Synthesis of Fixed-Point Programs

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ABSTRACT

Several problems in the implementations of control systems, signal-processing systems, and scientific computing systems reduce to compiling a polynomial expression over the reals into an imperative program using fixed-point arithmetic. Fixed-point arithmetic only approximates real values, and its operators do not have the fundamental properties of real arithmetic, such as associativity. Consequently, a naive compilation process can yield a program that significantly deviates from the real polynomial, whereas a different order of evaluation can result in a program that is close to the real value on all inputs in its domain.

We present a compilation scheme for real-valued arithmetic expressions to fixed-point arithmetic programs. Given a real-valued polynomial expression t, we find an expression t' that is equivalent to t over the reals, but whose implementation as a series of fixed-point operations minimizes the error between the fixed-point value and the value of t over the space of all inputs. We show that the corresponding decision problem, checking whether there is an implementation t' of t whose error is less than a given constant, is NP-hard. We then propose a solution technique based on genetic programming. Our technique evaluates the fitness of each candidate program using a static analysis based on affine arithmetic. We show that our tool can significantly reduce the error in the fixed-point implementation on a set of linear control system benchmarks. For example, our tool found implementations whose errors are only one half of the errors in the original fixed-point expressions.

Categories and Subject Descriptors

 $\begin{array}{lll} {\rm D.2.10} & [{\bf Software}] : & {\rm Software} & {\rm Engineering-}Design-\\ Methodologies & & & & & & & & & \\ \end{array}$

Keywords

Fixed-point arithmetic, genetic programming, synthesis, stochastic optimization, embedded control software

1. INTRODUCTION

Many algorithms in controls and signal processing are naturally expressed using real arithmetic. A direct implementation of these algorithms using floating-point computation requires either floating-point co-processors or software-based emulation of floating-point capabilities. In many embedded domains where controls and signal processing applications are commonly used, the cost and power consumption of co-processors or the inefficiency of software emulation are unacceptable. Thus, these algorithms are usually implemented using a fixed-point equivalent of the original algorithm.

A lot of research has gone into providing semi-automated compilation support from floating-point to fixed-point implementations [8, 19, 17, 5, 22]. The primary concern in these works has been bitwidth allocation: finding out the number of bits to allocate for the integral and the fractional parts of each real variable, so that the resulting implementation does not lose too much precision and the fixed-point variables do not overflow. However, even with an optimal bitwidth allocation, the precision of a fixed-point computation can depend on the order of evaluation of arithmetic operations. Since fixed-point arithmetic is not associative, and multiplication does not distribute over addition, the order in which a real polynomial is evaluated can cause differences in the error of the computation. These differences can indeed be significant: we show for one of our control system benchmarks that the error between two possible evaluation orders can be $2\times$: ranging from 0.00139 for the more precise expression to 0.00311 for the least precise one. Since the performance of controllers depends on the error introduced in the controller output, this difference can be significant. However, optimizing the error in the evaluation has received much less attention in fixed-point compilation, and has been limited to peephole optimizations (such as removing redundant shift operations locally) [1].

We present a technique to synthesize a fixed-point implementation for a given real-valued specification. Our synthesis method chooses the evaluation order of arithmetic operations to minimize the computation error. Given a real-valued arithmetic expression t, we aim to find a fixed-point implementation t', such that (1) the expressions t and t' are equivalent when interpreted over reals, and (2) the error between the real value and the fixed-point value computed by t' is minimal over all other fixed-point implementations equivalent to t. We show that the decision problem of finding an evaluation order that minimizes the error bound between the specification and the implementation is NP-hard, so a tractable complete search algorithm is unlikely.

Our technique is therefore based on a heuristic search implemented through genetic programming (GP) [23]. We use the mutation and crossover operations of genetic programming to generate new sub-expressions. To evaluate the fitness of a proposed solution, we use a static analysis based on affine arithmetic to compute an upper bound on the error. The objective of the search is to minimize the upper bound computed by the static analysis.

While our static analysis only computes an upper bound, we show, through extensive simulations, that the statically-computed upper bounds are proportional to the actual errors observed by simulations. We can thus use the less expensive upper bounds to compare two expressions with respect to precision.

We have implemented our technique and we have evaluated it on a set of control application benchmarks. Our experiments demonstrate that our technique can find good fixed-point implementations for linear controllers. For nonlinear computations we encounter limitations in using static analysis based on affine-arithmetic, but our search method works with any technique to estimate variable ranges, so further improvements in this area can be incorporated into our approach.

2. PRELIMINARIES

In this section we provide some background on the fixedpoint representation of real numbers and genetic programming. As our benchmarks are mostly from the control engineering domain, we also provide a brief introduction to controller implementations.

In the rest of the paper, an *expression* denotes an arithmetic expression generated by the following grammar:

$$t ::= c \mid x \mid t_1 + t_2 \mid t_1 - t_2 \mid t_1 * t_2 \mid t_1/t_2$$

where c and x are rational constants and variables, respectively.

2.1 Fixed-point Representation

In a fixed-point implementation of an expression, all the variables and constants in the expression are assigned a fixed-point representation. A fixed-point representation of a real number is a triple $\langle s, v, w \rangle$ consisting of a sign bit indica $tor \ s \in \{1,0\}$ (for signed and unsigned), a length $v \in \mathbb{N}$, and a length of the fractional part $w \in \mathbb{N}$. The length of the integer part is v-w-1. Intuitively, a real number is represented using v bits, of which 1 bit is used to store if the number is signed, and w bits are used to store the fractional part. A given integer w and a positive integer v > 0 determine a finite set FX(v, w) of representable rational numbers. A variable with a fixed-point type is represented in a program as a v-bit integer. The fixed-point implementation of an expression then consists of assigning fixed-point representations to all input variables and intermediate results, i.e., to each node in the abstract syntax tree (AST) of the expression. Arithmetic operations on fixed-point variables can be implemented using integer arithmetic and bit-shifting; see, e.g., [2] for details.

Worst-case error. Assume a fixed-point implementation of an expression t. Given the values of the input variables, we define the expression error as $|t_r - t_f|$, where t_r is the value of t computed when the expression is interpreted over real numbers, and t_f the value computed by the fixed-point

implementation. Given the intervals for input variables of t, the worst-case error for a fixed-point implementation of t is the maximum of the expression errors over all values of input variables ranging over the fixed point representable values from the given intervals.

