

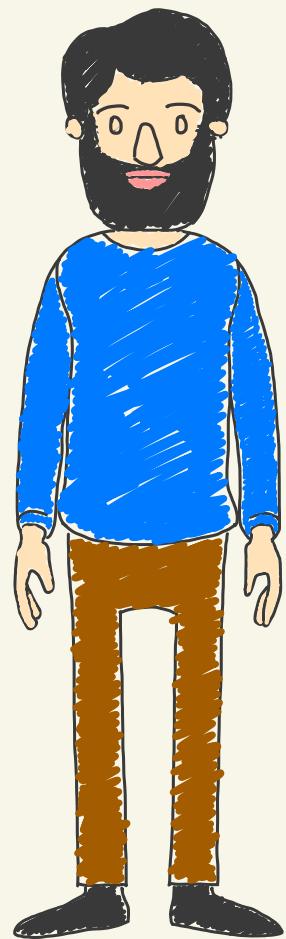
# To Assume, Or Not To Assume

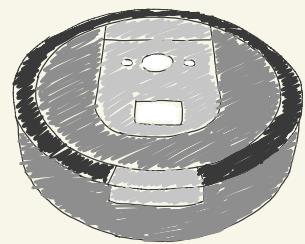
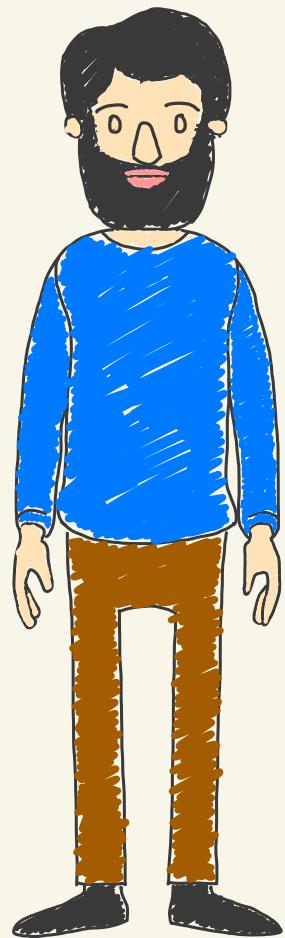
[Computing Adequately Permissive Assumptions for Synthesis]

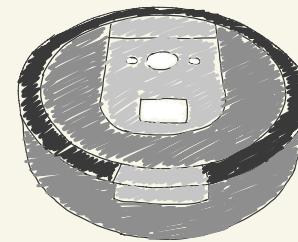
TACAS '23

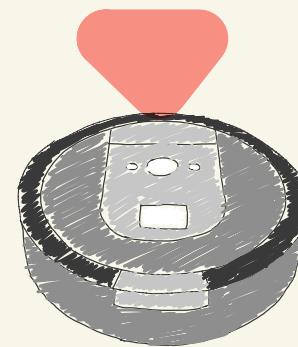
Ashwani Anand  
MPI-SWS

with K. Mallik (ISTA), S.P. Nayak (MPI-SWS), and A.-K. Schmuck (MPI-SWS)













No cleaning strategy  
may exist if Environment  
is adversarial.



No cleaning strategy  
may exist if Environment  
is adversarial.



Environment rarely acts adversarially.



No cleaning strategy  
may exist if Environment  
is adversarial.

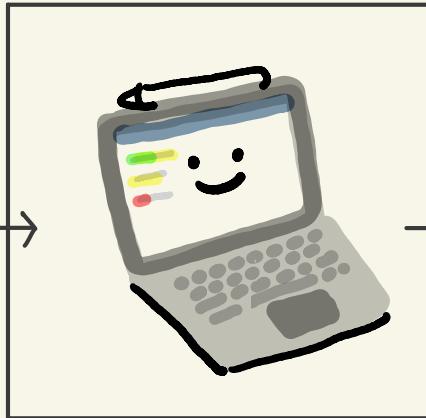
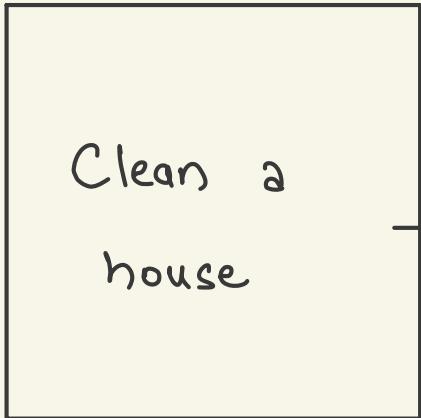
Humans may know  
this assumption.

Computers don't.

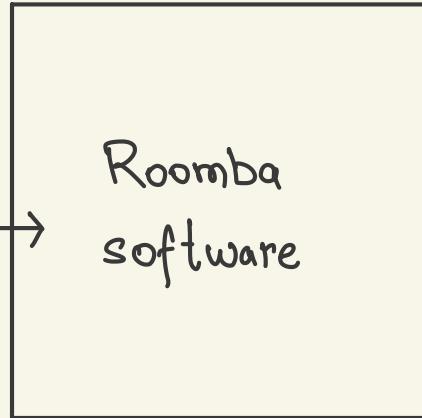
Environment rarely acts adversarially.

# Reactive Synthesis

Task for a system

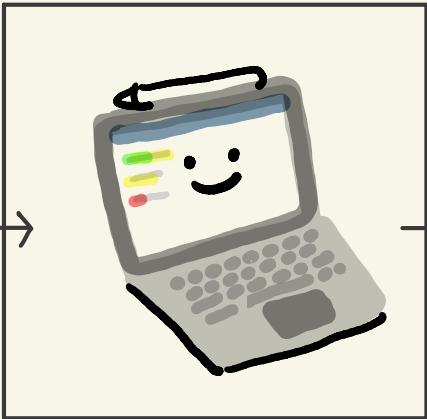
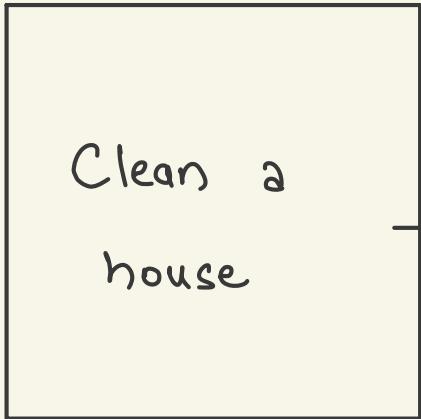


Implementation

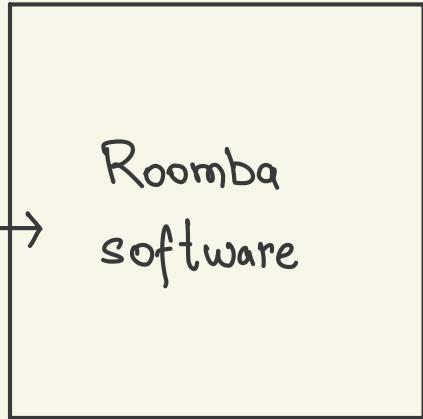


# Reactive Synthesis

Task for a system



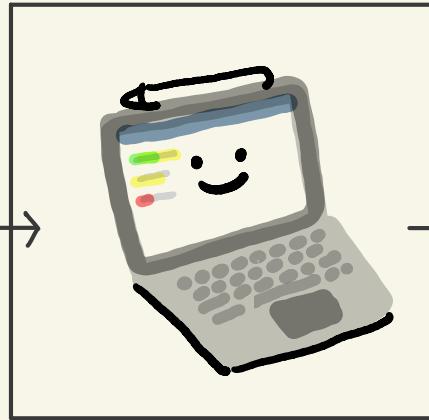
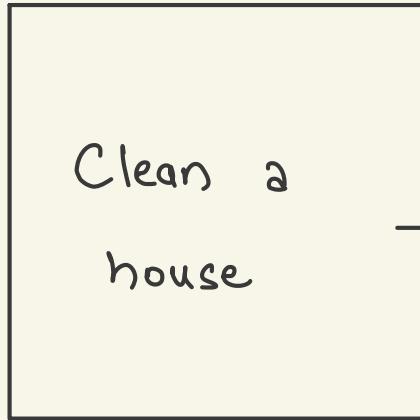
Implementation



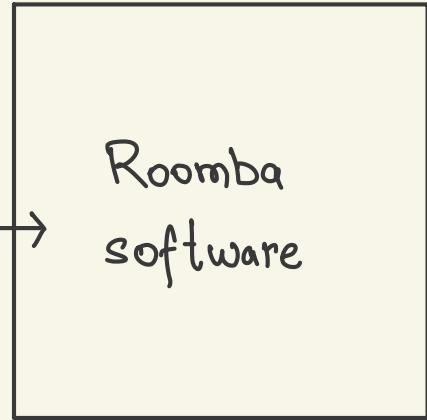
Works well if no assumption is needed.

# Reactive Synthesis

Task for a system



Implementation



Works well if no assumption is needed.



Might fail without some assumptions.  
E.g. the user will not block the path.

# What has been done?



Chatterjee et. al. [CONCUR'08] introduce  
the notion of assumption for games on graphs.

# What has been done?



Chatterjee et. al. [CONCUR'08] introduce the notion of assumption for games on graphs.



Their method requires solving NP-hard problem.

# What has been done?



Chatterjee et. al. [CONCUR'08] introduce the notion of assumption for games on graphs.



Their method requires solving NP-hard problem.



Fails to give a sufficient assumption, even if it exists.

# What has been done?



Chatterjee et. al. [CONCUR'08] introduce the notion of assumption for games on graphs.

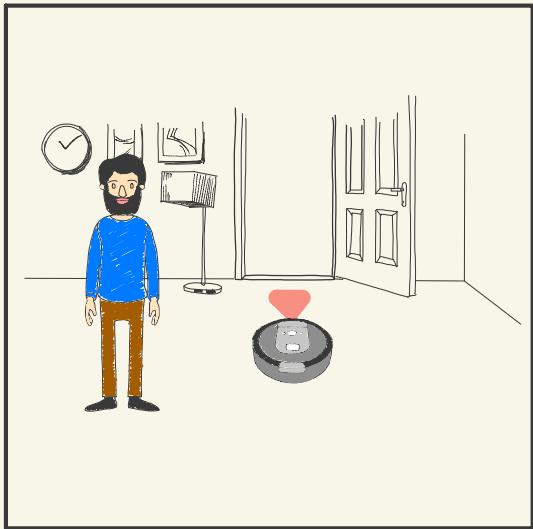


Their method requires solving NP-hard problem.

