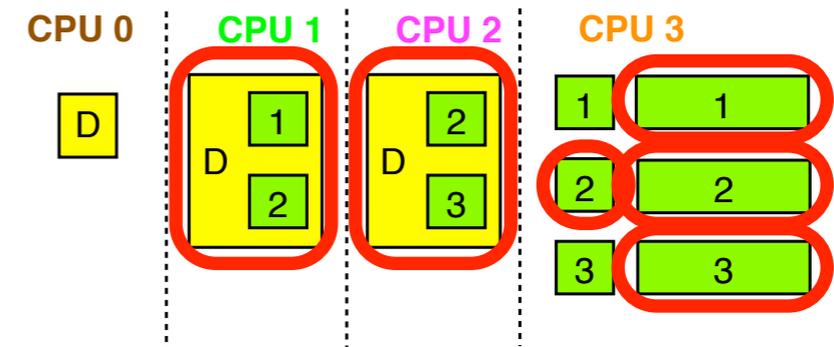


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Blocking Analysis Problem



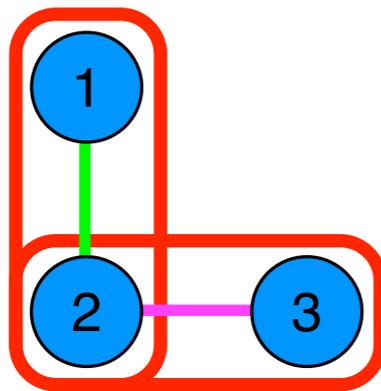
Is there a worst-case schedule such that

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no short CS from CPU 3 transitively blocks

Example: MCM Solution Does Not Exist

Multiple-Choice Matching Problem

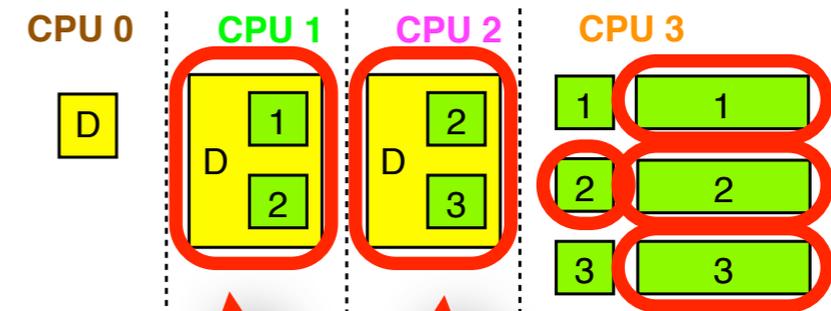


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all edges in F are pairwise disjoint

Blocking Analysis Problem



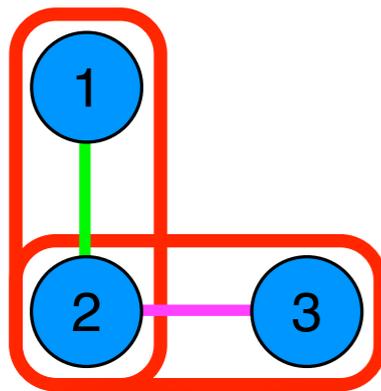
Is there a worst-case schedule such that

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no short CS from CPU 3 transitively blocks

Example: MCM Solution Does Not Exist

Multiple-Choice Matching Problem



Is there a set of edges F such that

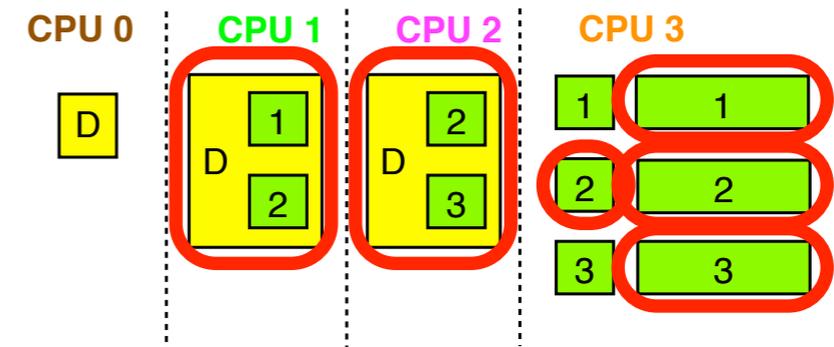
F contains exactly one green and one purple edge