Best fixed-point implementation. An expression over real arithmetic may have different fixed-point implementations depending on how many bits are allocated to hold the integer part and the fraction part of the variables and intermediate results. Allocating fewer bits than required to hold the integer part may lead to overflow. On the other hand, allocating more bits than necessary for the integral part leaves fewer bits for the fractional part, causing the quantization error to increase. When we compare the fixedpoint implementations of different expressions, we consider their best possible implementation, which we define next. Let us fix the length of every fixed-point variable in the implementation of an expression. The implementation choice is in choosing the number of bits to assign to the fractional parts for each variable. Then we define the best fixed-point implementation as follows.

Let v be the length of each fixed-point variable. For given intervals for the variables and intermediate results, an implementation I is called the best fixed-point implementation, if for every input variable or intermediate result that takes values from an interval $[r^{min}, r^{max}]$, the fixed-point representation is given by $\langle 1, v, w \rangle$, where w = v - 1 - z and z, the number of integral bits, is given by

$$z = \lceil \log_2(\max(\mathsf{abs}(r^{min}), \mathsf{abs}(r^{max}))) \rceil. \tag{1}$$

For example, if the interval for a variable is [-35.55, 48.72], the representation for the variable in the best 16-bit fixed-point representation has z=6 bits for the integer part, so it is given by $\langle 1,16,9 \rangle$. For a constant C=0.0864 where z=-3, the representation is given by $\langle 1,16,18 \rangle$.

Note that the best fixpoint implementation depends on intervals assigned to intermediate nodes. We say that intervals are tight if the intervals for internal nodes are as small as possible, given intervals for their operands. For example, suppose we have assigned tight intervals S_1 and S_2 for sub-trees and that the operation is *. Let $S = \{x_1 * x_2 \mid x_1 \in S_1, x_2 \in S_2\}$ and let z and w be given by (1) taking $r^{min} = \inf(S)$ and $r^{max} = \sup(S)$. We then require the interval assigned to the node to be [roundF (r^{min}, v, w) , roundF (r^{max}, v, w)] where roundF denotes the rounding used in fixed-point computations when the representation is $\langle 1, v, w \rangle$.

A property of the above definitions is that, in the special case when the input intervals have lower bound equal to upper bound and are representable as fixed point numbers, then the tight intervals for intermediate nodes also have their lower bounds equal to their upper bounds, and are equal to the values of the sub-expression when evaluated in fixed-point arithmetic.

2.2 Control Systems

We shall demonstrate our algorithms on controllers for physical systems implemented in software. Physical systems are typically modeled by differential equations:

$$\frac{d}{dt}x = f(x, u),\tag{2}$$

in which the curve $x: \mathbb{R} \to \mathbb{R}^n$ describes how the physical

quantities of interest change over time. At each time instant $t \in \mathbb{R}$, x(t) is a vector in \mathbb{R}^n containing the values of physical quantities such as positions, velocities, temperatures, pressures, etc. A controller of the form u=k(x) is designed to control the evolution of the physical variables, e.g., to ensure that the sequence x(t) converges to a reference point. The controller u=k(x) is implemented as a program which takes inputs x and produces outputs x by evaluating the expression x.

In this paper we mostly focus on linear control systems. To develop a linear control system, the behavior of the physical system is first approximated by linear differential equations, and then the differential equations are discretized based on a suitably chosen sampling time. A discrete-time linear time-invariant system is given by:

$$\begin{cases} x[r+1] = A_{\tau}x[r] + B_{\tau}u[r], \\ y[r] = Cx[r], \end{cases}$$
 (3)

where $x \in \mathbb{R}^n$, $u \in \mathbb{R}^m$ and $y \in \mathbb{R}^p$ represent the state, control input and output of the control system.

The controller requires the full state x to compute the control signal. However, the full state of the plant is not generally available to the controller, only output y of the system is available. Hence, the control input

$$u[r] = -K\widetilde{x}[r] \tag{4}$$

is computed based on an estimation \widetilde{x} of the state x. The matrix K is called the feedback gain of the controller. The estimation \widetilde{x} can be constructed using the observer dynamic [10]:

$$\widetilde{x}[r+1] = (A_{\tau} - B_{\tau}K - LC)\widetilde{x}[r] + Ly[r]. \tag{5}$$

The matrix L is called the gain of the observer.

Equation (5) together with Equation (4) provides the realization of the controller.

2.3 Genetic Programming

Our algorithm uses genetic programming. Genetic algorithms are heuristic search algorithms inspired by natural evolution. A genetic algorithm is parameterized by a fitness function to evaluate a candidate solution as well as operators called mutation and crossover to generate new candidate solutions from old ones. The algorithm maintains a population of candidate solutions, and "evolves" the current population in phases (called "generations"). An evolution step picks two candidates from the current generation, and computes new candidate solutions by applying the mutation and crossover operations. The quality of the new solution is evaluated by evaluating the fitness function, and the new solution is added to the next generation if the fitness function assigns a high score to it.

The candidate solutions are usually represented by strings. Candidates for mutation and crossover can be selected for example by tournament selection where a fixed number of candidates is chosen at random and the one with the highest fitness is selected as the final candidate.

Genetic programming [23] is a variant of a genetic algorithm that performs the search over computer programs instead of strings. Mutation and crossover operators are thus defined on abstract syntax trees (ASTs).

3. EXAMPLE

We motivate the problem using a controller for a batch reactor processor [24]. The computation of a state of the controller is given by the following expression:

$$(-0.0078) * st_1 + 0.9052 * st_2 + (-0.0181) * st_3 + (-0.0392) * st_4 + (-0.0003) * y_1 + 0.0020 * y_2,$$
 (6)

where $\mathtt{st_i}$ is an internal state of the controller and $\mathtt{y_i}$ is an input to the controller. There are additional similar expressions to compute the other states and the outputs of the controller.