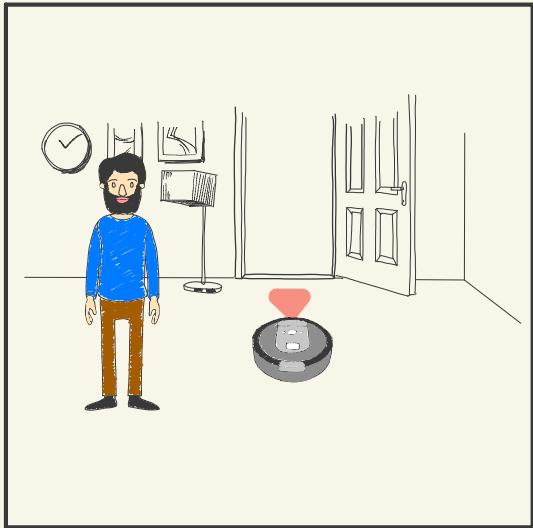


Fails to give a sufficient assumption, even if it exists.

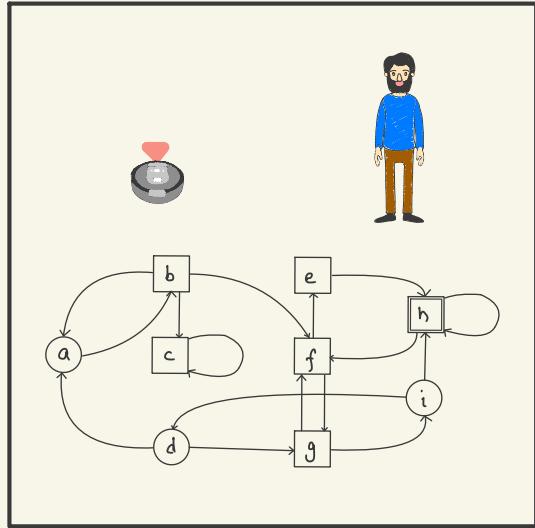
# Reactive Synthesis : The Standard



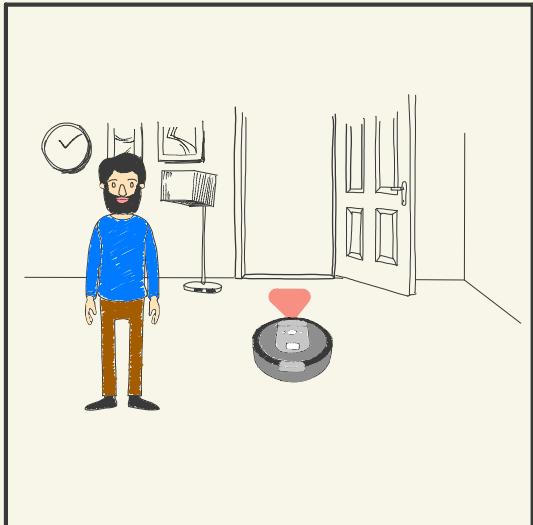
# Reactive Synthesis : The Standard



Convert to a  
game on graph

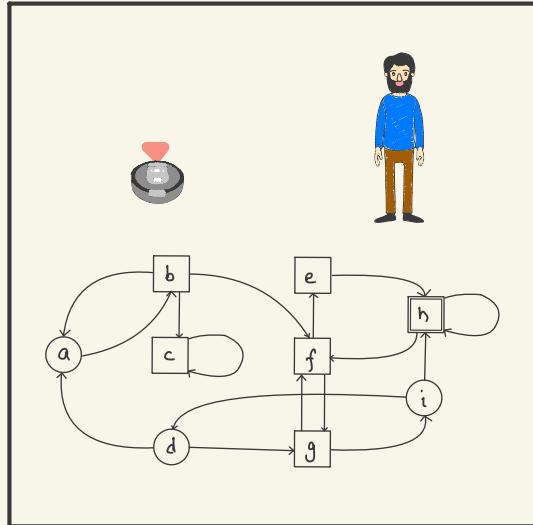


# Reactive Synthesis : The Standard

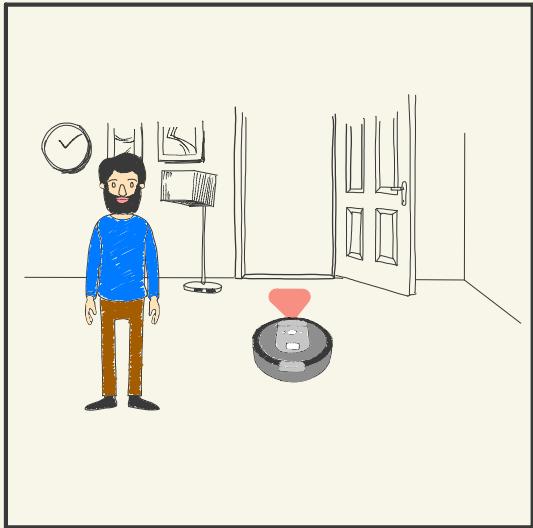


Convert to a  
game on graph

Winning strategy  
acts as the  
software .

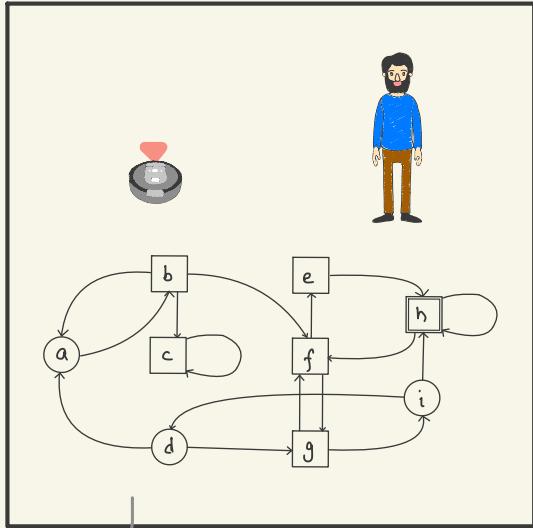


# Reactive Synthesis : The Standard



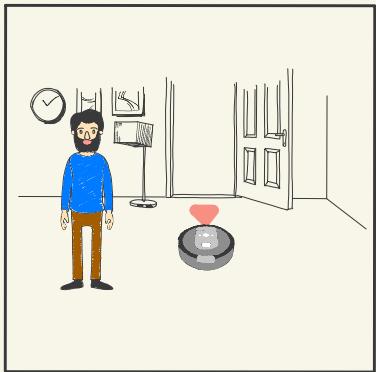
Convert to a  
game on graph

Winning strategy  
acts as the  
software.

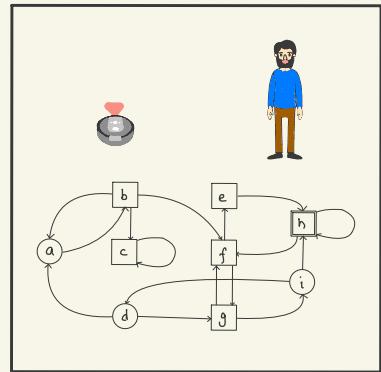


find assumptions on the environment  
via the game graph.

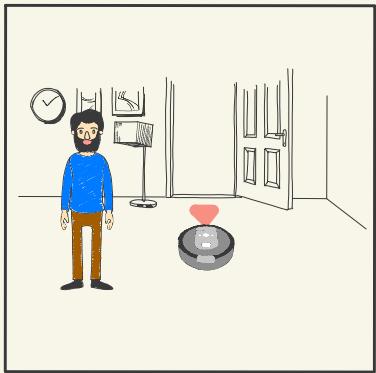
# The Return of Reactive Synthesis



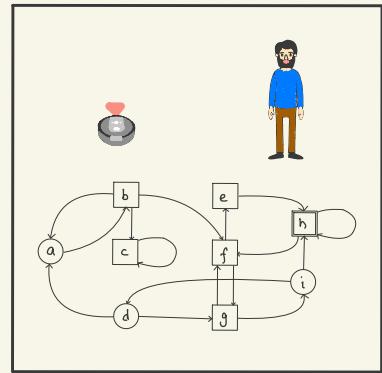
Convert to a  
game on graph



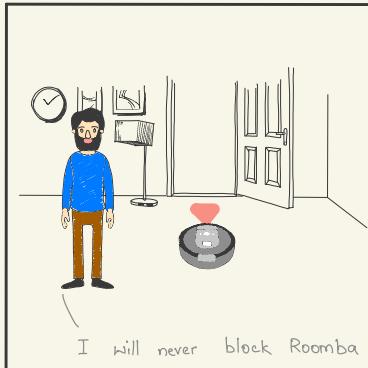
# The Return of Reactive Synthesis



Convert to a  
game on graph

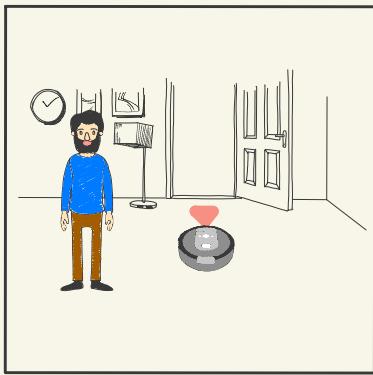


Winning  
strategy  
under assumption  
acts as software

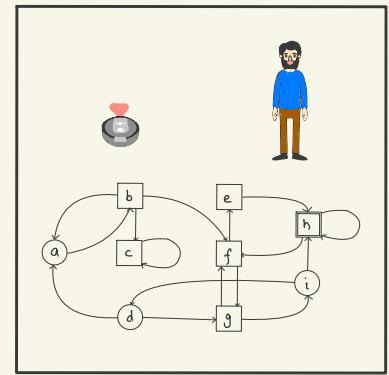


Compute  
assumptions

# The Return of Reactive Synthesis

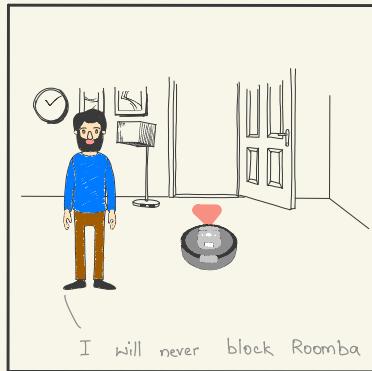


Convert to a game on graph



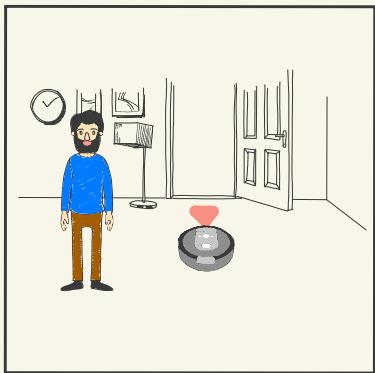
Winning strategy  
under assumption  
acts as software