all edges in F are pairwise disjoint



Blocking Analysis Problem



Is there a worst-case schedule such that

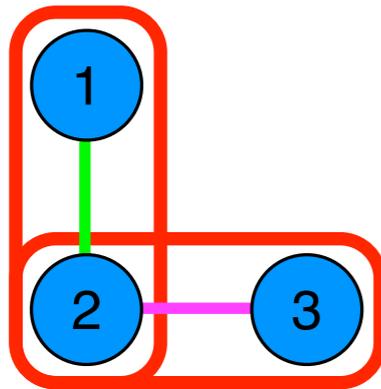
exactly one CS for D from CPU 1 and CPU 2 block



no short CS from CPU 3 transitively blocks

Example: MCM Solution Does Not Exist

Multiple-Choice Matching Problem



Is there a set of edges F such that

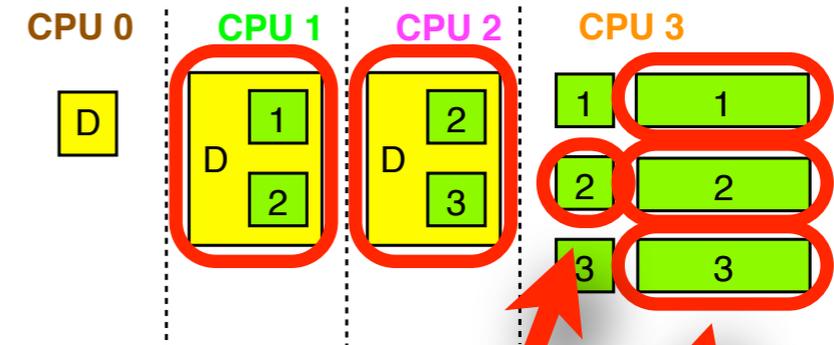
F contains exactly one green and one purple edge



all edges in F are pairwise disjoint



Blocking Analysis Problem



Is there a worst-case schedule such that

exactly one CS for D from CPU 1 and CPU 2 block

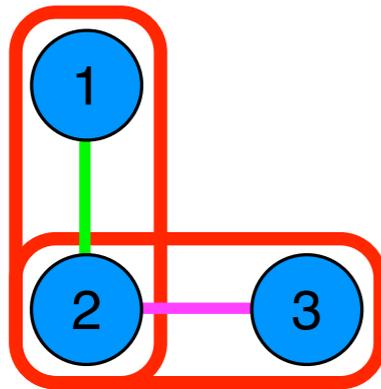


no short CS from CPU 3 transitively blocks



Example: MCM Solution Does Not Exist

Multiple-Choice Matching Problem



Is there a set of edges F such that

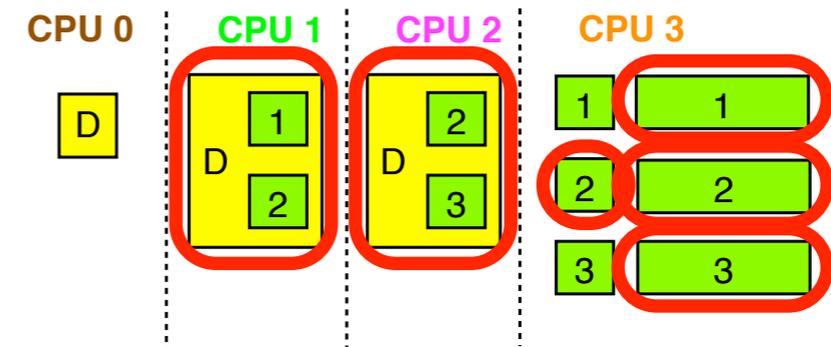
F contains exactly one green and one purple edge



all edges in F are pairwise disjoint



Blocking Analysis Problem



Is there a worst-case schedule such that

exactly one CS for D from CPU 1 and CPU 2 block



no short CS from CPU 3 transitively blocks



Encoding MCM as a Blocking Analysis

Result of blocking analysis is
bound on blocking duration!

Encoding MCM as a Blocking Analysis

Result of blocking analysis is
bound on blocking duration!

Is there a worst-case
schedule such that

exactly one CS for **D** from
CPU 1 and CPU 2 block

and

no short CS from CPU 3
transitively blocks

?

Encoding MCM as a Blocking Analysis

Result of blocking analysis is
bound on blocking duration!