Consider a fixed-point implementation of this controller. If we assume an input range of [-10,10] for all input variables and a uniform bit length of 16, each input variable gets assigned the fixed-point format $\langle 1,16,11\rangle$. This means that of the 16 bits we use 1 bit to represent the sign of the number, 4 bits for the integer part $(10<2^4=16),$ and the remaining 11 bits for the fractional part. The constant -0.0078 gets the format $\langle 1,16,22\rangle$ (0.0078 $<2^{16-1-22}=2^{-7}=0.0078125).$ If we multiply st1 now by -0.0078, the result will have 33 bits, which we fit into 16 bits by performing a right shift. Following the order of arithmetic operations in (6) gives the following fixed-point arithmetic program:

```
tmp0 = ((-327161 * st1) >> 18)
tmp1 = ((296621 * st2) >> 15)
tmp2 = ((tmp0 + (tmp1 << 4)) >> 4)
tmp3 = ((-189791 * st3) >> 16)
tmp4 = (((tmp2 << 4) + tmp3) >> 4)
tmp5 = ((-205521 * st4) >> 15)
tmp6 = (((tmp4 << 4) + tmp5) >> 4)
tmp7 = ((-201331 * y1) >> 22)
tmp8 = (((tmp6 << 4) + tmp7) >> 4)
tmp9 = ((167771 * y2) >> 19)
tmp10 = (((tmp8 << 4) + tmp9) >> 4)
return tmp10
```

The fixed-point arithmetic implementation of the controller can have a large roundoff error. For example, because of the representation, the input values can already have an error as large as 0.00049. These errors then propagate throughout the computation. For a specific implementation, such as the one above, we can compute an upper bound on the error using an affine arithmetic-based static analysis. For our example, the maximum absolute error bound is 3.9e-3. We can bound the error from below using simulation, where we run the floating-point and the fixed-point programs side-by-side on a large number of random inputs and compare the results. Note that this technique only gives us an underapproximation. Using this approach, we get a lower bound on the error of 3.06e-3.

One way to reduce the error is to increase the bit length. If we add one bit to each variable, we get a simulated maximum error of 1.51e-3, which is an improvement by about 50%. However, increasing bitwidths may require implementing circuits with larger areas or using larger datatypes, and may not be feasible. A different possibility is to use a different order of evaluation for the expression. As fixed-point arithmetic operations are not associative, two different evaluation orders for the same implementation can have significantly different absolute errors. Consider the following reordering of Equation (6):

expression	simulated error
original	3.06e-3
worst rewrite	3.11e-3
additional bit	1.52e-3
best rewrite	1.39e-3
best found by GP	1.39e-3

Figure 1: Summary of absolute errors for different implementations.

When implemented using 16-bit fixed-point arithmetic, we find, using our static analysis, that the maximum error bound is 1.39e-03, which is an even larger improvement of 55%, without requiring any extra resources.

Our approach is to search the space of possible implementations of an arithmetic expression to find one that has the minimum fixed-point implementation error bound. We search the space using *genetic programming* (GP). Figure 1 summarizes the worst-case error bounds for the different formulations of the expression. By exhaustively enumerating all possible rewrites, we see that the maximum error bounds can vary between approximately 1.39e-3 and 3.11e-3. That is, even for a relatively short example, the worst error bound can be over a factor of 2 larger than the best possible one. GP can find the optimal expression without an exhaustive enumeration and can do this at analysis time. This does not cost any additional resources, thus we get the additional precision "for free".

4. GENERATING FIXED-POINT EXPRES-SIONS

We now describe our algorithm to reduce the error of fixed-point programs. That is, given a real valued expression t we aim to find an expression t' that is mathematically equivalent to t and whose implementation in fixed-point arithmetic minimizes, among all equivalent expressions, the worst-case absolute error over all inputs in given ranges I:

$$\min_{\text{equivalent }t'} \quad \max_{x \in I} \left| t_r(x) - t'_f(x) \right|.$$

Algorithm 1 gives an overview of our search procedure, whose steps we explain in the following paragraphs. The input to our algorithm is a real valued expression and ranges for its variables. Our tool initializes the initial population with this expression.

4.1 Instantiating Genetic Programming

We now instantiate the genetic programming parameters for our problem. Our mutation and crossover operators generate expressions that are mathematically equivalent to the initial expression. Our fitness function quantifies the numerical error between the fixed-point implementation and the mathematical expression.

Mutation. The mutation operator selects a random node in the expression AST and applies one of the applicable rewrite rules from Figure 2. The rules capture the usual commutativity, distributivity and associativity of real arithmetic. Some of these rules do not have an effect on the numerical precision by themselves, but are necessary to generate other rewrites of an expression. To keep the operations simple, we rewrite subtractions $(a - b \rightarrow a + (-b))$ and divisions $(a/b \rightarrow a*(1/b))$ before the GP run.

Crossover. Given two trees t_1 and t_2 as candidates for crossover, the genetic algorithm picks a random node in t_1 ,

Algorithm 4.1: Best expression search procedure

```
1:Input: expression, input ranges
2:initialize population of 30 expressions
3: repeat for 30 generations
4: generate 30 new expressions:
5: select 2 expressions with tournament selection
6: do equivalence-preserving crossover
7: do equivalence-preserving mutation
7: evaluate fitness (worst-case error bound)
```

8:Output: best expression found during entire run

Figure 2: Rewrite rules.

which is the root of the subtree s_1 . To ensure that crossover produces a mathematically equivalent expression, we have to find a subtree s_2 in t_2 that is mathematically equivalent to s_1 in an efficient way. Instead of implementing a general decision procedure, we chose to do the following. At initialization, each subtree is annotated with a label that is the string representation of the expression at that subtree. During mutation, labels are preserved in the new generation as much as possible. For example, suppose we have the node (a + b) + c, with label (a + b) + c. We can apply mutation rule 1 to obtain a + (b + c) but the label will remain (a + b) + c. Note that some of the mutation rules break equivalences (e.g. mutation rule 10), hence not all labels can be preserved. In that case we add a new label. During crossover, we then only need to check for identical labels. If labels match, it means that the subtrees come from the same initial subtree and hence are mathematically equivalent and we can exchange them in a crossover operation.

Parameters. Our genetic programming pipeline has several parameters that can influence the results: the population size (we choose 30 as we observe no benefit beyond this value), the number of best individuals passed on to the next generation unchanged (elitism) (0, 2 or 6), the number of individuals considered during tournament selection (2, 4 or 6), and the probability of crossover (0.0, 0.5, 0.75 or 1.0). The most successful setting we found is with a tournament selection among 4 and an elitism of 2 while performing crossover every time, i.e. with probability of 1.0. Note, however, that even in the case of other settings, the improvements are still significant (on the order of 50%).

4.2 Fitness Evaluation

We use a static analysis based approach to compute the fitness of an expression. Our static analysis tool computes sound over approximations of the ranges of all variables and of the maximum absolute error of the corresponding fixed-point implementation.