Suggest user

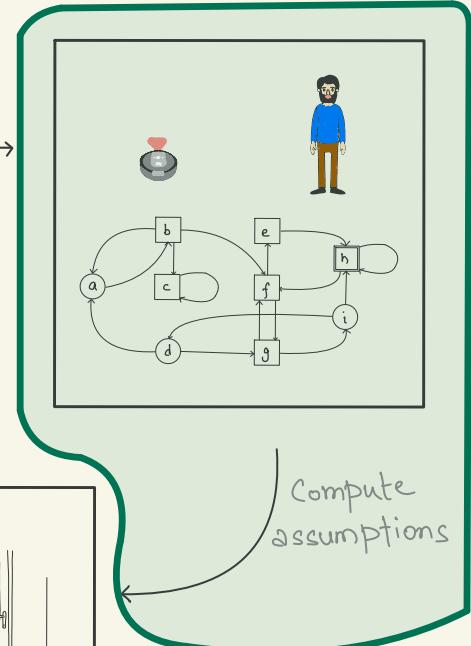


Compute assumptions

# The Return of Reactive Synthesis



Convert to a game on graph



Suggest user

# Precap

Assumptions computation

# Precap

Assumptions computation

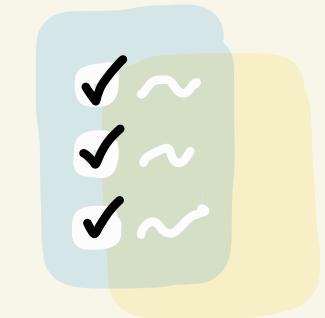


Permissive

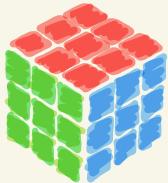
# Precap

Assumptions computation

Novel Templates



Permissive

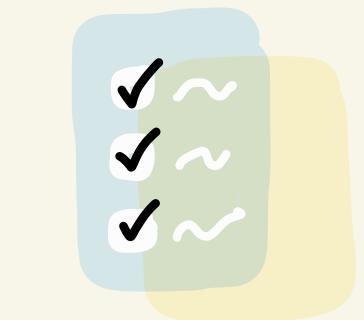


Complete

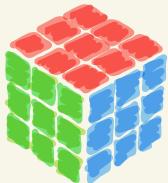
# Precap

Assumptions computation

Novel Templates



Permissive



Complete

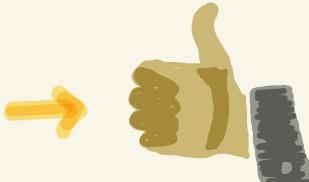
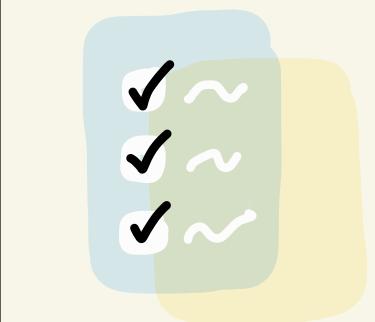


Faster

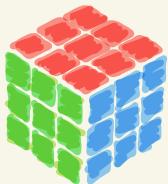
# Precap

Assumptions computation

Novel Templates



Permissive

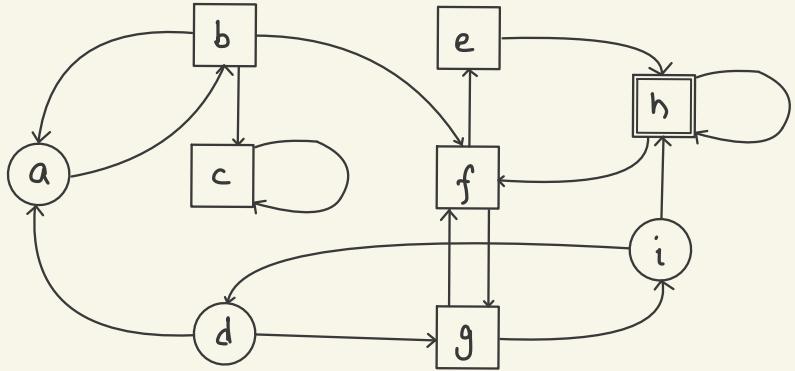
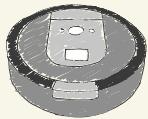


Complete

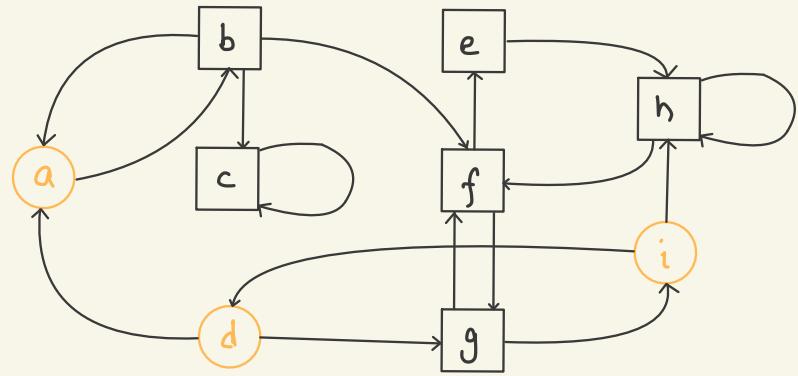
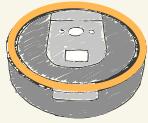


Faster

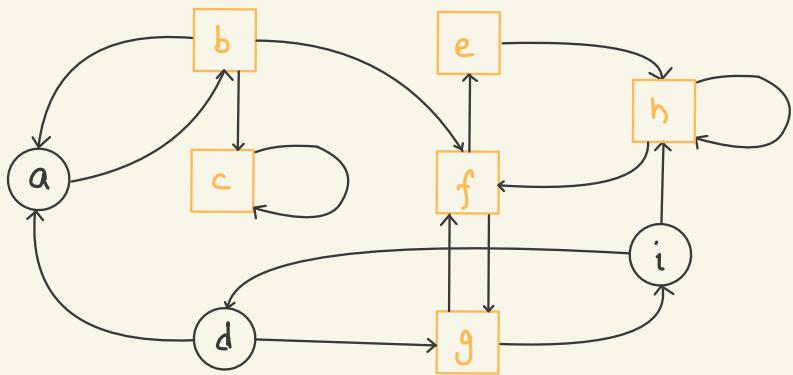
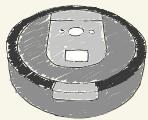
# Games on Graphs



# Games on Graphs

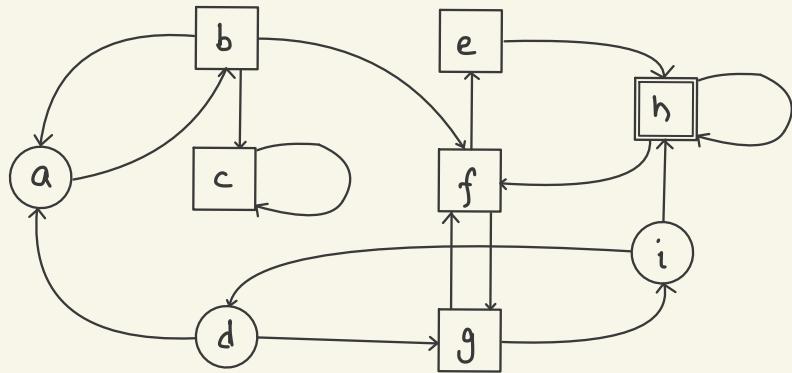
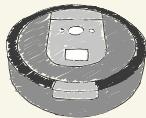


# Games on Graphs



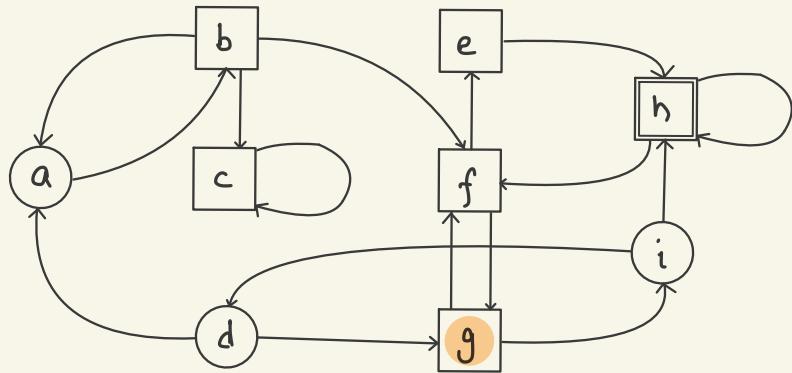
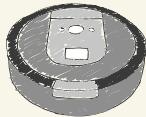
# Games on Graphs

Always eventually  
visit h



# Games on Graphs

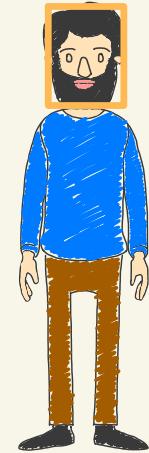
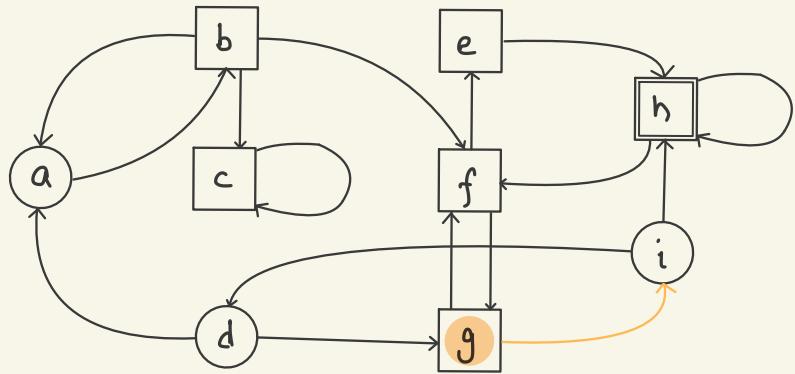
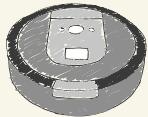
Always eventually  
visit h



g

# Games on Graphs

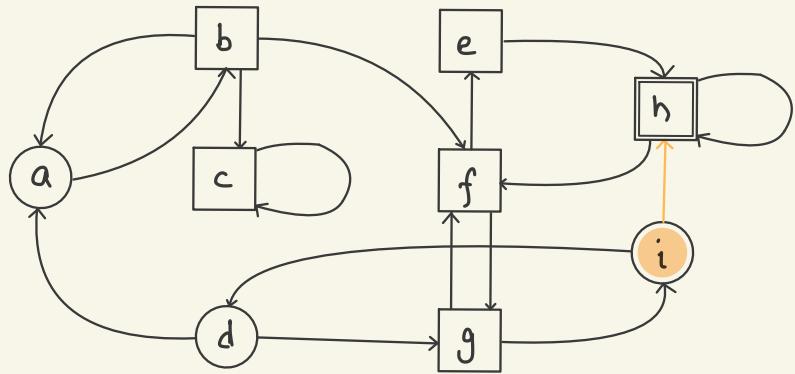
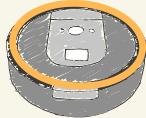
Always eventually  
visit h