Is there a worst-case
schedule such that

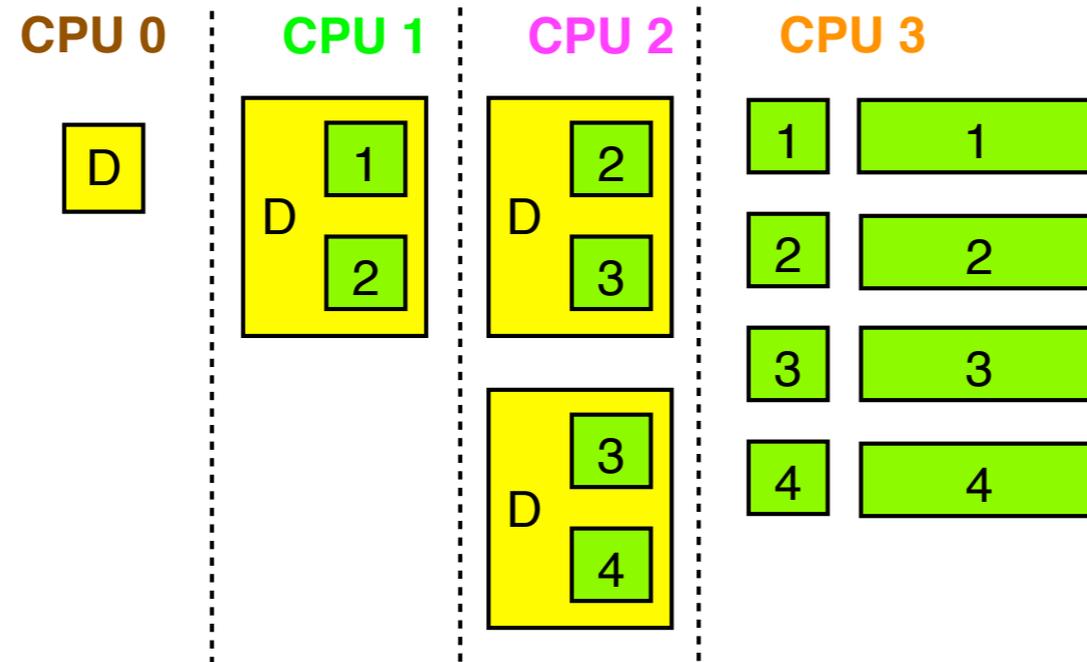
exactly one CS for \mathbf{D} from
CPU 1 and CPU 2 block

no short CS from CPU 3
transitively blocks

How to derive
from blocking bound
whether conditions
hold?