Our tool uses affine arithmetic to compute the ranges of all intermediate values. From this we can determine the best possible fixed-point format and the quantization error at each computation step. Our approach is similar to [8], but we treat constants like normal variables and we do not

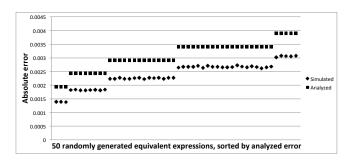


Figure 3: Comparison of analyzed upper bound and simulated lower bound on maximum errors for the linear benchmark batch processor (state 2).

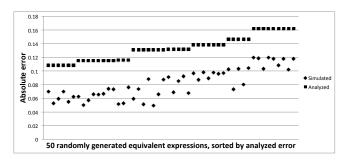


Figure 4: Comparison of analyzed upper bound and simulated lower bound on maximum errors for the nonlinear benchmark rigid body (out1).

discard higher order terms, which is the approach taken in [6] for floating-point arithmetic. The latter difference means that the error bounds we compute are sound with respect to real arithmetic.

If we are interested in proving that the roundoff errors stay within certain bounds, the computed bounds on the absolute errors need to be as tight as possible. Note that the main requirement on the analysis in our problem is slightly different. While tight bounds on errors are an advantage, what we need to know is the *relative precision* of our analysis tool. That is, we need to know whether the analysis tool is able to distinguish a better implementation from a less precise one. To see why this is different from the usual case, note that the analysis tool assumes worst-case errors at each computation step. It general, however, the worst-case errors will not be attained at all computation steps.

Thus, before using our analysis tool in a GP framework, we evaluate this property experimentally. We generate a number of random rewrites for an expression, for which we then obtain the actual errors by simulation. We present here the results for one linear and one nonlinear benchmark (batch controller, state 2 and rigid body, out 1 respectively). For 100 random different expression formulations, the ratio between the analyzed upper bound on the error and the simulated lower bound on the error has a mean of 1.29387 and a variance of 0.00082 for the batch controller, state 2 benchmark and a mean of 1.66697 and a variance of 0.08315 for the rigid body, out 1 benchmark. Figures 3 and 4 show a direct comparison between the analyzed and simulated errors. In the linear case, the computed bounds on the errors are proportional to the actual errors, thus indicating a good relative precision. In the nonlinear case the correspondence

is not as precise, however we expect it to be still sufficient for our purpose. The "more nonlinear" a computation becomes, the less precise we expect affine arithmetic to be.

4.3 Why Genetic Programming?

It is in general not evident from an expression whether it is in a good form with respect to precision and exhaustively enumerating all possible formulations of expressions becomes impossible very quickly. For only linear expressions the number of possible orders of adding n terms modulo commutativity, which does not affect precision, is $(2n-3)!!^1$. For our example from Section 3 with 6 terms there are already 945 expressions. For our largest benchmark with 15 terms there are too many possibilities to enumerate.

We thus need a suitable search strategy to find a good formulation of an expression among all the possibilities. We show in Section 7 that the problem of finding an expression whose worst-case error bound is minimal is NP-hard and that it amounts to minimizing the ranges of intermediate variables. Since the inputs for the expressions can, in general, be positive and negative, optimizing one subcomputation may lead to a very large intermediate sum in a different part of the expression. An algorithm that tries to find the optimal solution in a systematic way (e.g. dynamic programming) is thus unlikely to succeed. Our problem also does not have a notion of a gradient, so gradient descent approaches are not readily applicable, and it cannot be easily formulated in terms of inputs and outputs or contraints, so constraint-solving approaches are not applicable either. Genetic programming does not rely on any of these features, and its formulation as a search over program ASTs fits our problem.

5. OPTIMAL CONTROLLER SYNTHESIS

The controller for a discrete-time linear control system is given by Equation (5) and Equation (4). If we implement the controller using fixed-point arithmetic, we introduce additive error to the output of the controller. Thus the fixed-point implementation of the controller is given by:

$$\begin{cases} \widehat{x}[r+1] = (A_{\tau} - B_{\tau}K - LC)\widehat{x}[r] + Ly[r] + e_{q1}, \\ \widehat{u}[r+1] = -K\widehat{x}[r+1] + e_{q2}, \end{cases}$$
(8)

where $e_{q1} \in \mathbb{R}^n$ and $e_{q2} \in \mathbb{R}^m$. The vector $e = \begin{bmatrix} e_{q1} \\ e_{q2} \end{bmatrix}$ captures the implementation error of the controller.

One of the fundamental properties of a control system is asymptotic stability. It is possible to design a controller mathematically (finding the matrices K and L) such that the system in (3) is asymptotically stable with respect to origin [10], which intuitively means that the state of the plant will asymptotically converges to the origin. However, as shown in [2], in the presence of implementation error the state of plant can only be shown to converge asymptotically in a set around the origin. The set is called the region of practical stability. The following proposition formalizes the result. We use ||x|| to represent euclidian norm of x.

Proposition 1. [2] Assume that the mathematical controller in (4) with the observer in (5) can render the plant

¹The number of full binary trees with n leaves is C_{n-1} , where C_n are the Catalan numbers. We can label each of the trees in n! ways. Taking into account commutativity gives: $\frac{C_{n-1} \cdot n!}{2^{n-1}}$.

in (3) asymptotically stable. If there exists a constant b such that ||e|| < b(e), then the implementation (8) of the controller is quaranteed to render the state of the plant asymptotically to the set

$$\mathcal{A}_{y} = \{ y \in \mathbb{R}^{p} \mid ||y|| \le \gamma_{y} b(e) \}, \tag{9}$$

where γ_y is given by:

$$\gamma_y = \max_{\theta \in [0, 2\pi[} \left\| \begin{bmatrix} C & 0_{p \times n} \end{bmatrix} \left(e^{i\theta} I_{2n} - G \right)^{-1} H \right\|.$$
 (10)

where γ_y is called the \mathcal{L}_2 -gain of the control system. The

matrices
$$G$$
 and H are given by
$$G = \begin{bmatrix} A_{\tau} & -B_{\tau}K \\ LC & A_{\tau} - B_{\tau}K - LC \end{bmatrix}, \quad H_2 = \begin{bmatrix} 0_{n \times n} & B_{\tau} \\ I_n & 0_{n \times m} \end{bmatrix}.$$

Controller synthesis has been traditionally performed by minimizing LQR and LQG costs [10], but ignoring implementation effects. Recently, Majumdar et al. [16] described a controller design methodology that synthesizes a controller co-optimizing both the LQR/LQG cost and the region of practical stability. They optimize a weighted linear combination of the two cost functions using a stochastic local search technique called particle swarm optimization (PSO)

PSO iteratively solves an optimization problem by maintaining a population (or swarm) of candidate controllers, called particles, and moving them around in the searchspace of possible controllers, trying to minimize the objective function. In each step of PSO, given a new controller, a bound on the implementation error is estimated for a naive implementation. The value of the objective function is computed by taking the weighted sum of the LQR-LQG cost and this bound. If the controller is given by $K = [k_1, k_2, \ldots, k_n]$ and the state of the plant is denoted by $x = [x_1, x_2, \dots, x_n]$, then the mathematical expression for the controller is $(((k_1x_1 + k_2x_2) + k_3x_3) + ... + k_nx_n)$.