$g \rightarrow i$

# Games on Graphs

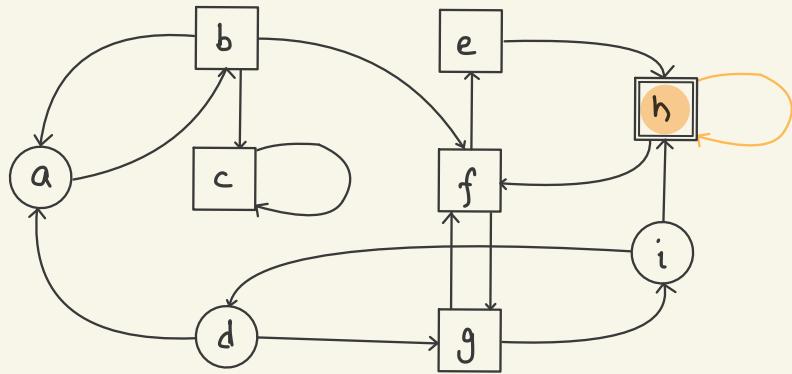
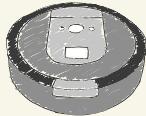
Always eventually  
visit h



$$g \rightarrow i \rightarrow h$$

# Games on Graphs

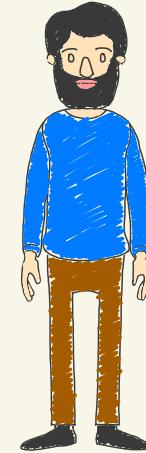
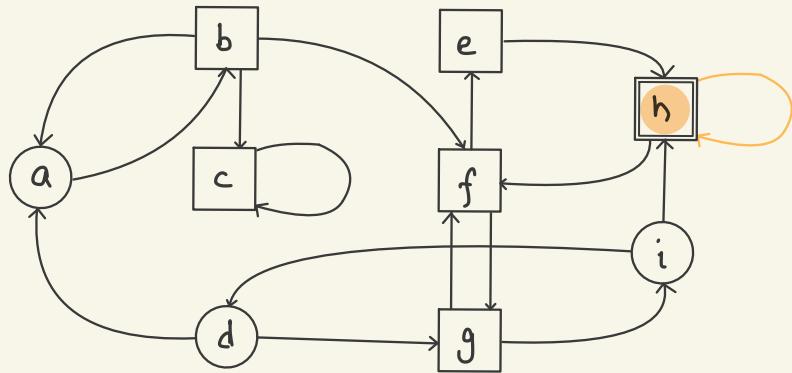
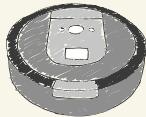
Always eventually  
visit h



$$g \rightarrow i \rightarrow h \rightarrow h$$

# Games on Graphs

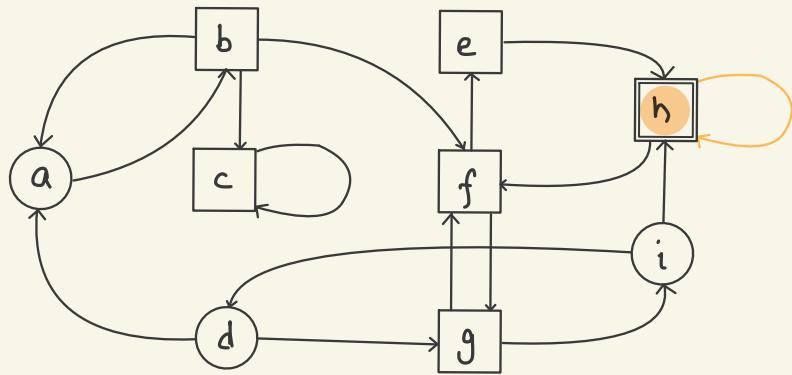
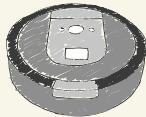
Always eventually  
visit h



$$g \rightarrow i \rightarrow h \rightarrow h \rightarrow h$$

# Games on Graphs

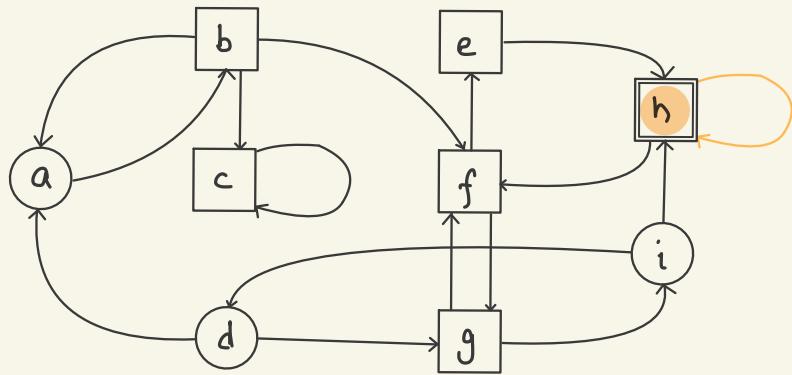
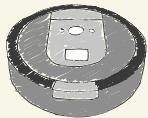
Always eventually  
visit h



$g \rightarrow i \rightarrow h \rightarrow h \rightarrow h \rightarrow \dots$

# Games on Graphs

Always eventually  
visit h

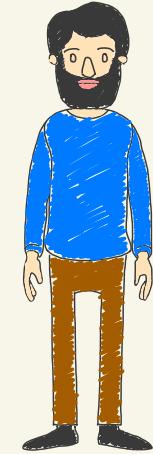
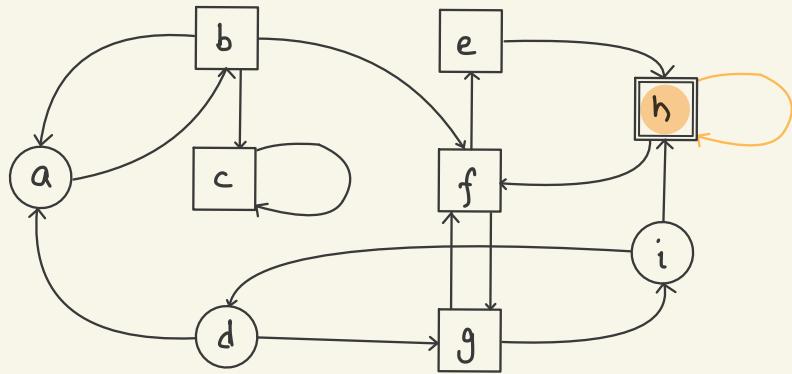
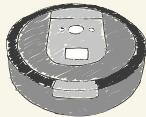


$g \rightarrow i \rightarrow h \rightarrow h \rightarrow h \rightarrow \dots$



# Games on Graphs

Always eventually  
visit h



$g \rightarrow i \rightarrow h \rightarrow h \rightarrow h \rightarrow \dots$



Assumptions restrict the choices of Environment

## Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient

If environment satisfies assumption,  
system can finish the task

# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient

If environment satisfies assumption,  
system can finish the task



# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient

If environment satisfies assumption,  
system can finish the task

Implementable

Environment can satisfy the assumption



# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient

If environment satisfies assumption,  
system can finish the task

Implementable

Environment can satisfy the assumption



# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

## Sufficient

If environment satisfies assumption,  
system can finish the task

## Implementable

Environment can satisfy the assumption

## Permissive

Assumption does not restrict the  
environment too much



# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

## Sufficient

If environment satisfies assumption,  
system can finish the task

## Implementable

Environment can satisfy the assumption

## Permissive

Assumption does not restrict the  
environment too much



# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient

If environment satisfies assumption,  
system can finish the task

Implementable

Environment can satisfy the assumption

Permissive

Assumption does not restrict the  
environment too much

Adequately

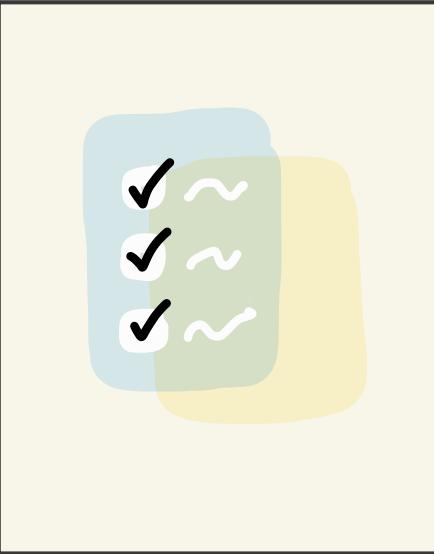
Permissive

Assumption

# Checkpoint

Assumptions computation

Novel Templates



Permissive



Complete

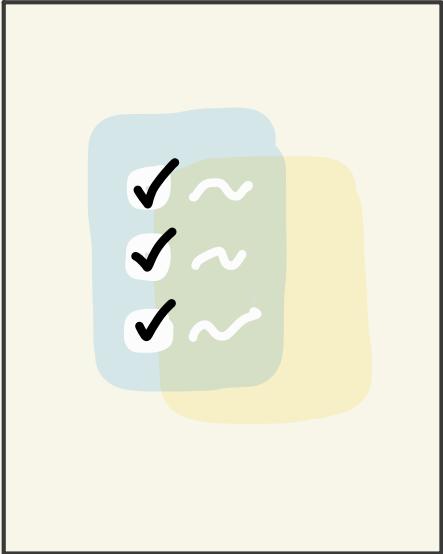


Faster

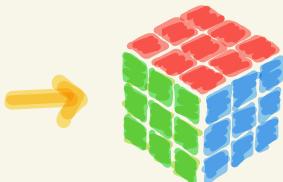
# Checkpoint

Assumptions computation

Novel Templates



Permissive



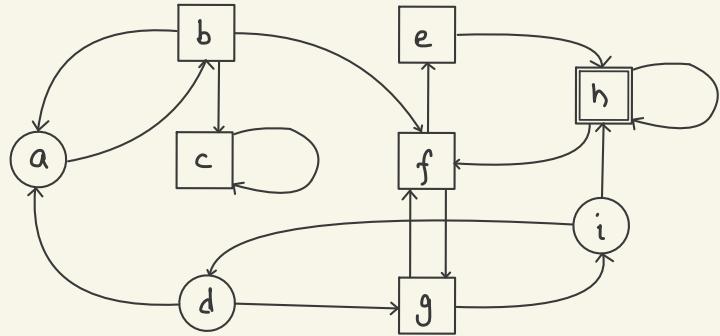
Complete



Faster

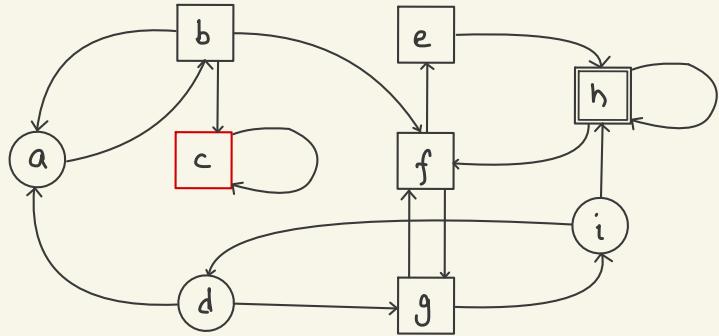
# Computing Adequately Permissive Assumptions