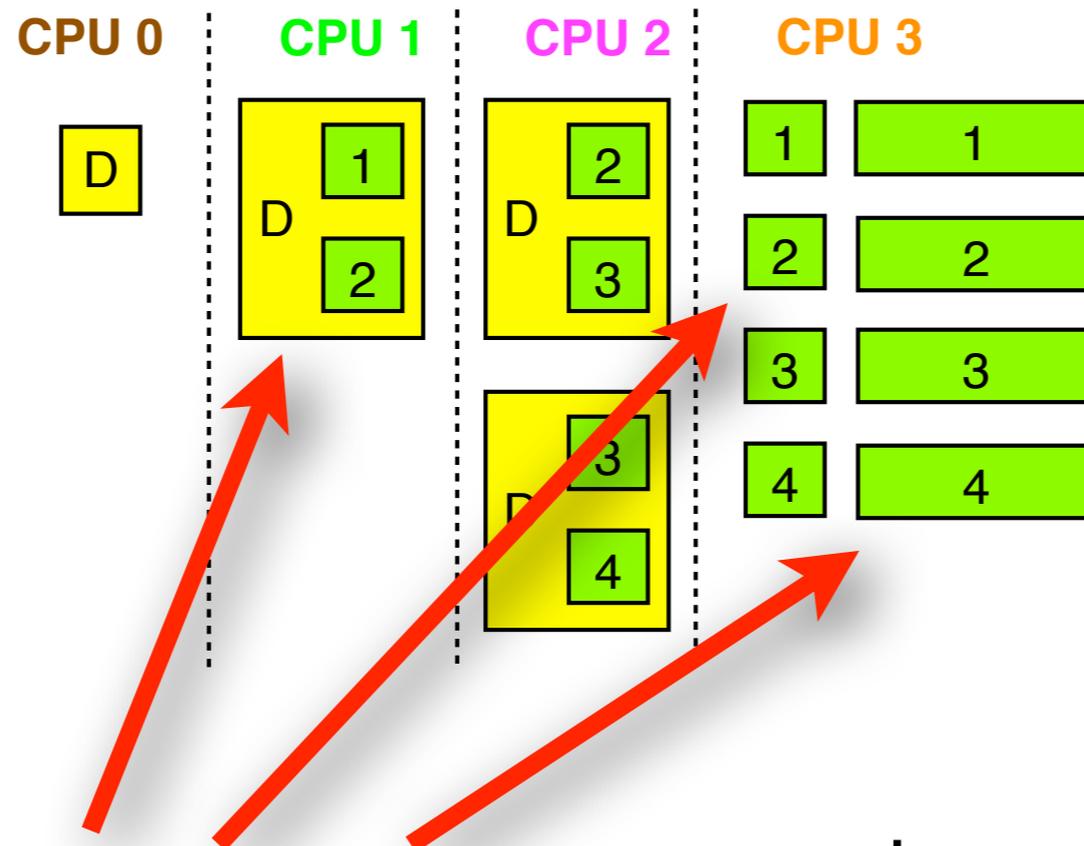
Encoding MCM as a Blocking Analysis

Blocking Analysis Problem



Encoding MCM as a Blocking Analysis

Blocking Analysis Problem

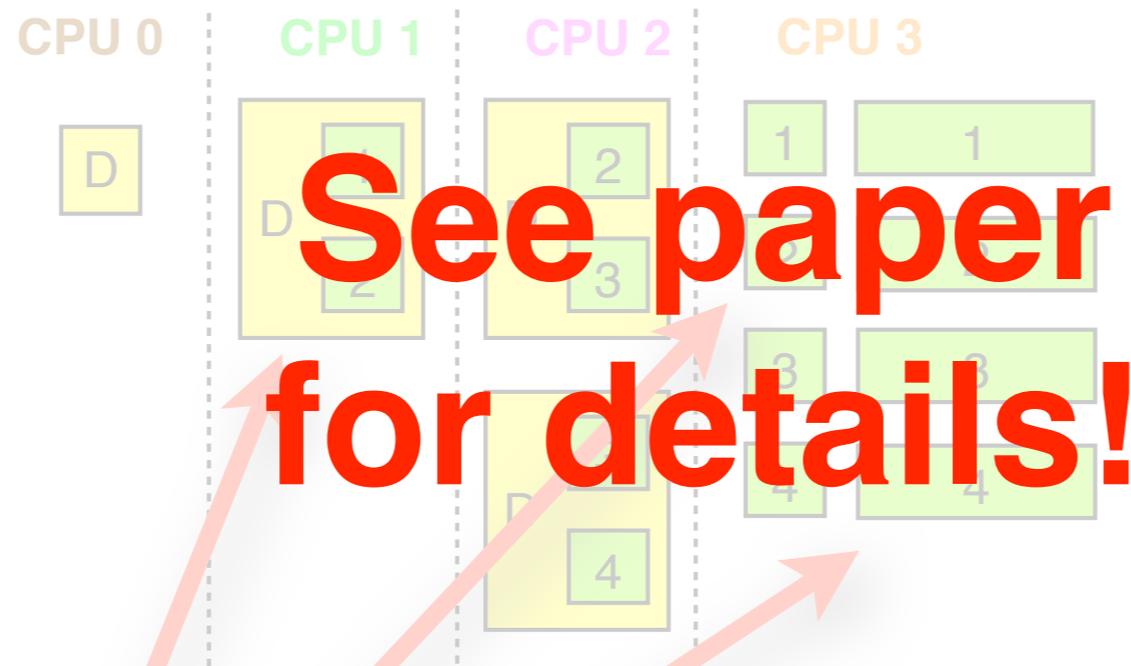


Choose critical section lengths such that it can be inferred from the blocking bound:

- how many requests from CPU 1 and CPU 2 block, and
- how many short requests from CPU 3 transitively block.