In [16], the authors estimated the error in implementing this expression but did not consider different equivalent implementations. We refer to this expression the baseline implementation. In our experiments, we use our genetic algorithm to search for the best expression, that is, the fixedpoint expression giving the least error bound. We call the corresponding controller implementation the improved implementation.

Let K denote the controller gains synthesized by the PSO step. Suppose that the bound on the error in its baseline implementation is b_K^b , and that for its improved implementation is b_K^i . There may exist another controller K' for which the bounds on the error in its baseline and improved implementations are $b_{K'}^b$ and $b_{K'}^i$ respectively, such that $b_K^b < b_{K'}^b$, and $b_{K'}^i < b_K^i$. This implies that if we use the method in [16] to implement the baseline controller and then employ the rewriting scheme to get the improved controller, we may not obtain the best possible controller implementation.

To achieve the best possible implementation for a controller, we take the following strategy. In every step of PSO, for a given controller, we start with the naive expression and apply our genetic-programming-based rewriting technique to find the best expression. We use this bound on the implementation error of the best expression in the objective function. The controller implemented using this new objective function is referred as the optimal implementation. In the next section, we show that this combination of search

Benchmark	err	orig no-cross	orig best	g
bicycle (out1)	2.66e-3	orig. 3 0.00	orig. 0.00	
bicycle (state1)	2.53e-4		0.19	1
bicycle (state2)	1.82e-4		0.00	_
dc motor (out1)	1.06e-4		0.00	_
dc motor (state1)	2.77e-4		0.00	_
dc motor (state2)	3.75e-4		0.25	4
dc motor (state3)	1.27e-4		0.00	_
pendulum (out1)	8.09e-8	0.03	0.03	5
pendulum (state1)	5.13e-9	0.17	0.17	1
pendulum (state2)	6.11e-9	0.38	0.38	16
pendulum (state3)	5.14e-9	0.00	0.00	-
pendulum (state4)	4.97e-9	0.27	0.27	7
pitch angle (out1)	1.33e-7	0.18	0.18	4
pitch angle (state1)	4.26e-9	0.30	0.30	2
pitch angle (state2)	2.79e-9	0.00	0.00	-
pitch angle (state3)	3.81e-9		0.20	2
batch reactor (out1)	5.15e-4		0.00	-
batch reactor (out2)	1.28e-3	-	0.12	2
batch reactor (state1)	3.46e-4		0.15	1
batch reactor (state2)	2.77e-4		0.00	-
batch reactor (state3)	3.55e-4		0.26	2
batch reactor (state4)	4.11e-4		0.23	7
traincar 1 (out)	1.11e-4		0.09	2
traincar 1 (state 1)	1.98e-6		0.03	6
traincar 1 (state 2)	3.57e-7		0.25	16
traincar 1 (state 3)	2.79e-7	-	0.24	7
traincar 2 (out)	7.40e-5		0.09	19
traincar 2 (state 3)	1.23e-7		0.59	21
traincar 3 (out)	1.26e-3		0.13	7
traincar 3 (state 6)	1.32e-7		0.58	21
traincar 3 (state 7)	1.31e-7		0.53	17
traincar 4 (out)	9.34e-3		0.29	27
traincar 4 (state 1)	7.29e-8		0.73	19
traincar 4 (state 2)	7.34e-8		0.73	25
traincar 4 (state 3)	1.01e-7		0.60	14
traincar 4 (state 4)	6.96e-8		0.70	26
traincar 4 (state 5)	1.42e-7		0.68	26
traincar 4 (state 6)	1.67e-7		0.59	16
traincar 4 (state 7)	1.67e-7		0.56	13
traincar 4 (state 8)	1.38e-7		0.60	19
traincar 4 (state 9)	1.67e-7		0.47	7
bspline 1	2.29e-4		0.36	6
bspline 2	1.66e-4		0.52	4
rigid-body (out1)	1.08e-1		0.33	5
rigid-body (out2)	9.92e-1	0.20	0.20	15

Figure 5: Maximum absolute errors for the best expression found by GP with the settings elitism: 2, tournament selection: 4, with and without crossover (seed used: 4357). err is the analyzed error. g denotes the generation in which the solution is found.

strategies improves the bounds on the region of practical stability of the synthesized controllers.

EXPERIMENTS

We evaluate our technique on a number of controller benchmarks: a bicycle model [4], a DC motor position control [25], a pitch angle control [25], an inverted pendulum [25], and a batch reactor process [9]. The controllers for these systems are taken from [16], which attempted to minimize the size of the region of practical stability by choosing a controller whose fixed point implementation has the best possible bound on the error among all controllers that stabilize the plant. To show the scalability of our tool we choose the example of a locomotive pulling a train car where the connection between the locomotive and the car is modeled

by a spring in parallel with a damper [20]. By increasing the number of cars, we can increase the dimension of the system. We also consider a nonlinear controller for a rigid body [3] and the nonlinear B-splines functions [15]. Though most of our benchmarks are from the controller domain, nothing in our approach is actually domain specific.

Each benchmark consists of one expression and ranges for its input parameters. We wish to minimize the error on the one output value it computes over all possible inputs. Some of the benchmarks compute internal states of a controller (denoted with e.g. "state 1"). Since each state is computed with a different expression, we treat them here as separate benchmarks.

For all benchmarks we consider a fixed bit length, signed fixed-point format, and truncation as the rounding mode. Table 5 lists the maximum absolute errors (computed by our analysis tool) for the best expressions found by GP for all our benchmarks. The best found expression is the same for different bit lengths, so that the computed results are applicable in several different hardware settings. The benchmarks are ordered approximately by complexity with the smaller linear benchmarks first and the nonlinear benchmarks at the end of the table. From the third column we can see that we get substantial improvements in precision of up to 70%.