Büchi objective: Always eventually visit  $\square$



# Computing Adequately Permissive Assumptions

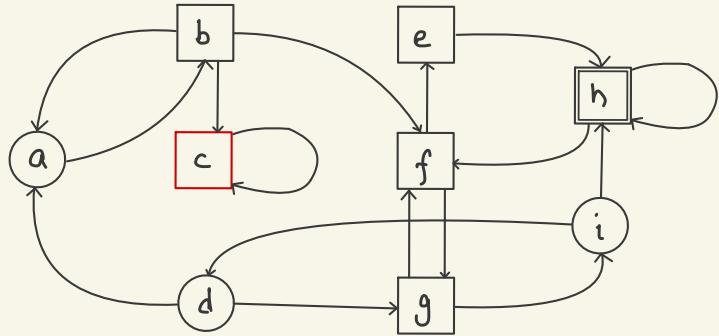
Büchi objective: Always eventually visit  $\square$



No way of satisfying  
the objective from  $c$ .  
Hence, it must never  
be visited.

# Computing Adequately Permissive Assumptions

Büchi objective: Always eventually visit  $\square$

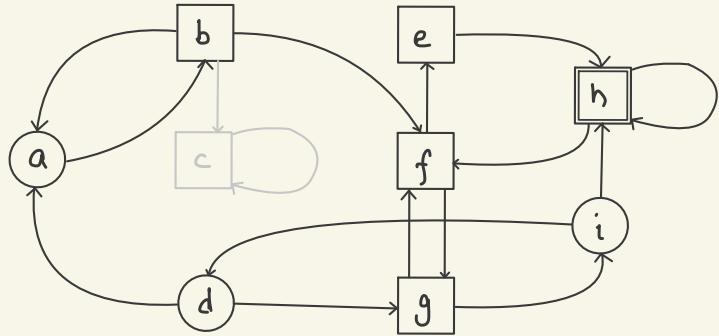


No way of satisfying  
the objective from  $c$ .  
Hence, it must never  
be visited.

- Always not  $b \rightarrow c$ .

# Computing Adequately Permissive Assumptions

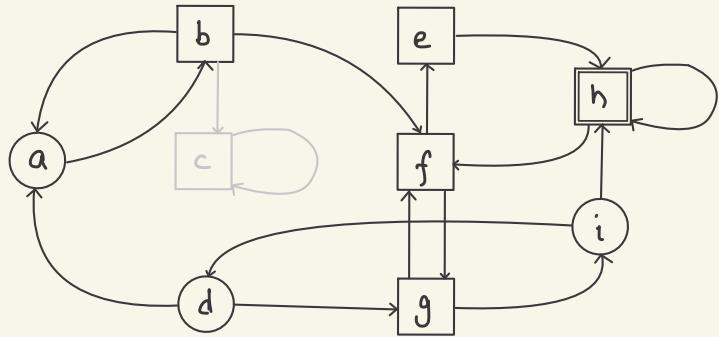
Büchi objective: Always eventually visit  $\square$



- Always not  $b \rightarrow c$ .

# Computing Adequately Permissive Assumptions

Büchi objective: Always eventually visit  $\square$

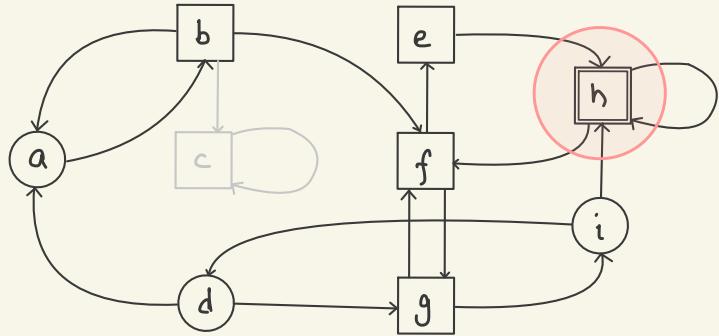


Enough to compute assumptions to "reach" h from remaining vertices

- Always not  $b \rightarrow c$ .

# Computing Adequately Permissive Assumptions

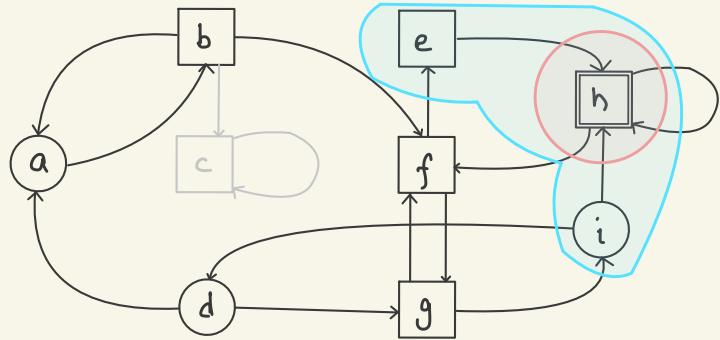
Büchi objective: Always eventually visit  $\square$



- Always not  $b \rightarrow c$ .

# Computing Adequately Permissive Assumptions

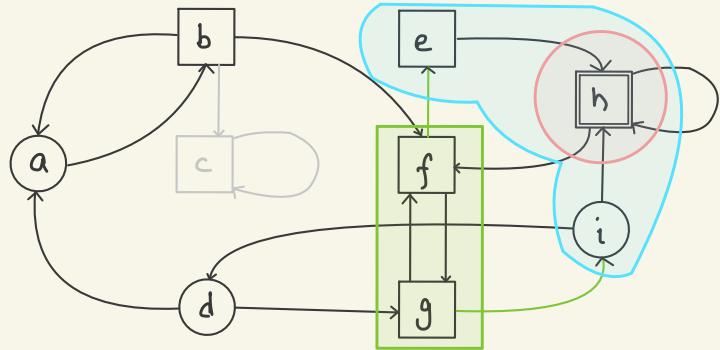
Büchi objective: Always eventually visit  $\square$



- Always not  $b \rightarrow c$ .

# Computing Adequately Permissive Assumptions

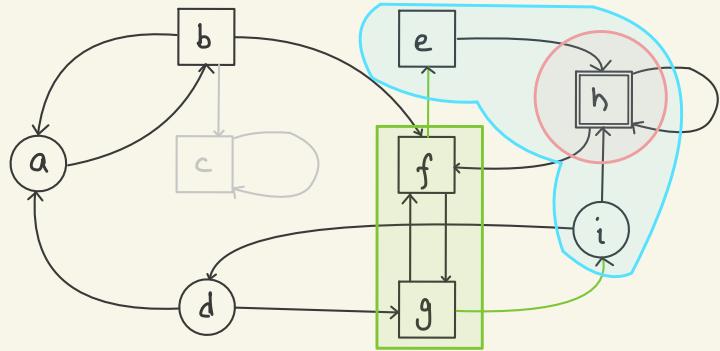
Büchi objective: Always eventually visit  $\square$



- Always not  $b \rightarrow c$ .

# Computing Adequately Permissive Assumptions

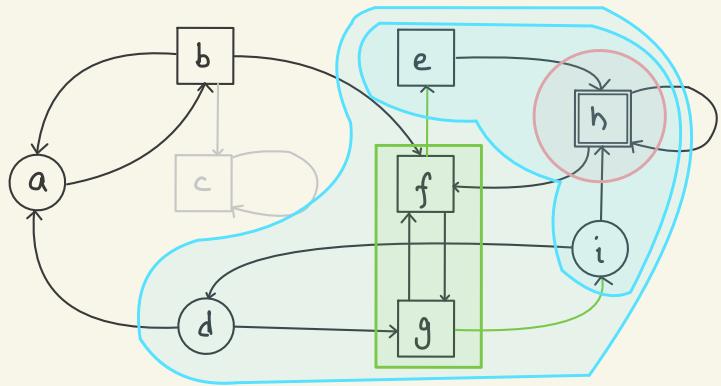
Büchi objective: Always eventually visit  $\square$



- Always not  $b \rightarrow c$ .
- Always eventually  $\{f, g\} \Rightarrow$  always eventually  $f \rightarrow e$  or  $g \rightarrow i$

# Computing Adequately Permissive Assumptions

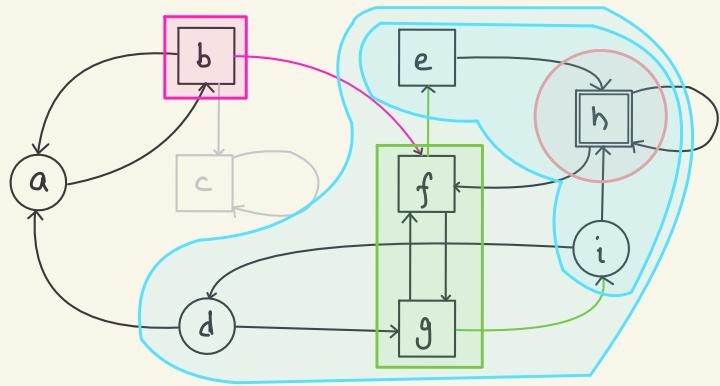
Büchi objective: Always eventually visit  $\square$



- Always not  $b \rightarrow c$ .
- Always eventually  $\{f, g\} \Rightarrow$  always eventually  $f \rightarrow e$  or  $g \rightarrow i$