Encoding MCM as a Blocking Analysis

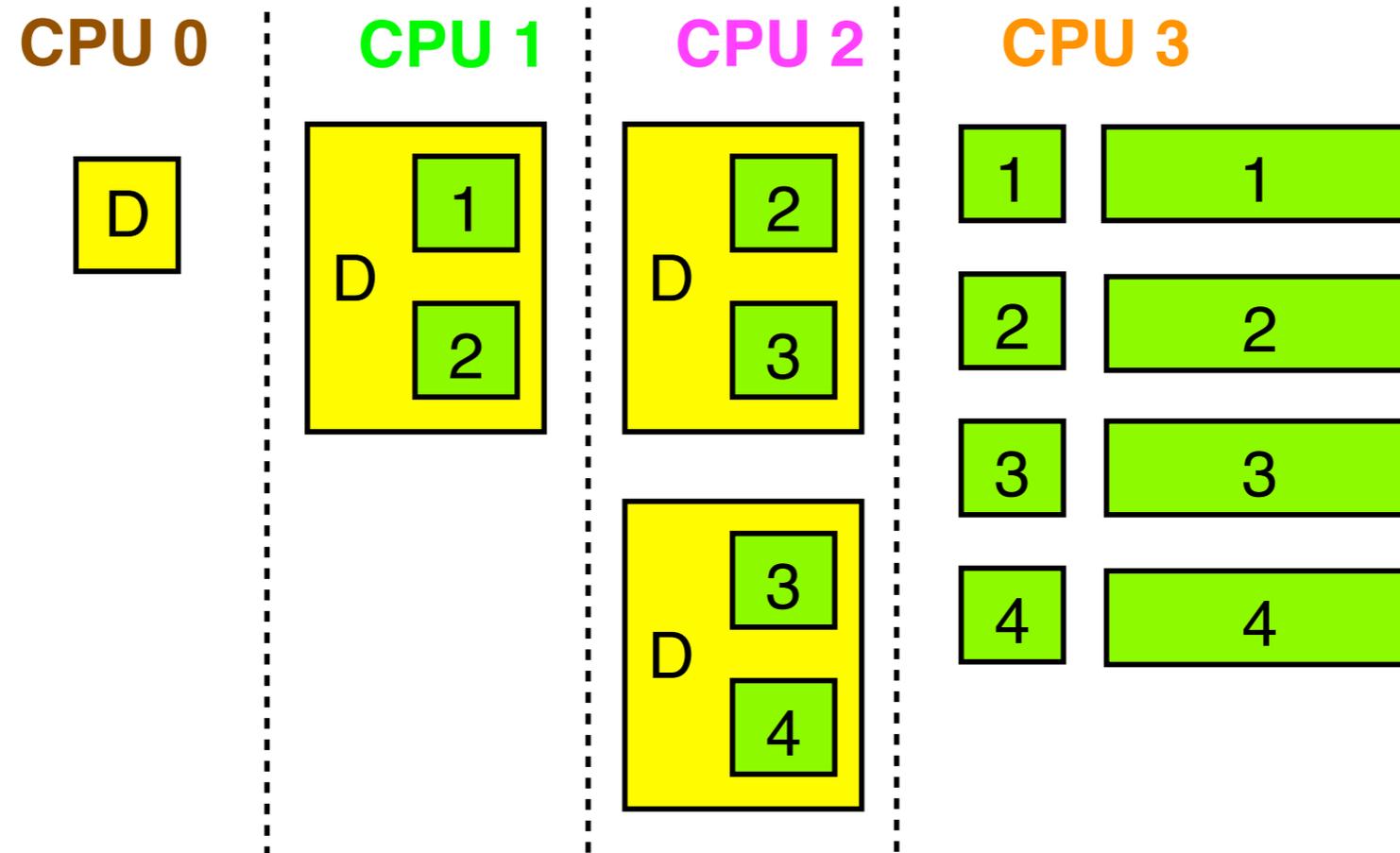
Blocking Analysis Problem



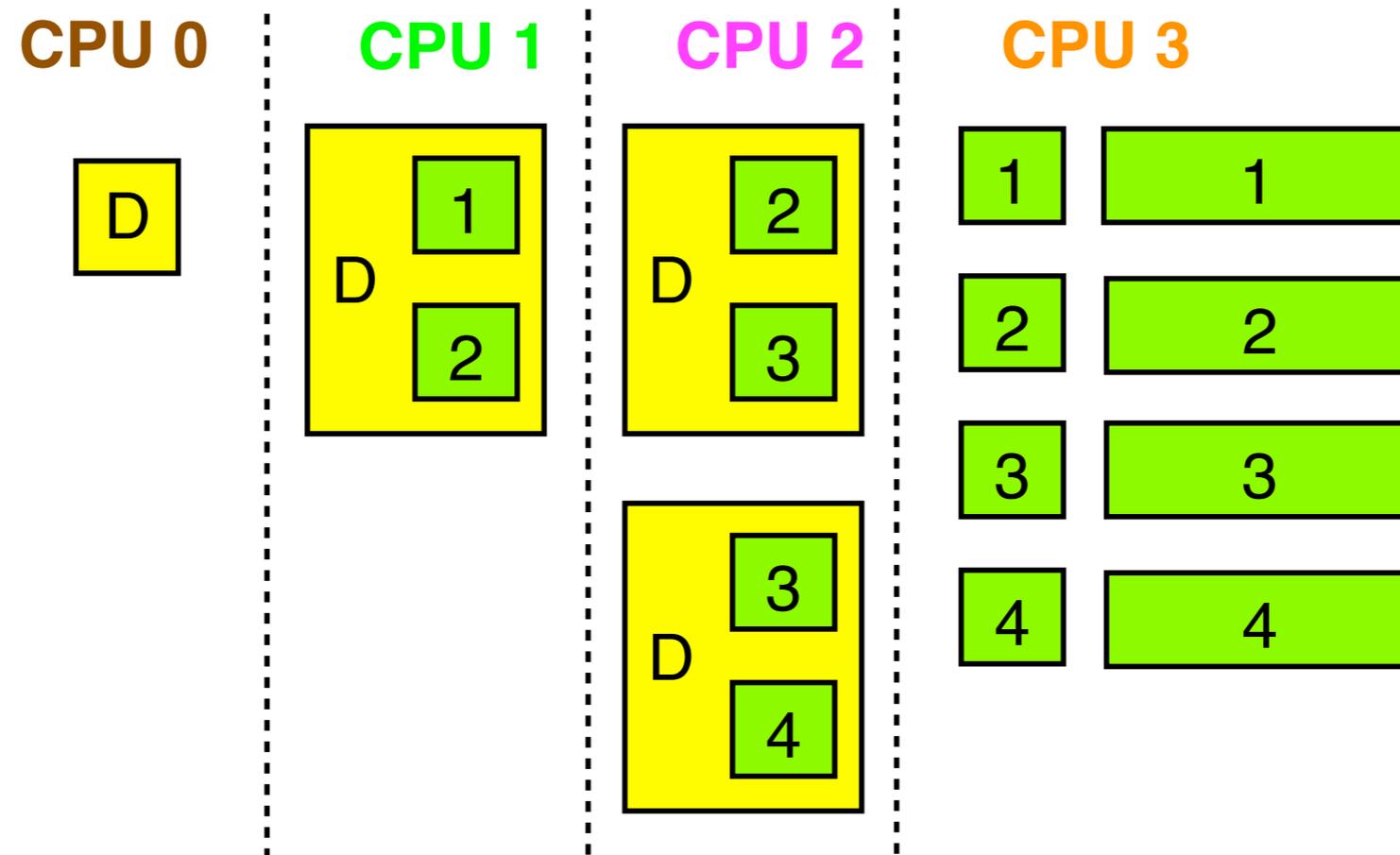
Choose critical section lengths such that it can be inferred from the blocking bound:

- how many requests from CPU 1 and CPU 2 block, and
- how many short requests from CPU 3 transitively block.

Generality of Reduction



Generality of Reduction



Reduction uses a
single job
per processor.

Generality of Reduction

Reduction uses a
single job
per processor.

Reduction oblivious to

spin based
vs.
suspension
based locks

preemptable
vs. non-
preemptable
spinning

any work
conserving
scheduler

Outline

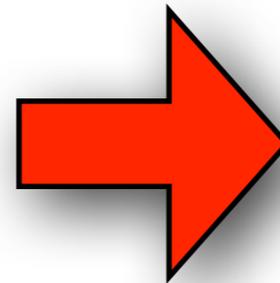
- Introduction ✓
- Intuition: Why does nesting make the analysis difficult? ✓
- Reduction: From Multiple Choice Matching to Blocking Analysis ✓
- Summary and Conclusion

Summary

multiprocessor system

locks with **strong ordering guarantees (FIFO/Priority)**

nested critical sections



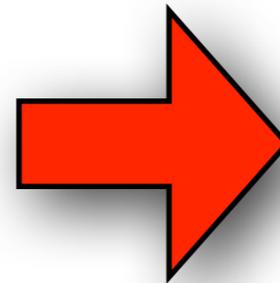
Blocking analysis
NP-hard!

Summary

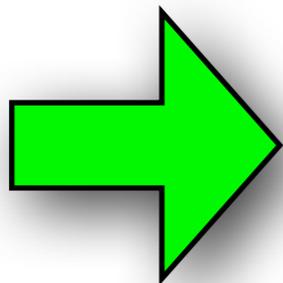
multiprocessor system

locks with **strong ordering guarantees (FIFO/Priority)**

nested critical sections



Blocking analysis
NP-hard!



Reduction from
Multiple Choice Matching Problem

Future Work

FIFO/priority-ordered locks:
Approximation hardness? PTAS?