6.1 Exhaustive Rewriting

For our smaller benchmarks (linear with up to 6 terms) we also generate all possible rewrites up to commutativity and determine tight bounds on the maximum errors by simulation. For this, we first automatically generate a fixedpoint implementation, which we then evaluate on a number of random inputs. We use the floating-point code as reference, thus obtaining a lower bound on the error. Using a large enough number of random inputs (10 000 000), we obtain reasonably tight error bounds. Figure 6 shows the simulation results for the original formulation of the expression and the best and worst among all the possible rewrites. From the 10 benchmarks, 6 have an error in the original formulation that is about as bad as it gets. But we can also see that the best possible rewrite can improve the precision substantially. On the other hand, the expression may also be such that no matter how we rewrite it, the precision does not vary much, as is the case with the traincar 1, state 1 benchmark. This case, however, seems to be rather rare.

The other possibility of improving the precision is to increase the bit length. We do so in a minimal way by allowing one more bit for each intermediate variable, i.e., we increase the bit length by one and evaluate the precision with simulation. Note that in many cases, such a gradual increase may not be possible and one would have to add a whole word, e.g. go from 16 to 32 bits, with the associated increase in hardware cost. Compile-time transformations, on the other hand, come "for free" and, as Figure 6 shows, can have an effect on the same order as the addition of a bit. In the case of longer benchmarks, the effect of rewriting can be even larger (see Figure 5), while the added bit always gains only about 50% of precision as compared to the original error.

6.2 Genetic Programming

Table 5 shows the results obtained for the most successful setting we have found. It also shows the results with crossover turned off. The comparison of these two columns suggests that crossover is helpful. We therefore expect that

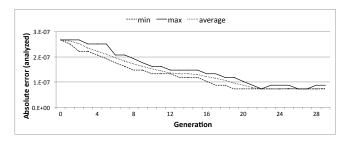


Figure 9: Evolution of errors across generations for the traincar 4 - state 1 example.

randomized local search techniques are not as effective as genetic programming, but they still produce useful reductions in the errors.

Optimality. For the smaller benchmarks, GP always finds the same expressions with respect to the error bounds. Exhaustive enumeration has confirmed that the found expressions are indeed the optimal ones. For larger benchmarks, we do get improvements and we know of no technique to obtain better results.

Performance. The runtimes of our GP algorithm depend in general mostly on the number of generations considered and the population size. Crossover only has a small effect on the overall runtime, but we have found that it provides the best results in the setting given above. In Table 7 we report the running times of our benchmarks with the default setting of 30 generations with a population size of 30 and the best GP settings we found.

Efficiency improvement over random search. For the expressions of the traincar 4 controller (15 terms) we also compare the results from the GP algorithm against a random search. This experiment is performed by generating 900 random and unique rewrites of the original expression, and comparing the best seen expressions against each other. Since we run the GP algorithm for 30 generations with a population of 30, we can see at most 900 unique expressions. As the results in Table 8 confirm, the GP based search is more effective than a random one. The third column shows the relative difference between the errors. Thus, many times the GP found expression is by over 50% more accurate than a randomly found one. That GP does not perform a random search can also be seen on the evolution of the population in Figure 9 for one benchmark (traincar 4, state 1). The plot shows the best, worst and the average errors of the expressions in each generation. The convergence to a low-error expression is clear.

6.3 Controller Performance

We implemented the algorithm presented in Section 5 in Matlab. The algorithm incorporates genetic algorithm based expression rewriting in the search for the optimal controller using PSO. We use a PSO function in Matlab from [7]. We have used the same setup for PSO as used in [16]. In Table 10 we provide the synthesized controllers and the time required to synthesize them. The synthesis experiments were done on a laptop running Mac OS X version 10.7.4 with 2 GHz Intel Core i7 CPU and 8GB 1600MHz DDR3 Memory.

In Table 11, we present the size of the region of practical stability for the baseline, improved and the optimal con-

	l	Best	Worst	Added bit
Benchmark	Original	(% of original)	(% of original)	(% of original)
batch processor (out 1)	2.89e-3	0.91	1.37	0.51
batch processor (out 2)	7.20e-3	0.81	1.13	0.49
batch processor (state 1)	2.66e-3	0.52	1.02	0.50
batch processor (state 2)	3.06e-3	0.45	1.03	0.50
batch processor (state 3)	2.66e-3	0.50	1.16	0.49
batch processor (state 4)	2.24e-3	0.61	1.40	0.51
traincar 1 (out)	5.29e-5	0.78	1.02	0.60
traincar 1 (state 1)	1.02e-6	0.97	1.01	0.50
traincar 1 (state 2)	2.66e-7	0.71	1.02	0.52
traincar 1 (state 3)	2.04e-7	0.74	1.14	0.48

Figure 6: Best and worst absolute errors, determined by simulation.

Examples	Runtime (s)
batch (out1)	1.964
batch (state2)	2.735
traincar 1 (state 3)	6.358
traincar 2 (state 5)	9.794
traincar 3 (state 7)	15.388
traincar 4 (state 9)	17.228
rigid-body (out1)	1.394
rigid-body (out2)	2.698
bspline 1	2.234

Example	GP	Random	(Rnd-GP)/Rnd
traincar 4 (out)	0.00934	0.0103	0.09
traincar 4 (1)	7.29e-8	2.07e-7	0.65
traincar $4(2)$	7.34e-8	2.08e-7	0.65
traincar $4(3)$	1.01e-7	1.90e-7	0.47
traincar $4(4)$	6.96e-8	1.74e-7	0.60
traincar 4 (5)	1.42e-7	3.21e-7	0.56
traincar 4 (6)	1.67e-7	2.86e-7	0.42
traincar 4 (7)	1.67e-7	2.57e-7	0.35
traincar 4 (8)	1.38e-7	2.28e-7	0.39
traincar 4 (9)	1.67e-7	1.97e-7	0.15

Figure 7: Average runtimes of GP on selected benchmarks in seconds. Experiments were performed on a 3.5GHz Linux desktop with 16GB RAM.

Figure 8: Comparison of maximal errors between best expressions from GP and random search.