# Computing Adequately Permissive Assumptions

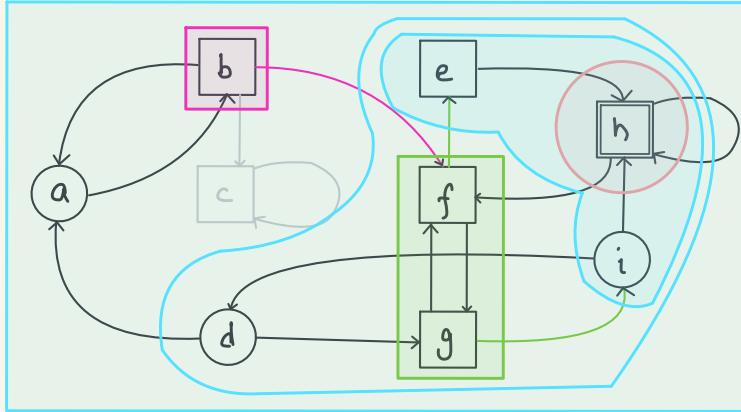
Büchi objective: Always eventually visit  $\square$



- Always not  $b \rightarrow c$ .
- Always eventually  $\{f, g\} \Rightarrow$  always eventually  $f \rightarrow e$  or  $g \rightarrow i$
- Always eventually  $\{b\} \Rightarrow$  always eventually  $b \rightarrow f$

# Computing Adequately Permissive Assumptions

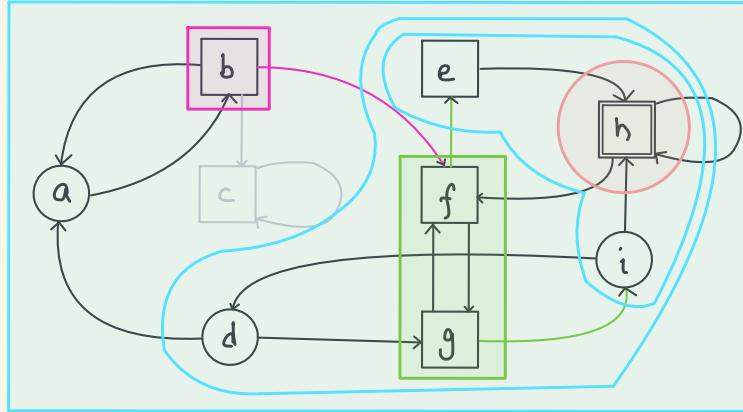
Büchi objective: Always eventually visit  $\square$



- Always not  $b \rightarrow c$ .
- Always eventually  $\{f, g\} \Rightarrow$  always eventually  $f \rightarrow e$  or  $g \rightarrow i$
- Always eventually  $\{b\} \Rightarrow$  always eventually  $b \rightarrow f$

# Computing Adequately Permissive Assumptions

Büchi objective: Always eventually visit  $\square$



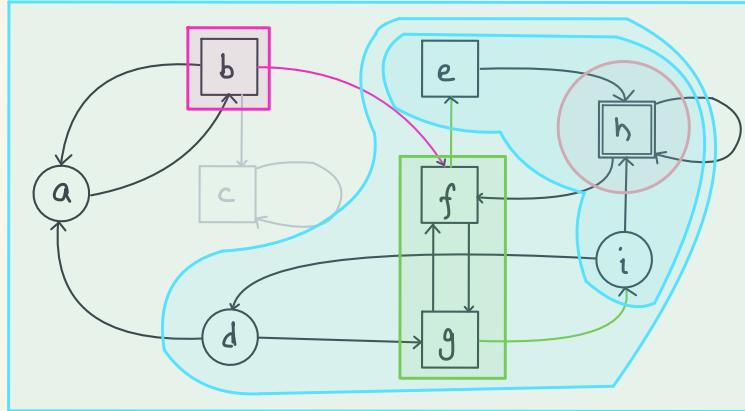
Safety template

- Always not  $b \rightarrow c$ .
- Always eventually  $\{f, g\} \Rightarrow$  always eventually  $f \rightarrow e$  or  $g \rightarrow i$
- Always eventually  $\{b\} \Rightarrow$  always eventually  $b \rightarrow f$

Group Liveness templates

# Computing Adequately Permissive Assumptions

Büchi objective: Always eventually visit  $\square$



Runs in time  $O(m+n)$

# edges

# vertices

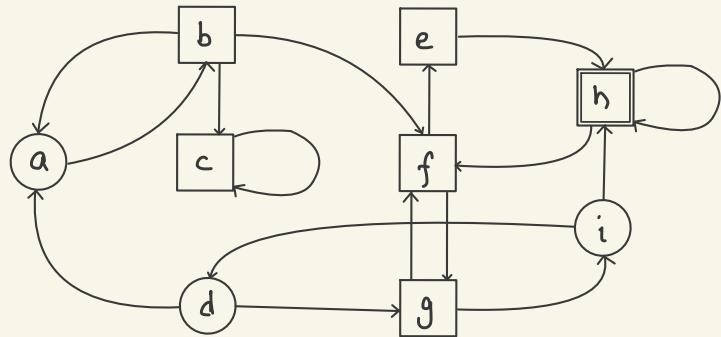
Safety template

- Always not  $b \rightarrow c$ .
- Always eventually  $\{f, g\} \Rightarrow$  always eventually  $f \rightarrow e$  or  $g \rightarrow i$
- Always eventually  $\{b\} \Rightarrow$  always eventually  $b \rightarrow f$

Group Liveness templates

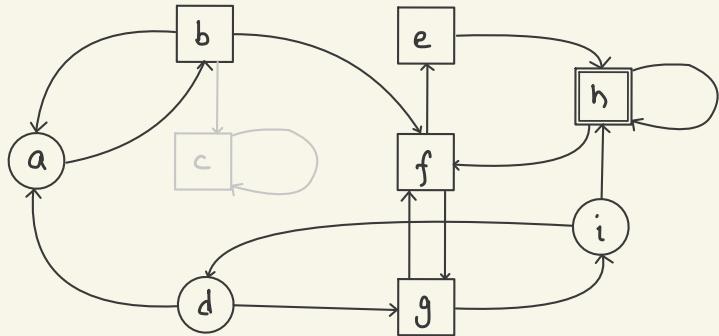
# Computing Adequately Permissive Assumptions

coBüchi objective : Eventually always visit  $\square$



# Computing Adequately Permissive Assumptions

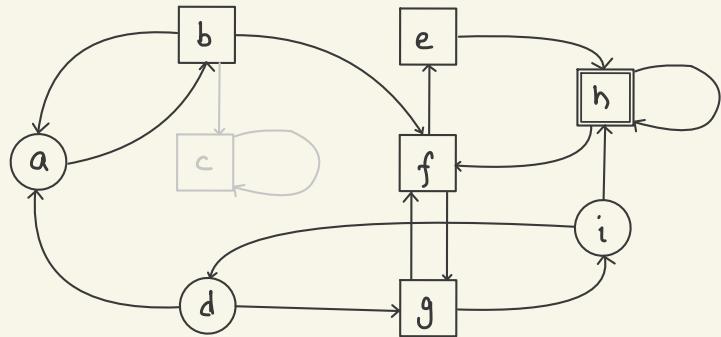
coBüchi objective : Eventually always visit  $\square$



- Always not  $b \rightarrow c$ .

# Computing Adequately Permissive Assumptions

coBüchi objective : Eventually always visit  $\square$

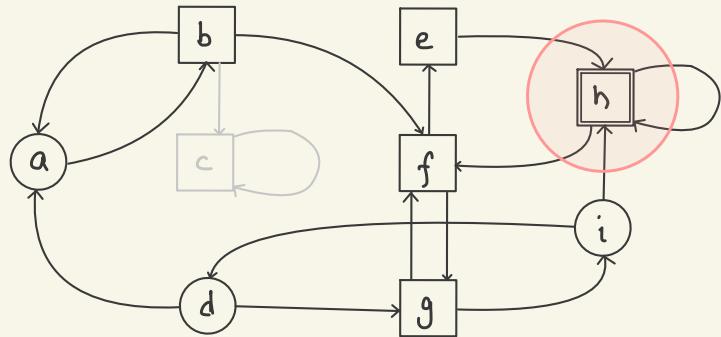


Need to restrict from going further away from  $\square$  eventually.

- Always not  $b \rightarrow c$ .

# Computing Adequately Permissive Assumptions

cBüchi objective : Eventually always visit  $\square$

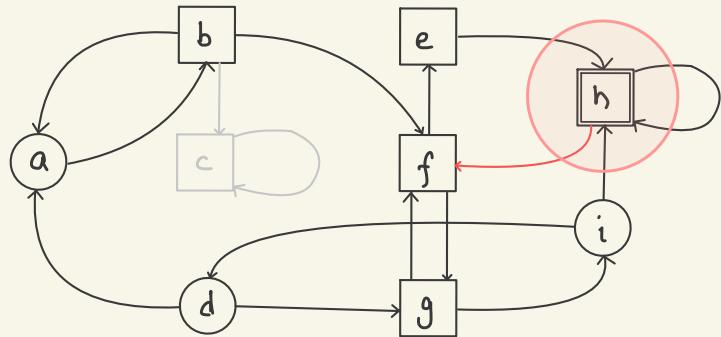


Need to restrict from going further away from  $\square$  eventually.

- Always not  $b \rightarrow c$ .

# Computing Adequately Permissive Assumptions

cOBüchi objective : Eventually always visit  $\square$

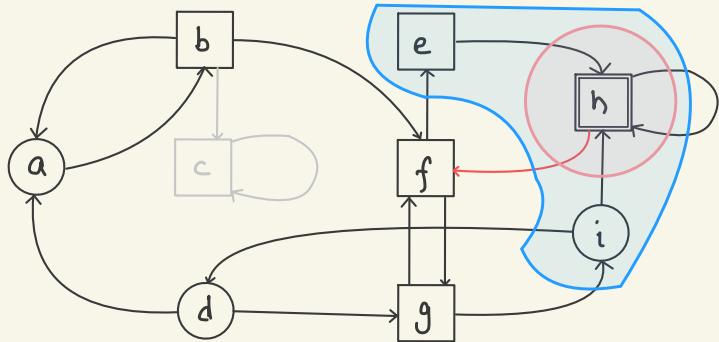


Need to restrict from going further away from  $\square_h$  eventually.