Future Work

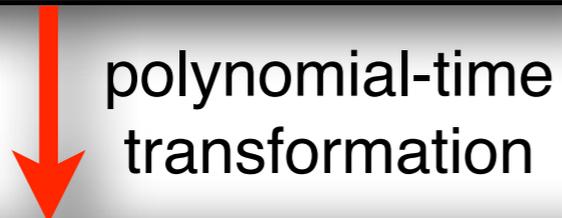
FIFO/priority-ordered locks:
Approximation hardness? PTAS?

**Nesting and efficient analysis
possible?**

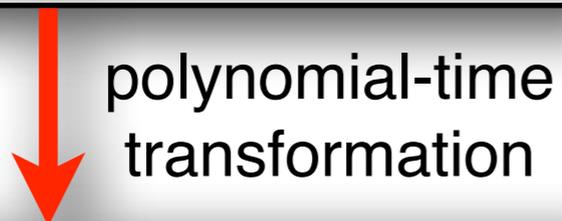
This slide is intentionally left blank.

PTAS for Blocking Analysis of Nested Locks

3-SAT

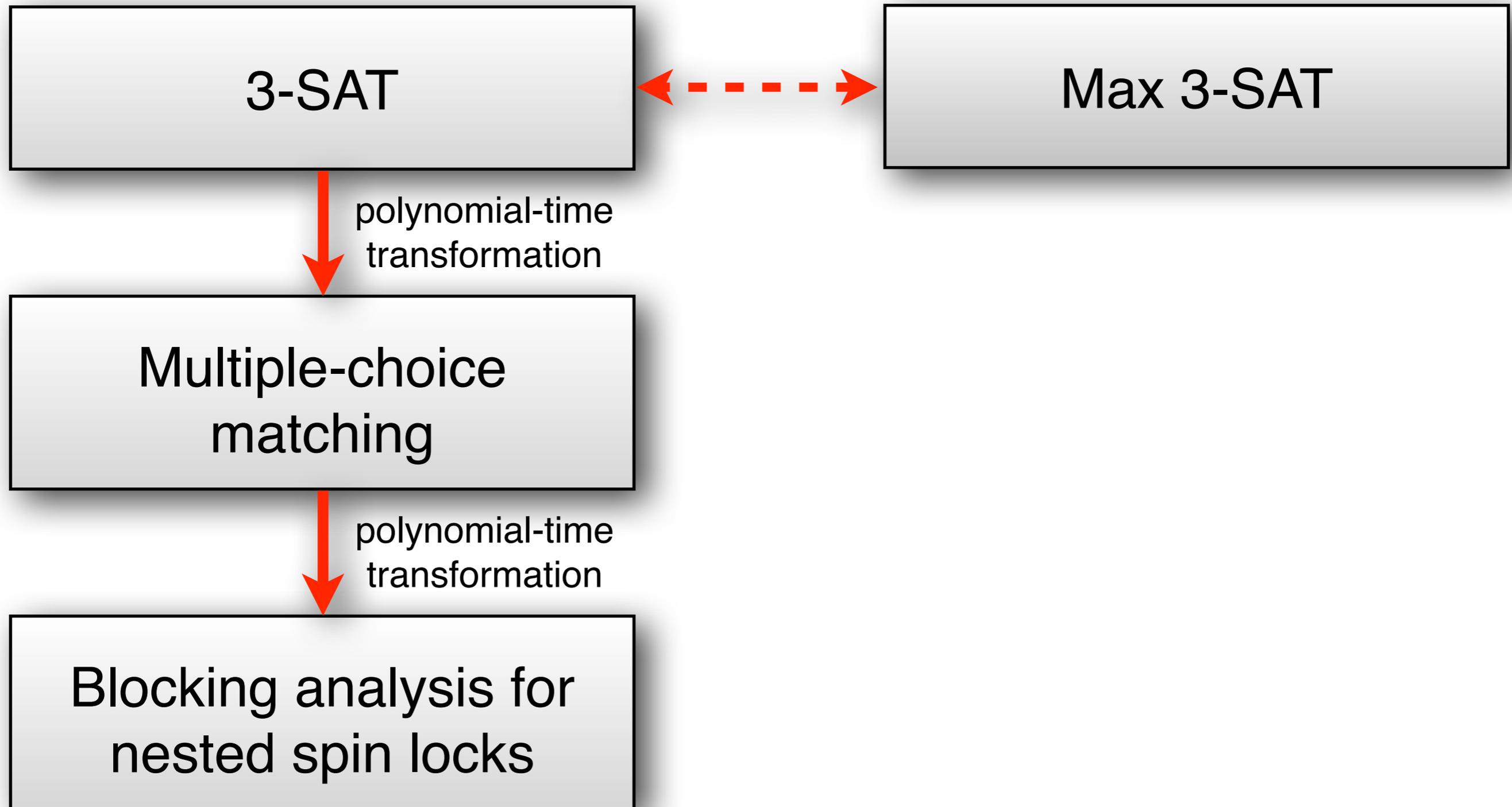


Multiple-choice
matching

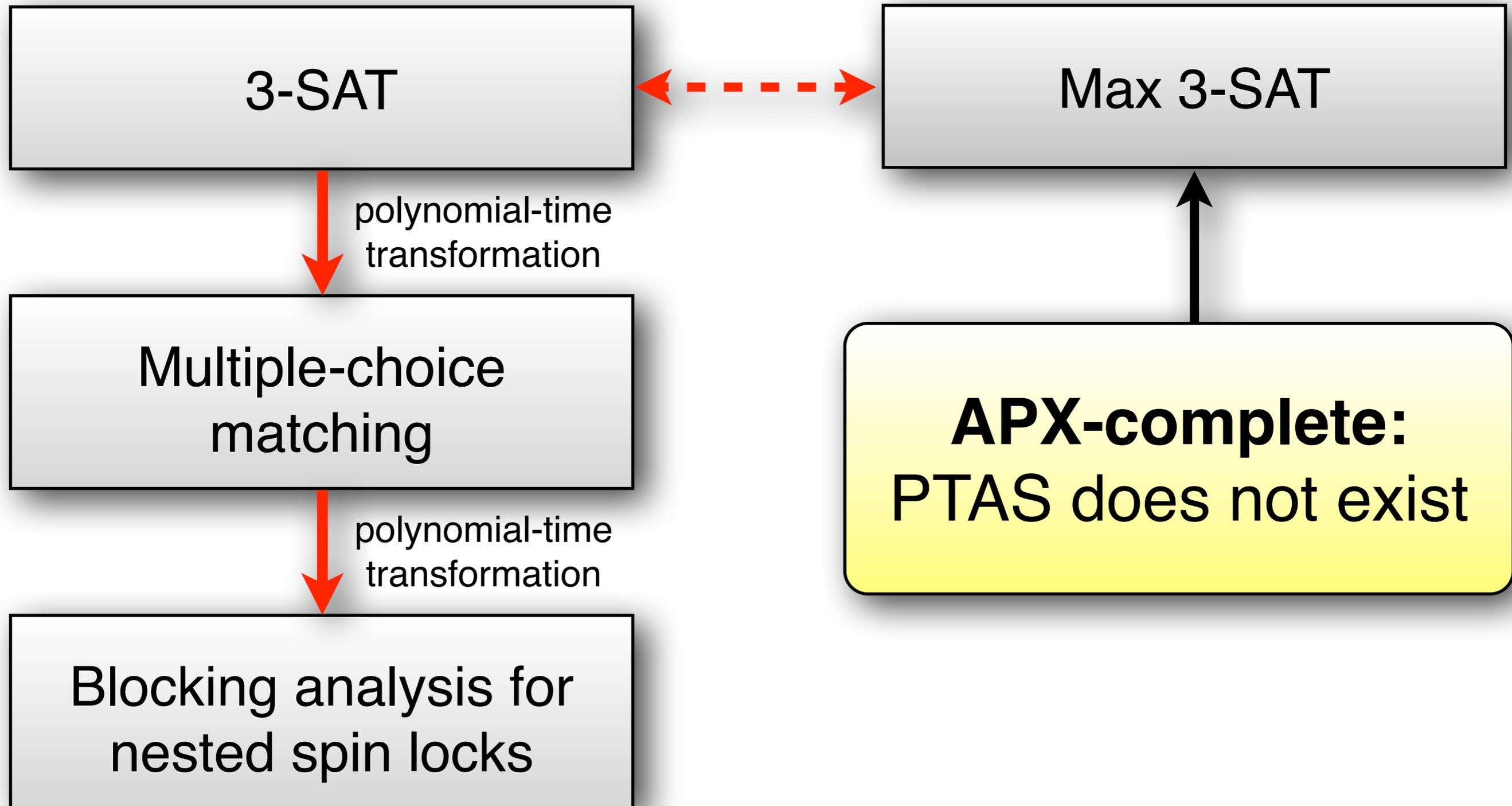


Blocking analysis for
nested spin locks

PTAS for Blocking Analysis of Nested Locks



PTAS for Blocking Analysis of Nested Locks



PTAS for Blocking Analysis of Nested Locks