Control systems	# bits	Synthesized gains			
		K	L		
bicycle	16	$[3.0265e + 0 \ 1.2608e + 1]$	$[6.9088e - 3 \ 1.1135e - 1]^T$	51m36s	
dc motor	16	[1.1760e-1	$\begin{bmatrix} 4.0400\text{e-}2 & 3.6720\text{e-}1 & -1.2400\text{e-}2 \end{bmatrix}^T$	43m15s	
pitch angle	32	[-1.2022e-1 4.2566e+1 1.0004e+0]	$[3.2131e-4 \ 2.1565e-5 \ 1.8907e-3]^T$	1hr21m58s	
pendulum	32	[-1.5362e+0 -2.0254e+0 1.6519e+1 2.7358e+0]	$ \begin{bmatrix} 1.7000e-3 & 2.1000e-3 & 1.2000e-3 & 0.0000e+0 \\ 1.0000e-4 & 1.8000e-3 & 1.2200e-2 & 7.7000e-2 \end{bmatrix}^{T} $	38m43s	
batch reactor	16	$ \begin{bmatrix} 5.9434 \text{e-}2 & 9.0617 \text{e-}1 & 3.2788 \text{e-}1 & 8.7115 \text{e-}1 \\ -2.4646 \text{e+}0 & -4.4966 \text{e-}2 & -1.7086 \text{e+}0 & 1.1691 \text{e+}0 \end{bmatrix} $	$\begin{bmatrix} 7.6055e-2 & -1.8342e-3 & 2.9025e-2 & 3.0801e-2 \\ -1.1106e-2 & 2.2255e-2 & 3.9666e-2 & -9.2832e-4 \end{bmatrix}^T$	2hr45m35s	

Figure 10: Synthesized gains and required time for synthesizing them.

trollers for different benchmark systems and also the percentage improvement in the size of the region for the improved and the optimal controllers. The baseline and the improved implementations are based on the controllers provided in [16], and the optimal implementations are based on the controllers synthesized using the algorithm presented in Section 5. Note that the region of practical stability for the baseline implementation varies from the result provided in [16]. For example, for bicycle, the size of the region was presented as 0.0513 ignoring the effect of disturbance and measurement noise, the corresponding figure is 0.0785 in our experiment. This discrepancy is due to the fact that we use a different method to estimate the bound on the error in the fixed-point implementation. Our abstract interpretation based error estimation method is an order of magnitude faster than the mixed-integer linear programming approach in [16], which is apparent from the "time cost" column in Table 11. Even after incorporating the genetic programming based expression evaluation method in the synthesis process, our tool takes less time to synthesize a controller for all the benchmarks. Moreover, though our error estimation is less precise in comparison to that of [16] for 16 bit implementations, for 32 bit implementations (pitch angle and inverted pendulum) our estimation is significantly more precise.

The results in Table 11 show that we can improve the size

Control	Region of Practical Stability			Improvement (%)		
systems	Baseline	Improved	Optimal	Improved	Optimal	
bicycle	7.85e-02	7.70e-02	6.99e-02	1.93	10.96	
dc motor	1.64e-02	1.44e-02	9.80e-03	12.14	40.24	
pitch angle	1.08e-02	8.87e-03	5.15e-03	18.00	52.32	
pendulum	3.11e-04	2.64e-04	2.51e-04	14.76	19.26	
batch reactor	2.59e-01	2.24e-01	2.07e-01	13.31	20.08	

Figure 11: Improvement in the size of region of practical stability for the improved and synthesized controllers.

of the region by rewriting the expression used in the baseline implementation. However, the improvement becomes significant when we incorporate the rewriting technique in the search method. Our results show that it is even possible to achieve more that 50% improvement in the synthesized controller with respect to the baseline controller. Table 12 shows the LQR/LQG cost of the baseline and the optimal controllers. The results show that in most of the examples, LQR and LQG costs do not degrade in the optimal controller with respect to the baseline controller. Only for the DC motor position example, the degradation in LQR cost is 8%. In a few instances, the LQG cost even improves.

Control	lub of L	QR cost	LQG cost		
systems	Baseline	Optimal	Baseline	Optimal	
bicycle	$4.33+3 x ^2$	$4.33e+3 x ^2$	2.46e-2	2.47e-2	
dc motor	$1.38e+3 x ^2$	$1.50e+3 x ^2$	3.67e + 1	3.67e + 1	
pitch angle	$2.99e+6 x ^2$	$2.99e+6 x ^2$	1.80e-3	1.58e-3	
pendulum	$5.35e+4 x ^2$	$5.35e+4 x ^2$	3.90e-1	3.90e-1	
batch reactor	$2.23e+2 x ^2$	$2.23e + 2 x ^2$	9.49e-2	9.08e-2	

Figure 12: Least upper bound (lub) on the LQR cost, for a given initial condition x and the LQG cost for the baseline and the optimal implementations.

7. OPTIMAL FIXED-POINT PROGRAM SYNTHESIS PROBLEM IS NP-HARD

Finally, we show that, given an arithmetic expression, the problem of finding a mathematically equivalent expression for which the computed worst-case error bound of the output of the fixed-point implementation is least is NP-hard. This justifies our use of a heuristic search method. We show NP-hardness already for a problem that deals with the operator '+' alone.

For an expression T, we define the worst-case error bound of the best fixed-point implementation, denoted E(T), as follows. Let the set of internal nodes of T be denoted by n_i and consider the best fixed-point implementation of T with tight intervals, as introduced in Subsection 2.1. As the worst case error e_i at node n_i we use

$$e_i = R(\max(\mathsf{abs}(r_i^{min}), \mathsf{abs}(r_i^{max}))))/2^{v-1},$$

where R is a function used to make the bound uniform. A possible sound choice for R is $R(x) = 2^{\lceil \log_2 x \rceil}$, which, according to Definition (1), makes the error equal to the value of the least significant bit 2^{-w} . Another, slightly more conservative choice, is $R(x) = 2^{1+\log_2 x} = 2x$, which we adopt here.

Minimum-Error Fixed-point Set Range Sum (MEFxRS): Let $X = \{x_1, \dots, x_p\}$ denote a set of variables, $x_i \in \mathbb{R}$. Given an expression of the form $\sum_{i=1}^p x_i$, where each variable x_i can take value from a range $[r_i^{min}, r_i^{max}]$, and a positive integer κ denoting the number of bits to represent each variable and constant in the fixed-point implementation, find the ordering of the addition operations that yields the minimal worst-case error bound of the best fixed-point implementation of the expression.