- Always not  $b \rightarrow c$ .
- Eventually always not  $h \rightarrow f$

# Computing Adequately Permissive Assumptions

coBüchi objective : Eventually always visit  $\square$

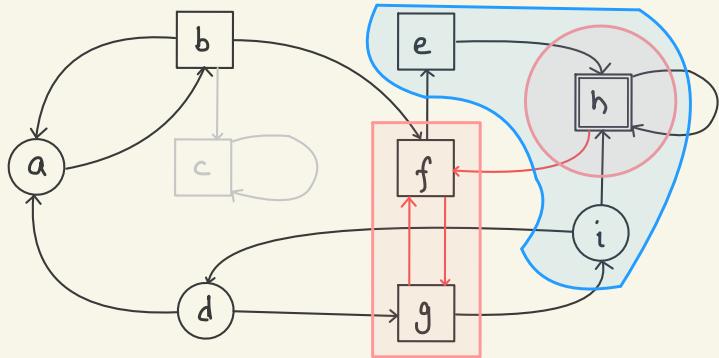


Need to restrict from going further away from  $\square_h$  eventually.

- Always not  $b \rightarrow c$ .
- Eventually always not  $h \rightarrow f$

# Computing Adequately Permissive Assumptions

coBüchi objective : Eventually always visit  $\square$

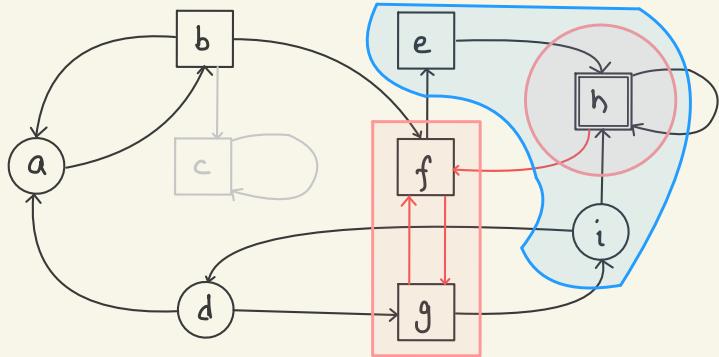


Need to restrict from  
going further away from  
 $\square_h$  eventually.

- Always not  $b \rightarrow c$ .
- Eventually always not  $h \rightarrow f$

# Computing Adequately Permissive Assumptions

cBüchi objective : Eventually always visit  $\square$

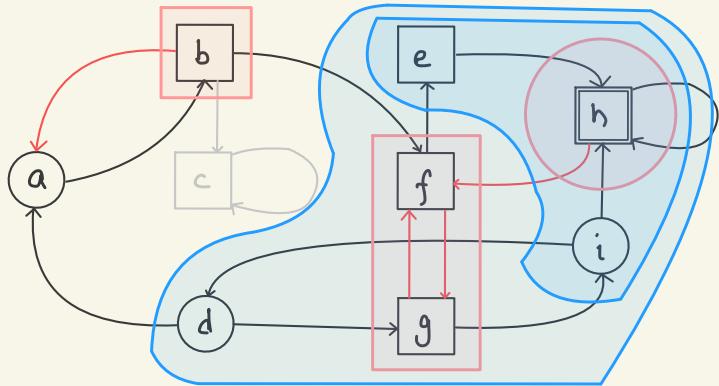


Need to restrict from  
going further away from  
 $\square_h$  eventually.

- Always not  $b \rightarrow c$ .
- Eventually always not  $h \rightarrow f$
- Eventually always not  $f \rightarrow g$
- Eventually always not  $g \rightarrow f$

# Computing Adequately Permissive Assumptions

cBüchi objective : Eventually always visit  $\square$

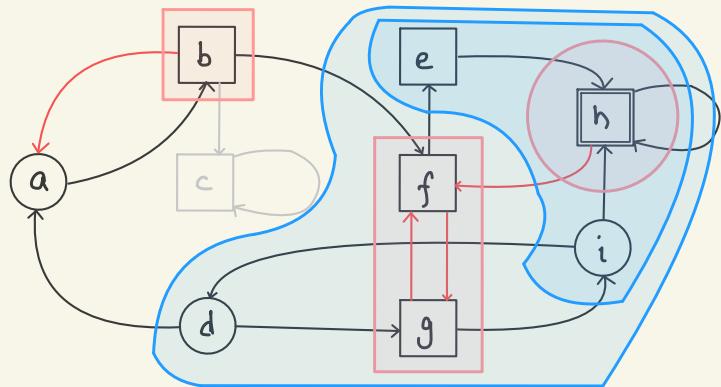


Need to restrict from  
going further away from  
 $\square$  eventually.

- Always not  $b \rightarrow c$ .
- Eventually always not  $h \rightarrow f$
- Eventually always not  $f \rightarrow g$
- Eventually always not  $g \rightarrow f$

# Computing Adequately Permissive Assumptions

cOBüchi objective : Eventually always visit  $\square$

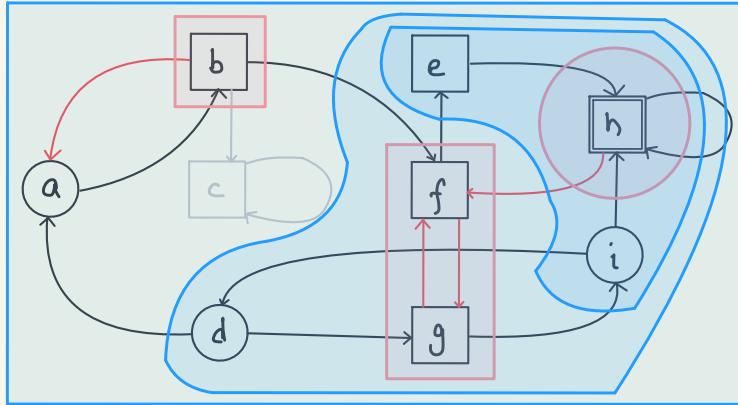


Need to restrict from going further away from  $\square$  eventually.

- Always not  $b \rightarrow c$ .
- Eventually always not  $h \rightarrow f$
- Eventually always not  $f \rightarrow g$
- Eventually always not  $g \rightarrow f$
- Eventually always not  $b \rightarrow a$

# Computing Adequately Permissive Assumptions

cBüchi objective : Eventually always visit  $\square$

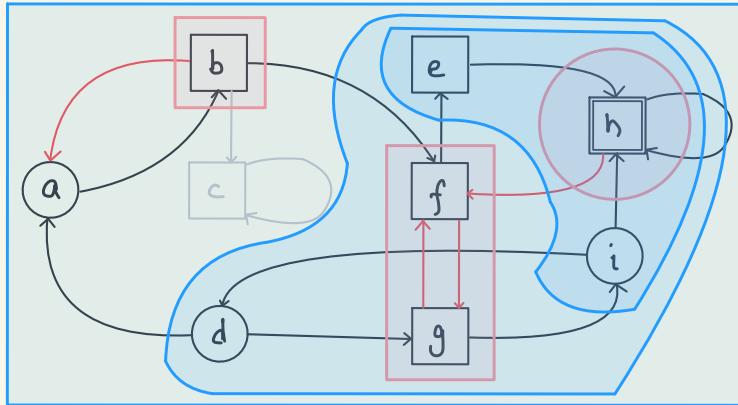


Need to restrict from  
going further away from  
 $\square_h$  eventually.

- Always not  $b \rightarrow c$ .
- Eventually always not  $h \rightarrow f$
- Eventually always not  $f \rightarrow g$
- Eventually always not  $g \rightarrow f$
- Eventually always not  $b \rightarrow a$

# Computing Adequately Permissive Assumptions

coBüchi objective : Eventually always visit  $\square$



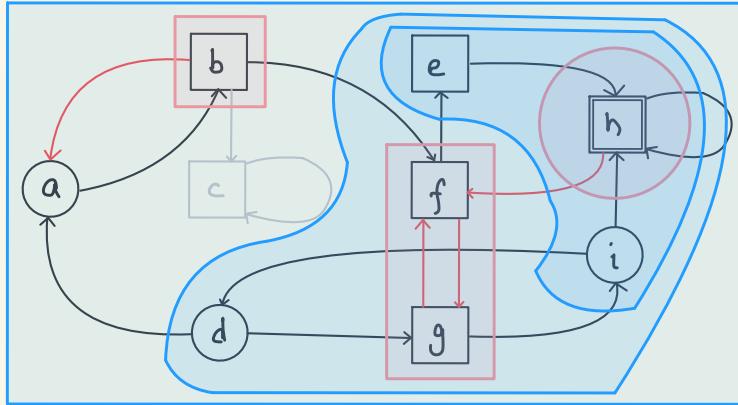
Need to restrict from  
going further away from  
 $\square$  eventually.

- Always not  $b \rightarrow c$ .
- Eventually always not  $h \rightarrow f$
- Eventually always not  $f \rightarrow g$
- Eventually always not  $g \rightarrow f$
- Eventually always not  $b \rightarrow a$

colive templates

# Computing Adequately Permissive Assumptions

coBüchi objective : Eventually always visit  $\square$



Need to restrict from  
going further away from  
 $\square$  eventually.

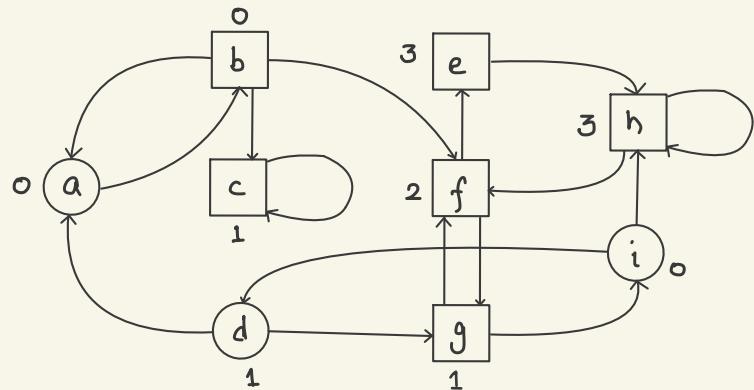
Runs in time  $O(m+n)$

- Always not  $b \rightarrow c$ .
- Eventually always not  $h \rightarrow f$
- Eventually always not  $f \rightarrow g$
- Eventually always not  $g \rightarrow f$
- Eventually always not  $b \rightarrow a$

colive templates

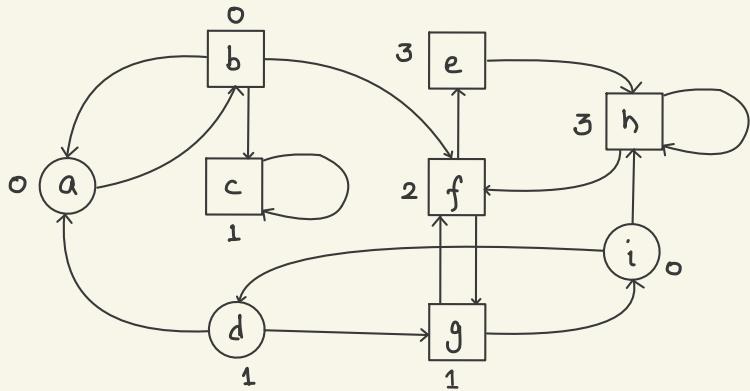
# Computing Adequately Permissive Assumptions

Parity objective : highest priority visited infinitely is even



# Computing Adequately Permissive Assumptions

Parity objective: highest priority visited infinitely is even

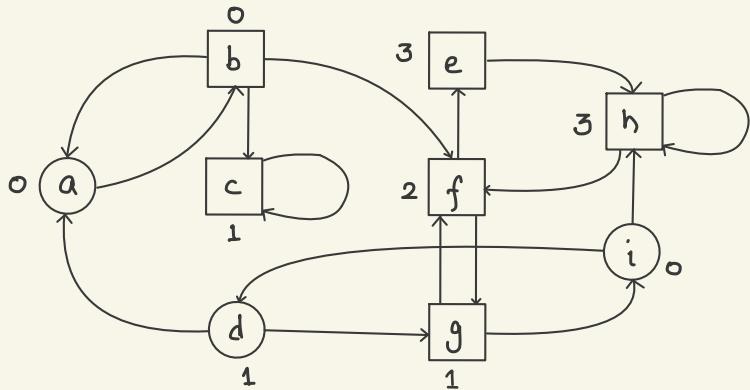


Needs conditional group liveness templates

$\square \diamond C_3 \Rightarrow \text{live group } (C_4 \cup C_6 \dots)$

# Computing Adequately Permissive Assumptions

Parity objective : highest priority visited infinitely is even



Runs in time  $O(n^4)$   
# vertices

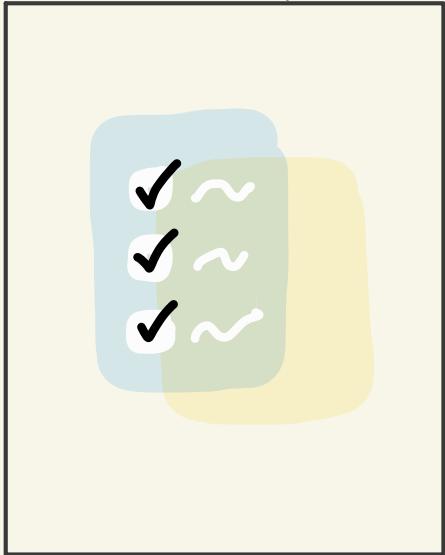
Needs conditional group liveness templates

$\square \diamond C_3 \Rightarrow$  live group  $(C_4 \cup C_6 \dots)$

# Checkpoint

Assumptions computation

Novel Templates ✓



Permissive ✓



Complete ✓



Faster

# Experiments

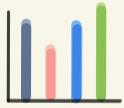


C++ Tool  
SImPA

# Experiments

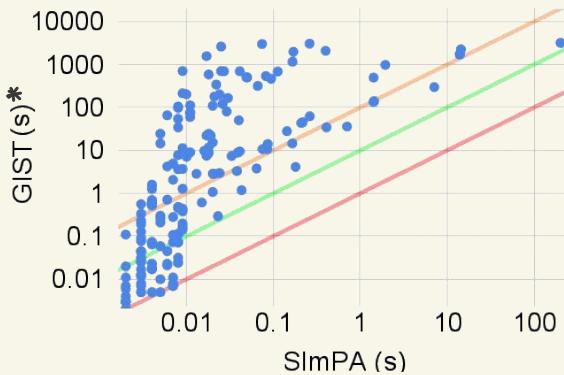


C++ Tool  
SImPA



230 SYNTCOMP  
benchmarks

# Experiments



\* Chatterjee et. al, CAV '10

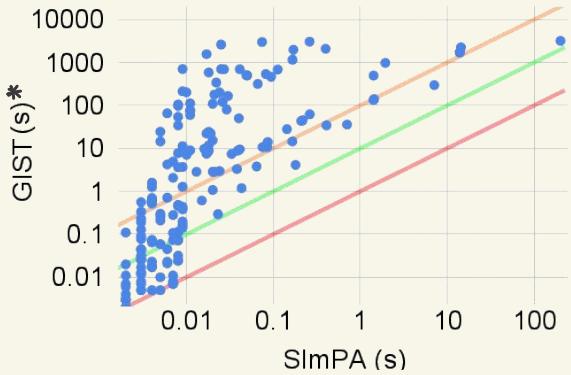


C++ Tool  
SImPA



230 SYNTCOMP  
benchmarks

# Experiments

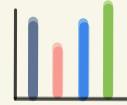


	SImPA	GIST*
Mean-time	64.8 s	1079.0 s
Non-timeout mean-time	64.8 s	209.2 s
Termination(1hr)	100 %	74 %
Generated assumptions	100 %	91 %
Fastest	100 %	0 %

\* Chatterjee et. al, CAV '10

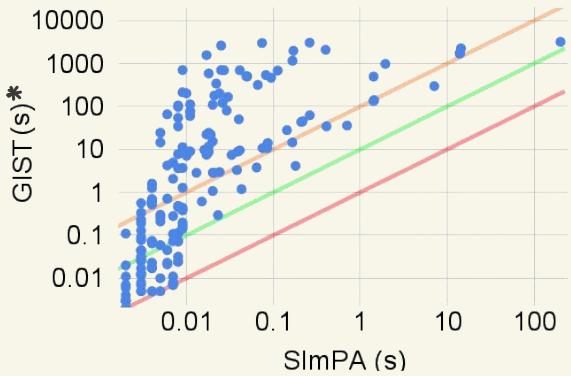


C++ Tool  
SImPA



230 SYNTCOMP  
benchmarks

# Experiments



	SImpA	GIST*
Mean-time	64.8 s	1079.0 s
Non-timeout mean-time	64.8 s	209.2 s
Termination(1hr)	100 %	74 %
Generated assumptions	100 %	91 %
Fastest	100 %	0 %

\* Chatterjee et. al., CAV '10



C++ Tool  
SImpA

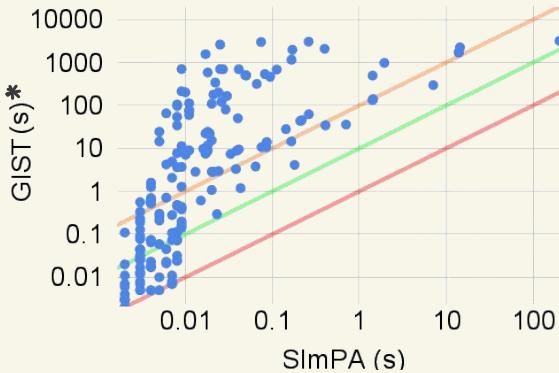


230 SYNTCOMP  
benchmarks



Always gives an  
assumption

# Experiments



	SImpA	GIST*
Mean-time	64.8 s	1079.0 s
Non-timeout mean-time	64.8 s	209.2 s
Termination(1hr)	100 %	74 %
Generated assumptions	100 %	91 %
Fastest	100 %	0 %

\* Chatterjee et. al., CAV '10



C++ Tool  
SImpA



230 SYNTCOMP  
benchmarks



Always gives an  
assumption

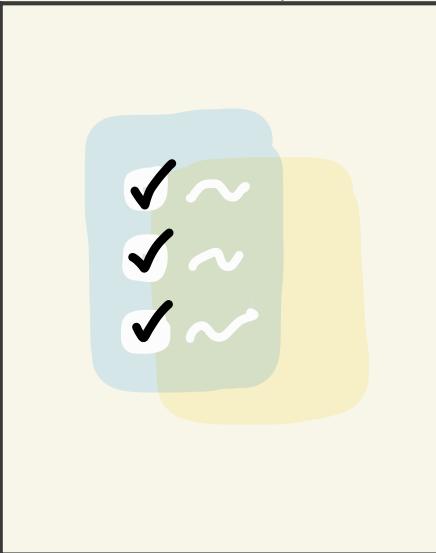


Orders of magnitude  
faster

# Summary

Assumptions computation

Novel Templates



Permissive



Complete



Faster

## Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient:  $\forall \langle s, e \rangle \in \psi \Rightarrow \exists \pi_s . \forall \pi_e , \pi_s \pi_e \text{ play} \models \psi$

# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient:  $v \in \langle\langle s, e \rangle\rangle \psi \Rightarrow \exists \pi_s . \forall \pi_e , h(\pi_s \pi_e, v) \models \psi$

cooperative winning  
region

system strategy    environment strategy     $\pi_s \pi_e$  play  
from  $v$

# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient:  $v \in \langle\langle s, e \rangle\rangle \psi \Rightarrow \exists \pi_s . \forall \pi_e , h(\pi_s \pi_e, v) \models \psi$

cooperative winning  
region

following  $\psi$   
system environment  $\pi_s \pi_e$  play  
strategy strategy from  $v$

# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient:  $v \in \langle\langle s, e \rangle\rangle \psi \Rightarrow \exists \pi_s . \forall \pi_e , L(\pi_s \pi_e, v) \models \psi$

Implementable:  $\exists \pi_e . \forall \pi_s . L(\pi_s \pi_e) \models \psi$

# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient:  $v \in \langle\langle s, e \rangle\rangle \psi \Rightarrow \exists \pi_s . \nexists \pi_e , L(\pi_s \pi_e, v) \models \psi$

Implementable:  $\exists \pi_e . \nexists \pi_s . L(\pi_s \pi_e) \models \psi$

Environment has a strategy to satisfy  $\psi$  from every vertex

# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient:  $v \in \langle\langle s, e \rangle\rangle \phi \Rightarrow \exists \pi_s . \forall \pi_e , L(\pi_s \pi_e, v) \models \psi$

Implementable:  $\exists \pi_e . \forall \pi_s . L(\pi_s \pi_e) \models \psi$

Permissive:  $L(\phi) \subseteq L(\psi)$

# Assumptions on Environment

LTL formula  $\psi$  on vertices of the game graph

Sufficient:  $v \in \langle\langle s, e \rangle\rangle \psi \Rightarrow \exists \pi_s . \forall \pi_e , L(\pi_s \pi_e, v) \models \psi$

Implementable:  $\exists \pi_e . \forall \pi_s . L(\pi_s \pi_e) \models \psi$

Permissive:  $L(\psi) \subseteq L(\phi)$

Adequately permissive assumption