Our objective is to show that the MEFxRS problem is NP-hard. Towards that end, we first define a simplified problem where we compute the sum of a set of integers (instead of intervals). Note that the numbers may be both positive and negative.

Minimum-Error Fixed-point Set Value Sum (MEFxVS): Let $X = \{v_1, \ldots, v_p\}$ denote a set of integers. Given an expression of the form $\sum_{i=1}^p v_i$, and a positive integer κ denoting the number of bits to represent each variable and constant in the fixed-point implementation, find out the ordering of the addition operations, that yields the minimal worst-case error bound at the output of the best fixed-point implementation of the expression.

It is straightforward to show that an instance of the MEFxVS problem with values v_i can be reduced to an instance of the MEFxRS problem, for example, by letting $r_i^{min} = r_i^{max} = v_i$. In what follows, we show that MEFxVS is NP-hard.

To show that the problem MEFxVS is NP-hard, we consider the following decision version of the problem:

Fixed-point Set Value Sum (FxVS): Let $X = \{v_1, \ldots, v_p\}$ denote a set of non-zero integers. Given an expression of the form $\sum_{i=1}^p v_i$, and a positive integer κ denoting the number of bits to represent each variable and constant in the fixed-point implementation, does there exist an ordering of the addition operations, such that the worst-case error bound at the output of the best fixed-point implementation of the expression is less than η ?

Since the operator + is applied to two operands at a time, an ordering for adding X corresponds to a binary addition tree of p leaves and p-1 internal nodes, where a leaf is a $v_i, i \in \{1, \ldots, p\}$, and an internal node is the sum of its two children. Thus an internal node $n_j, j \in \{1, \ldots, p-1\}$, represents a partial sum and we denote the partial sum at node n_i by c_i . The cost C(T) of an addition tree T is defined as:

$$C(T) = \sum_{i=1}^{p-1} |c_i|.$$

We reduce the NP-hard problem Addition tree (AT) to Fixed-point Set Value Sum (FxVS) problem to show that the latter problem is NP-hard. The Addition Tree (AT) problem [13] is defined as follows:

Addition Tree (AT): Let $X = \{v_1, \ldots, v_p\}$ denote a set of non-zero integers. Does there exist an addition tree T with $C(T) \leq K$?

We now show the reduction of Addition Tree (AT) problem to the Fixed-point Set Value Sum (FxVS) problem. Note that as we are dealing with integers, the fixed-point values at different nodes in the addition tree in the FxVS problem are same as the corresponding values in the AT problem. However, due to our definition of worst case error, every internal node in the addition tree also contributes to the worst case error.

For the fixed-point implementation, we first decide on a bit length that ensures that no overflows happen in the internal nodes. Let $X_{pos} = \{wp_1, \ldots, wp_q\}, \ q < p$, be the subset of X that contains only positive integers and $X_{neg} = \{wn_1, \ldots, wn_{p-q}\}$ be the subset of X that contains only negative integers. Let us define U as

$$U = \max(\sum_{i=1}^{q} w p_i, \sum_{i=1}^{p-q} |w n_i|).$$

The bit-length v is then chosen as $v = \lceil \log_2(U) \rceil$.

Note that the fixed-point value at the internal node n_i is c_i . To use our definition for the worst-case error bound, note that $r_i^{min} = r_i^{max} = c_i$. Then,

$$e_i = \frac{1}{2^{v-1}}R(|c_i|) = \frac{1}{2^{v-2}}|c_i|.$$

Thus, at any internal node n_i , the error e_i is $\alpha |c_i|$ for $\alpha = 2^{-(v-2)}$. The errors at the leaf nodes are constant and we denote their sum by e_0 . The worst-case error bound for the implementation tree T is thus given by

$$E(T) = e_0 + \alpha \sum_{i=1}^{p-1} |c_i| = e_0 + \alpha C(T).$$

For a fixed number of bits v, e_0 , and α are constant. So, for an instance of the AT problem with parameter K, we create

an instance of the FxVS problem with parameters $\kappa = v$ and $\eta = e_0 + \alpha K$. The NP-hardness of MEFxRS follows.

Theorem 1. The Minimum-Error Fixed-point Set Range Sum (MEFxRS) problem is NP-hard.

8. RELATED WORK

Jha [12] gives an algorithm for optimal fixed-point program synthesis based on inductive synthesis. His objective is to find the best fixed-point implementation for a given expression, and does not consider rewriting of expressions. Moreover, it takes several minutes to synthesize a fixed-point program corresponding to an expression, whereas our technique can synthesize a fixed-point program corresponding to an expression in seconds. CGPE [21] is a software tool that synthesizes fast and certified code for univariate and bivariate polynomials in fixed-point arithmetic, optimized for a specific target architecture. In contrast to our work, the optimization criterion is execution time and error bounds are merely used to discard final candidate evaluation schemes that do not meet a basic error bound. The error computation is interval arithmetic based and it is not clear how tight the computed error bounds are. Furthermore, our tool supports any number of variables.

To our knowledge, the only work that considers rewriting of expressions to improve precision in the context of abstract interpretation is [11]. The authors develop an abstract domain for representing an under-approximation of mathematically equivalent expressions. They then use a local, greedy search to extract some expression with a more precise formulation in a floating-point implementation. Similarly to our fitness computation, their computation of precision of each expression uses affine arithmetic. Their search is local in the sense that subexpressions are optimized without considering the global error, and thus may exclude many possible expressions. Matel [18] considered compiling expressions to fixed-point arithmetic. However, the precision measure was only the maximum number of bits required to hold the integral part, which is too imprecise to distinguish many expressions.

9. CONCLUSION

We have presented a fixed-point program synthesis methodology based on expression rewriting and genetic programming and used it to improve the quality of controller implementations. Our synthesis tool can be added to an automatic code generation tool flow to enhance its capability to generate correct-by-construction high performance controller software. Though we have presented our results on the benchmarks from the control engineering domain, our method is general, and can be used in enhancing the quality of a fixed-point implementation in other domains.

Our algorithm uses abstract interpretation to estimate the error bound of a fixed-point implementation. While our abstract interpreter is efficient and precise for linear expressions, and hence in optimizing linear controllers, it provides pessimistic bounds for nonlonear expressions. We believe developing abstract interpretation-based tools to precisely estimate errors in programs with nonlinear arithmetic to be an interesting research direction.

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